

FINES AND SUSPENSIONS BULLETIN

Fri, Jul 3 - Thu, Jul 9

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

*** NO RECORDS FOUND ***

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

BANCA, RICHARD J **OTISVILLE, NY** **YOB 1975**
DD on 3/9/2020 **FULL - INDEFINITELY AS OF 3/9/2020**
CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING
RICHARD BANCA has been issued a FULL INDEFINITE SUSPENSION pending a hearing with the DHRC Judges (see DHRC rules: 5.1.16.1; 5.1.16.1.3; 5.1.27.11).
Status as of 7/7/2020 - Subsequent Ruling - Restored to Good Standing, in Delaware, per DHRC Judges. 7/7/2020

COX, WENDY **OAKWOOD VILLAGE, OH** **YOB 1966**
Nfld on 2/29/2020 **FINED: \$500**
FULL - 180 DAYS, 7/4/2020 THRU 12/30/2020
POSSESSION OF HYPODERMIC NEEDLES, SYRINGES AND/OR INJECTABLE AND/OR OTHER DRUGS
Ms. Cox has dropped her appeal of ruling NFLD-2020-063 and the penalty has been amended. O.S.R.C.-3769-18-01(B)(5) 18-07(A) 18-99 3769-12-26(A)(10) 12-99 If the fine is not paid to the judges by 7/9/20 you may be suspended and or license revoked by the commission. Ms. Cox is barred from all grounds governed by the OSRC during her suspension. Any horses under her care that she wants to transfer to another owner or trainer must be approved by the judges or the OSRC.

DOWSE, GARETH W **SWIFTWATER, PA** **YOB 1982**
PcD on 7/1/2020 **RESTORED TO GOOD STANDING(RES) AS OF 7/6/2020**
FAILURE TO OBTAIN PROPER LICENSE
PA title 7-203.51 Mr. Dowse has failed to complete his licensing requirements in Pennsylvania for 2020. He is fined \$50.00 and is suspended indefinitely starting on 7/2/20 and will remain suspended until he completes his licensing requirements.
Status as of 7/6/2020 - Subsequent Ruling - PA Title 7-203.11(e) Mr. Dowse has paid for his 2020 license and paid his fine. As of 7/6/20 he is restored to good standing in Pennsylvania.

GREEN, JASON C
DD on 2/18/2019

HARRINGTON, DE **YOB 1985**
RESTORED TO GOOD STANDING(RES) AS OF
7/8/2020

POSITIVE TEST - ILLEGAL SUBSTANCE TEST

Driver Jason Green is summarily suspended pending a Judge`s hearing. Mr. Green will remain suspended until such hearing is conducted.(see DHRC rule: 10.2.3.1)

Status as of 7/8/2020 - Subsequent Ruling - Restored to Good Standing in Delaware. Restitution paid in full, 7/8/2020

Har on 3/4/2019

RESTORED TO GOOD STANDING(RES) AS OF
7/8/2020

POSITIVE TEST - ILLEGAL SUBSTANCE TEST

Mr.Jason Green had his first hearing on 3-14-19 at Dover Downs at which time a request for a split sample was granted and sent. Results from the split sample were confirmed positive for AMPHETAMINES. Mr. Jason Green appeared for a second Judge`s hearing at Harrington Raceway on 4-24-19. Mr. Green is now under a FULL SUSPENSION for 1 year for a second human drug positive within 2 years. Mr. Green is also required to enroll in and complete at the licensee`s expense an appropriately licensed treatment program pre-approved by the DHRC. Full Suspension begins 3-4-19 to and including 3-2-20 (see DHRC Rule: 5.1.8.5.3). Mr Green has 48 hours to appeal this decision and is responsible for the cost of 2 tests (\$60.00).

