

FINES AND SUSPENSIONS BULLETIN

Fri, Jun 19 - Thu, Jun 25

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

PAQUET, FERNAND A JR
USTA on 6/17/2020

PLAINS, PA **YOB 1968**
RESTORED TO GOOD STANDING(RES) AS OF
6/24/2020

USTA MEMBERSHIP ACTION

Membership suspended for failure to answer correspondence regarding financial responsibility complaint (civil court judgment).

Status as of 6/24/2020 - Subsequent Ruling - Restored to good standing.

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

ANDZEL, STEPHEN J III
BR on 6/20/2020

WILLIAMSVILLE, NY **YOB 1973**
FINED: \$2,000

REFUSAL TO COOPERATE WITH AUTHORITIES DURING AN INVESTIGATION

You, licensed standardbred trainer Stephen Andzel III, are hereby fined two thousand dollars (\$2,000) because on November 16, 2019 and thereafter you have failed and refused repeatedly to cooperate in an official investigation of serious racing related matters when you had been directed, requested and ordered to do so by a Commission official, in violation of 9 NYCRR § 4119.7(d) and 4119.8(g), in that, after you had falsely recorded with the USTA the unauthorized transfers to yourself of the ownership of the horses Twomacsonemach and Justified, which were in your possession as their trainer.

DE LUNA, PERRY G
Har on 7/7/2019

CULVER CITY, CA **YOB 1956**
RESTORED TO GOOD STANDING(RES) AS OF
6/19/2020

FAILURE TO PAY FINE(S)

Mr. Perry DeLuna is summarily suspended until such time when his unpaid obligation with the DHRC is met.

Status as of 6/19/2020 - Subsequent Ruling - Mr. De Luna has paid his fine and is restored to good standing.

HALFORD, STEVE L
Haw on 6/20/2020

RIVERTON, IL **YOB 1945**

FAILURE TO OBTAIN PROPER LICENSE

Ruling #204012 is hereby rescinded. Steve Halford was not the individual who did not comply with licensing requirements in 2013. Said individual is Stephen Halford Jr.

SHEPARD, TRICIA M
DTN on 10/25/2019

NEW LONDON, OH

YOB 1977

FINED: \$2,000
FULL - 90 DAYS, 7/1/2020 THRU 9/28/2020
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Dayton Raceway O.S.R.C. 3769-18.01(B)(4)(d) Trainer TRICIA SHEPARD is hereby fined the sum of one thousand dollars (\$1,000.00) and suspended thirty (30) days from December 2, 2019 through December 31, 2019 (inclusive) for violation of Ohio State Racing Commission Rule 3769-18-.01(B)(4)(d). Blood Sample #880183 tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on October 29, 2019 showed a concentration of TCO₂ at 39.1 +/- 0.2 mmol/L, which exceeds the O.S.R.C. regulatory threshold of 37.0 mmol/L. In addition, MUSTANG TOM is disqualified from first and placed last. Purse money earned of twenty seven hundred and fifty dollars (\$2,750.00) in the race must be returned and redistributed. During the period of this suspension Ms. Shepard is denied access to all grounds under the jurisdiction of the O.S.R.C. Furthermore, during the suspension Ms. Shepard may not transfer any horse under his care over to another trainer without permission of the judges.

Status as of 6/24/2020 - Subsequent Ruling - Ms. Shepard has agreed to a settlement with the Ohio State Racing Commission regarding Case Numbers 2019-61 and 2020-08. Regarding Case # 2019-61 she agrees to serve a 30 day suspension and pay a \$1,000.00 fine and return the purse of \$2,750.00 by September 29, 2020. Regarding Case # 2020-08 she agrees to a 60 day suspension and pay a \$1,000.00 fine and return the purse of \$2,750.00 also by September 29, 2020. The remaining 120 days from Ms. Shepard's original suspension will be held in abeyance for one year. If she receives another A or B penalty (based on the ARCI guidelines) before September 29, 2021 she will be required to serve these 120 days in addition to any penalty for the new violation. O.S.R.C.-3769-18-01(B)(13) 18-02 18-99 Ms. Shepard is barred from all grounds governed by the OSRC during her suspension. Any horses under her care that she wants to transfer to another owner or trainer must be approved by the judges or the OSRC.

SHUTTLEWORTH, MARC W
BR on 6/20/2020

HAMBURG, NY
FINED: \$100

YOB 1965

IMPROPER CONDUCT OR LANGUAGE TO AN OFFICIAL

On Saturday, June 20, 2020, while paddocking the #5 horse Gotameeting, you failed to stop at the assigned security checkpoint. While going through the checkpoint, you were seen without proper personal protective equipment which is a violation of safety protocols placed by the New York State Gaming Commission (9 NYCRR § 4116.9, 9 NYCRR § § 4119.1). After being summoned to the paddock office to speak with the judges, you returned to the security gate to initiate and argument. Both instances are a

clear violation of rule 9 NYCRR § 4116.9, and 9 NYCRR § 4119.1 which requires all licensees of the commission to "conduct themselves in a forthright, gentlemanly manner at all times while on or near the premises of a licensed harness race track during the operation of a licensed harness race meeting." You are hereby fined \$250 reduced to \$100.

STRATTON, PETER T
Mea on 11/19/2019

WASHINGTON, PA **YOB 1959**
FINED: \$500
HORSE DISQUALIFIED - PLACED 7, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

PA Code Title 7-401.2,401.4,401.41,401.45,403.15. Mr. Stratton was the trainer of record for EASY THREE in the 12th Race at The Meadows on November 19, 2019. Post race analysis of sample 354339 showed the presence of FUROSEMIDE, which was confirmed by split sample. EASY THREE was not permitted to race with FUROSEMIDE, as the horse was not a certified bleeder. Having waived his right to a formal hearing and his right to appeal, Mr. Stratton is hereby fined \$500 and ordered to return all purse monies earned from the above race. EASY THREE finished 2nd and is placed 7th. The fine and purse return is due within 10 days of this ruling. (Appeal waived)

WILSON, GLEN R
Mea on 1/27/2020

GARRISON, MN **YOB 1930**
FINED: \$50
RESTORED TO GOOD STANDING(RES) AS OF
6/24/2020

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7-203.11(e),203.23(b). Mr. Wilson failed to complete his licensing requirements in Pennsylvania in a timely manner. He is hereby fined \$50 and issued an indefinite suspension beginning January 27, 2020 until such time he completes his licensing requirements and pays his fine. During the term of suspension, Mr. Wilson is banned from all racing activities otherwise permitted if properly licensed by the Commission.

Status as of 6/24/2020 - Subsequent Ruling - Having completed his licensing requirements and paying his outstanding fine, Mr. Wilson is hereby restored to good standing in Pennsylvania as of June 24, 2020.

WILSON, JODY L
Mea on 1/27/2020

MINNETONKA, MN **YOB 1965**
FINED: \$50
RESTORED TO GOOD STANDING(RES) AS OF
6/24/2020

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7-203.11(e), 203.23(b). Ms. Wilson failed to complete her licensing requirements in Pennsylvania in a timely manner. She is hereby fined \$50, and issued an indefinite suspension beginning January 27, 2020 until such time she completes her

licensing requirements and pays her fine. During the term of suspension, Ms. Wilson is banned from all racing activities otherwise permitted if properly licensed by the Commission.

Status as of 6/24/2020 - Subsequent Ruling - Having completed her licensing requirements and paying her outstanding fine, Ms. Wilson is hereby restored to good standing in Pennsylvania as of June 24, 2020.

YOUNG, TONY J
Har on 5/19/2014

CAMDEN, DE
RESTORED TO GOOD STANDING(RES) AS OF
6/22/2020

YOB UNKN

POSITIVE TEST - ILLEGAL SUBSTANCE TEST

Mr. Tony (T.J.) Young having failed to return a call on May 19, 2014 to the judges to set a time and date for a hearing at Harrington Raceway is summarily suspended as of May 21, 2014. Mr. Young failed a human drug test taken at Harrington Raceway on May 13, 2014 and this test was reported positive for Oxymorphone on May 19, 2014.

Status as of 6/22/2020 - Subsequent Ruling - Restored to good standing, in Delaware, 6/22/2020. Fine paid in full.

PART THREE - WHIPPING VIOLATIONS

DESLANDES, DAN S
Scar on 6/17/2020

CLIFTON, ME
FINED: \$100

YOB 1992

FAILURE TO KEEP A LINE IN EACH HAND

Mr. Deslandes did fail to keep a line in each hand during the stretch drive.

LOUGH, TYLOR J
ScD on 6/15/2020

JAMESTOWN, OH
FINED: \$300

YOB 1987

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

SCIOTO DOWNS Driver TYLOR LOUGH is hereby fined the sum of three hundred dollars (\$300.00) for a violation of Ohio State Racing Commission Rule 3769-17-17.(c). While driving ELMO BLATCH after the eighteenth qualifying race on June 15,2020 at Scioto Downs, Mr. Lough did re-enter the race track during the qualifying break and use his whip in an excessive and indiscriminate manner. If the fine is not paid to the judges by 6/26/2019 you may be suspended and or license revoked by the O.S.R.C.