Status as of 7/8/2020 - Subsequent Ruling - Restored to Good Standing in Delaware. Restitution paid in full, 7/8/2020

LAKE, DAVID R
Nfld on 3/10/2020

JACKSON, MI **YOB 1970**
RESTORED TO GOOD STANDING(RES) AS OF
6/30/2020

UNPAID OBLIGATION

Mr. Lake is hereby suspended until he pays the fine (\$300.00) per ruling 2020-073 at Northfield Park. O.S.R.C.-3769-17-36 17-99

Status as of 6/30/2020 - Subsequent Ruling - Mr. Lake has paid the fine per ruling NFLD-2020-073 and is restored to good standing in Ohio. O.S.R.C.-3769-17-36 17-99

MCGILL, JOHN E
Har on 9/4/2019

ALBION, IN **YOB 1962**
RESTORED TO GOOD STANDING(RES) AS OF
7/7/2020

FRAUDULENT ACTS RELATIVE TO RACING

Owner John McGill had a Judge`s hearing on 9-4-19 for an ownership review violation. In consideration of the licensee`s complete cooperation and mitigating circumstances, the Judge`s ruled for a fine of \$10,000.00 and a Full Suspension for 180 days beginning 9-18-19 to and including 3-15-20 for concealing other ownership of a horse, on record owned solely by himself. All owner transfers of horses from parties under a full suspension to other owners must be approved by the DHRC Judge`s or the Executive Director and shall not be transferred to a spouse, family member, assistant, current

employee/employer or household member (see DHRC Rules: 5.2.1.5; 5.2.2.2 and 10.2.8.3) Appeals are due 48 hours within receipt of ruling. All fines are to be paid within 10 days after ruling is issued.

Status as of 7/7/2020 - Subsequent Ruling - Restored to Good Standing in Delaware. Fine paid in full. 7/7/2020

SHEEDERS, HARRY ANDREW
Nfld on 2/29/2020

SALEM, MI **YOB 1963**
FINED: \$500
FULL - 180 DAYS, 7/3/2020 THRU 12/29/2020

POSSESSION OF HYPODERMIC NEEDLES, SYRINGES AND/OR INJECTABLE AND/OR OTHER DRUGS

Mr. Sheeders has dropped his appeal of ruling NFLD-2020-064 and the penalty has been amended. O.S.R.C.-3769-18-01(B)(5) 18-07(A) 18-99 3769-12-26(A)(10) 12-99 If the fine is not paid to the judges by 7/10/20 you may be suspended and or license revoked by the commission. Mr. Sheeders is barred from all grounds governed by the OSRC during his suspension.

SHETLER, EMANUEL D
Nfld on 12/27/2019

BEACH CITY, OH **YOB UNKN**
RESTORED TO GOOD STANDING(RES) AS OF
7/2/2020

OTHER

Mr. Shetler is hereby suspended until he contacts the judges at Northfield Park concerning a check that he issued for a 2019 OSRC license. O.S.R.C.-3769-12-26(A)(6) 12-99

Status as of 7/2/2020 - Subsequent Ruling - Mr. Shetler issued a check for a 2020 OSRC license and the check was returned for Not Sufficient Funds. The check has been made good and the fine has been paid. Mr. Shetler is restored to good standing in Ohio. O.S.R.C.-3769-12-26(A)(6) 12-99

VANNICOLA, PHILIP B
Har on 9/15/2014

MIDDLETOWN, DE **YOB 1960**
RESTORED TO GOOD STANDING(RES) AS OF
7/8/2020
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Mr. Philip Vannicola, being trainer of record on the horse DINA`S GAMBLE, blood and urine samples (post race) H-08224, tested by Dalare Associates dated September 15, 2014 contained the drug PROPANTHELINE (class 3). A referee sample was sent via UPS Harrington, DE. on September 25, 2014 to the LSU-School Of Veterinary Medicine. After testing LSU confirmed a PROPANTHELINE POSITIVE (LC/MS/MS). DINA`S GAMBLE finished 1st and is disqualified, purse money to be redistributed. Mr. Vannicola has been issued a Three Thousand Dollar (\$3000.00) fine and a 90 day FULL SUSPENSION (10/16/2014 to 01/13/2015) in accordance with DHRC rule:8.3.2.3: 3.2.8.3; 8.3.2.7.1; 8.4.3.5.9.1; 8.4.3.5.9.2; 8.4.3.5.10; 8.5.1; 8.5.2. Mr. Vannicola is also

required to pay for the cost of the first confirmed positive test from Dalare Associates (\$500.00). Mr. Vannicola is denied the access to all DHRC controlled grounds in the State of Delaware. Mr. Vannicola has appealed the fine and suspension.

Status as of 7/8/2020 - Subsequent Ruling - Restored to Good Standing, in Delaware. Fine paid in full, 7/8/2020.

PART THREE - WHIPPING VIOLATIONS

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| CHARTRAND, ALEK R
Stga on 7/2/2020 | SARATOGA SPRINGS, NY
FINED: \$100 | YOB 1984 |
| EXCESSIVE OR INDISCRIMINATE USE OF WHIP | | |
| While driving # 5 ONSPEED N at Saratoga Gaming on 7/2/20 in race # 8 Mr. Chartrand did use his whip in a manner that was not consistent with the judges philosophy and in violation of NYSGC rule # 4117.8 {C} during the stretch drive. He has been fined \$ 100.00 for this violation. Any further infractions in this area will result in a higher penalty or suspension. | | |
| CUTTING, JACOB
Aces on 7/5/2020 | AIRDRIE, AB
FINED: \$200 | YOB 2001 |
| EXCESSIVE OR INDISCRIMINATE USE OF WHIP | | |
| DESLANDES, DAN S
Scar on 7/3/2020 | CLIFTON, ME
FINED: \$250 | YOB 1992 |
| EXCESSIVE OR INDISCRIMINATE USE OF WHIP | | |
| Mr. Deslandes did cause visible marks on his horse. (welts) | | |
| FLUET, PHILLIP JAMES
Stga on 7/2/2020 | SARATOGA SPRINGS, NY
FINED: \$100 | YOB 1978 |
| USING THE WHIP IN UNCONVENTIONAL MANNER | | |
| While driving # 4 AMAZING AMANDA in race # 9 at Saratoga Gaming on 7/2/2020 Mr. Fluet did use his whip outside the confines of the sulky in the stretch drive which is in clear violation of NSGC rule # 4117.8 {C} (3). Mr. Fluet has been fined \$ 100.00 for this infraction. Any further violations in this area will result in a higher penalty or suspension. | | |
| LONG, CHRIS H
Stga on 7/8/2020 | SARATOGA SPRINGS, NY
FINED: \$100 | YOB 1967 |
| INAPPROPRIATE USE OF THE WHIP | | |
| While driving # 5 DRAMATIST at Saratoga Gaming on 7/8/20 in race # 10 , Mr. Long did use his whip outside the confines of the sulky in the stretch drive. This is a clear violation of rule # 4117.8 c (3). Any further violations in this area will result in a higher penalty or suspension.He has been fined \$ 100.00 for this infraction | | |
| SMITH, JEREMY R | WASHINGTON CH, OH | YOB 1980 |

ScD on 7/1/2020 FINED: \$300

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Scioto Downs Driver JEREMY SMITH is hereby fined the sum of three hundred dollars (\$300.00) for a violation of the whipping rule - Ohio State Racing Commission Rule 3769-17-17.(L). While driving SARA JANE in the fifteenth race on July 1, 2020 at Scioto Downs, Mr. Smith did use his whip in an indiscriminate manner by continuously whipping his horse near the finish line when his horse was clearly second best. If the fine is not paid to the judges by 7/21/2020 you may be suspended and or license revoked by the OSRC

PART FOUR - MINOR PENALTIES

ARMOUR, JAMES THOMAS OD on 7/8/2020 LATE TO THE LASIX/ DETENTION BARN	NORTH EAST, MD FINED: \$100	YOB 1949
BEATTIE, JASON R ScD on 7/1/2020 CHANGING COURSE/CAUSING INTERFERENCE	OREGONIA, OH FINED: \$200	YOB 1972
BECKWITH, MARK D Stga on 7/5/2020 KICKING A HORSE	WILTON, NY FINED: \$100	YOB 1966
BRENNAN, GEORGE PHL on 6/28/2020 INSIDE THE EURO RAIL / PYLON VIOLATION	FREEHOLD, NJ FINED: \$200	YOB 1967
BRICKELL, DAVID C PHL on 7/2/2020 CHANGING COURSE/CAUSING INTERFERENCE	SMICKSBURG, PA DRIVING - 3 DAYS, 7/5/2020 THRU 7/7/2020 HORSE PLACED 5	YOB 1948
CAPPELLO, DANIEL J Stga on 7/2/2020 FAILURE TO KEEP HOLE CLOSED	BALLSTON SPA, NY DRIVING - 5 DAYS, 7/13/2020 THRU 7/17/2020	YOB 1959
CROSS, BRIAN M Stga on 7/2/2020 FAILURE TO KEEP HOLE CLOSED	SARATOGA SPRINGS, NY DRIVING - 5 DAYS, 7/13/2020 THRU 7/17/2020	YOB 1967
FLUET, PHILLIP JAMES Stga on 7/2/2020 KICKING A HORSE	SARATOGA SPRINGS, NY FINED: \$100	YOB 1978