MACDONALD, LLOYD ALEX
Scar on 6/17/2020

SOUTH PARIS, ME
FINED: \$125

YOB 1984

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Mr. Macdonald did use the whip excessively from the 5/8 to the 7/8ths. He also had his foot out of the stirrup at the 7/8.

MCDONOUGH, SHAWN M
BR on 6/20/2020

BATAVIA, NY
FINED: \$100

YOB 1990

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

YETMAN, DANIEL J BR on 6/20/2020 INAPPROPRIATE USE OF THE WHIP	HAMBURG, NY FINED: \$100	YOB 1968
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PART FOUR - MINOR PENALTIES

ABEL, RYAN TRAMAINE DTN on 10/1/2019 FAILURE TO HONOR DECLARATION	STREETSBORO, OH FINED: \$200	YOB 1987
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BARKER, SHAWN D II ScD on 6/17/2020 CROSSING OVER BEFORE THE START	CHILLICOTHE, OH FINED: \$100	YOB 1991
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BATES, BRANDON L HoP on 6/18/2020 FAILURE TO KEEP HOLE CLOSED	MONROE, IN FINED: \$100	YOB 1975
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CUSHING, MITCHELL D Stga on 6/18/2020 INTERFERENCE/ CAUSING ANOTHER HORSE TO NOT FINISH	ALBION, ME DRIVING - 3 DAYS, 6/26/2020 THRU 6/28/2020 HORSE PLACED 8	YOB 1998
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DALIOUS, BARTON W Har on 6/23/2020 CHANGING COURSE/CAUSING INTERFERENCE	HARRINGTON, DE FINED: \$200 HORSE PLACED 4	YOB 1998
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KNOX, PHILLIP D Haw on 6/14/2020 FAILURE TO DRIVE WHEN PROGRAMMED	DOWNERS GROVE, IL FINED: \$50	YOB 1964
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KRUEGER, MATTHEW W HoP on 6/16/2020 PASSING LANE VIOLATION	MANTENO, IL FINED: \$100 HORSE PLACED 6	YOB 1975
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LE BLANC, STEVEN J PcD on 6/21/2020 LATE TO THE LASIX/ DETENTION BARN	FELTON, DE FINED: \$250	YOB 1961
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MALETTE, RONALD ScD on 6/18/2020 FAILURE TO HONOR DECLARATION	WAYNESVILLE, OH FINED: \$300	YOB 1945
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MICALLEF, MICHAEL L ScD on 6/19/2020 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS	THORNVILLE, OH FINED: \$100	YOB 1973
NOBLE, DANIEL N ScD on 6/16/2020 FAILURE TO PARTICIPATE IN THE POST PARADE	XENIA, OH FINED: \$100	YOB 1983
OOSTING, MICHAEL J HoP on 6/17/2020 FAILURE TO SET AND MAINTAIN A PROPER PACE	BEECHER, IL FINED: \$100	YOB 1962
PIOTROW, MARTA J PcD on 6/21/2020 FAILURE TO HAVE HORSE PROPERLY EQUIPPED	NESCOPECK, PA FINED: \$100	YOB 1983
PRESLEY, CHRIS S ScD on 6/18/2020 CROSSING OVER BEFORE THE START	HEATH, OH FINED: \$100	YOB 1995
ROBERTS, RONNIE B Haw on 6/20/2020 FAILURE TO HONOR DECLARATION	GRANT PARK, IL FINED: \$200	YOB 1951
SMITH, TREVOR GENE ScD on 6/14/2020 CHANGING COURSE/CAUSING INTERFERENCE	WASHINGTON CH, OH FINED: \$200	YOB 1996
TOMASZEWSKI, WADE R BR on 6/20/2020 FAILURE TO COME UP/STAY IN POSITION	LANCASTER, NY FINED: \$50	YOB 1997
WIDGER, SAMUEL D HoP on 6/19/2020 JOSTLE, STRIKE OR HOOK WHEELS	BEECHER, IL FINED: \$100 HORSE PLACED 8	YOB 1963
WRENN, MELANIE HoP on 6/16/2020 LATE DRIVER CHANGE	CARMEL, IN FINED: \$50	YOB 1965