HARMON, DONALD D Aces on 7/5/2020 TALKING ON THE RACE TRACK	JACKSON, MI FINED: \$100	YOB 1966
HARMON, ROBERT W PcD on 7/4/2020 LATE DRIVER CHANGE PcD on 7/4/2020 LATE DRIVER CHANGE	MILFORD, PA FINED: \$200 FINED: \$200	YOB 1969
HERNANDEZ, WILLIAMS Aces on 6/30/2020 FAILURE TO OBEY THE INSTRUCTION OF THE PADDOCK JUDGE	SACRAMENTO, CA FINED: \$25	YOB 1986
HORSEY, CEBA M PHL on 6/28/2020 CHANGING COURSE/CAUSING INTERFERENCE	LAUREL, DE DRIVING - 3 DAYS, 6/30/2020 THRU 7/2/2020 HORSE PLACED 5	YOB 1976
JOHNSON, JESSICA M Aces on 7/3/2020 LATE TO THE PADDOCK	CHISAGO CITY, MN FINED: \$100	YOB 1990
MACOMBER, RICHARD L JR HoP on 7/2/2020 FAILURE TO SET AND MAINTAIN A PROPER PACE	FISHERS, IN FINED: \$100	YOB 1970
MERRIMAN, AARON EDWARD Mea on 6/30/2020 FAILURE TO DRIVE WHEN PROGRAMMED Mea on 6/30/2020 FAILURE TO DRIVE WHEN PROGRAMMED	NORTHFIELD, OH FINED: \$200 FINED: \$200	YOB 1978
PETERSON, MICHAEL G HoP on 6/30/2020 INSIDE THE EURO RAIL / PYLON VIOLATION	ANDERSON, IN FINED: \$100 HORSE PLACED 4	YOB 1978
RANGER, BRUCE W Scar on 7/2/2020 CROWDING/PUTTING ANOTHER WHEEL UNDER ANOTHER HORSE OR DRIVER	LEWISTON, ME FINED: \$200 HORSE PLACED 8	YOB 1959
ROLAND, NICK ROYCE Aces on 6/23/2020 FAILURE TO COME UP/STAY IN POSITION	GRINNELL, IA FINED: \$50	YOB 1982

Aces on 7/5/2020	FINED: \$200	
FAILURE TO MAINTAIN REASONABLE CONTROL OF THE HORSE		
SHETLER, DANIEL LEE	CHESTERFIELD, IN	YOB 1979
HoP on 6/30/2020	FINED: \$50	
LATE DRIVER CHANGE		
SHORT, ADAM B	XENIA, OH	YOB 1987
ScD on 7/4/2020	FINED: \$200	
LATE DRIVER CHANGE		
SMITH, JEREMY R	WASHINGTON CH, OH	YOB 1980
ScD on 7/3/2020	FINED: \$350	
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK		
WIDGER, SAMUEL D	BEECHER, IL	YOB 1963
HoP on 6/27/2020	FINED: \$200	
	HORSE PLACED 3	
CARRY A HORSE OUT		
WILDER, W MIKE	WASHINGTON, PA	YOB 1972
Mea on 6/30/2020	FINED: \$200	
	HORSE PLACED 5	
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK		
WRIGHT, DARRELL LEE	STOCKBRIDGE, MI	YOB 1962
Aces on 7/5/2020	FINED: \$50	
FAILURE TO WEAR/SECURE HELMET		