

FINES AND SUSPENSIONS BULLETIN

Fri, Oct 30 - Thu, Nov 5

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

KING, DAVID J **NEW HOLLAND, PA** **YOB 1989**
USTA on 11/3/2020 **DENIAL(DEN) AS OF 11/3/2020**
USTA MEMBERSHIP ACTION
Membership denied pursuant to the provisions of Article 1, Section 4(a) of the Association by-laws.

MCCANN, JAMES J **HOWARD BEACH, NY** **YOB 1993**
USTA on 11/3/2020 **DENIAL(DEN) AS OF 11/3/2020**
USTA MEMBERSHIP ACTION
Membership denied pursuant to the provisions of Article 1, Section 4(a) of the Association by-laws.

PLUTA, KIM S **JACKSON, MI** **YOB 1970**
USTA on 11/3/2020 **INDEFINITE SUSPENSION(IND) AS OF 11/3/2020**
USTA MEMBERSHIP ACTION
Membership suspended for failure to answer correspondence regarding financial responsibility complaint.

YODER, MERLE E **TOPEKA, IN** **YOB 1961**
USTA on 11/3/2020 **DENIAL(DEN) AS OF 11/3/2020**
USTA MEMBERSHIP ACTION
Membership denied pursuant to the provisions of Article 1, Section 4(a) of the Association bylaws.

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

ANNUNZIATA, MICHAEL **MAHOPAC, NY** **YOB 1977**
PHL on 10/2/2020 **INDEFINITE SUSPENSION(IND) AS OF 11/13/2020**
FAILURE TO PAY FINE(S)
PA Code Title 7- 179.38(c) 205.502 179.22 Mr. Annunziata is issued an indefinite full suspension (commencing 11/13/2020) for failure to pay the fine from ruling 20043CD (\$200). Mr. Annunziata shall remain under a full suspension until this fine is paid in full. Appeals are due within ten days of ruling and must be submitted in writing

(accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

BREWER, PATRICIA
DTN on 10/6/2020

OREGONIA, OH
FINED: \$1,000
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

YOB 1959

POSITIVE TEST- POST RACE

Dayton Raceway O.S.R.C. 3769-18-01.(B)(1)(b) Trainer PATRICIA BREWER is hereby fined the sum of one thousand dollars (\$1,000.00) for violation of Ohio State Racing Commission Rule 3769-18-01.(B)(1)(b). Blood Sample #884542 tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on October 14, 2020 showed a presence of Altrenogest, a steroid. In addition, BIG JOHN HOUN is disqualified from first and placed last. Purse money earned of three thousand one hundred and fifty dollars (\$3,150.00) in the race must be returned and redistributed. If the fine is not paid to the judges by 11/8/2020 you may be suspended or your license revoked.

CAMPBELL, GARY R
Lex on 10/22/2020

DELEON SPRINGS, FL

YOB 1966

POSITIVE TEST- POST RACE

810 KAR 8:010 Sec.2(2)(a)(3)(5)(a)(b)--Industrial Laboratory reported to the Kentucky Horse Racing Commission that blood sample E378088 taken from the horse "Seriously Hanover" revealed the presence of Hydrocortisone Hemisuccinate. Trainer was Gary Campbell. Therefore, due to mitigating circumstances Gary Campbell will be issued a warning only. The purse money or \$3300.00 is hereby ordered returned, forfeited and redistributed in the following manner. "Seriously Hanover" finished 1st and is placed last. "Lucksrealdeal" finished 2nd. placed 1st. "Major Legacy" finished 3rd. placed 2nd. "Mountain High" finished 4th. placed 3rd. "Fuel The Joe" finished 5th. placed 4th. "Cheekie Vic" finished 6th. placed 5th.

DAVIS, DYLAN P
HoP on 7/18/2020

HARRINGTON, DE
HORSE DISQUALIFIED - PLACED 10, PURSE
REDISTRIBUTION

YOB 1977

POSITIVE TEST- POST RACE

71 IAC 5-3-2(a)(2), 71 IAC 8-1-2(a)&(b) Sample #E354532 drawn from MAJESTIC MARVEL after the third race on 7/18/2020 tested positive for Cannabidiol. Split sample was confirmed by University of Cal(Davis). Mr. Davis, as trainer, is in violation of IHRC medication rules and the trainer responsibility rule. All purse money earned must be returned and redistributed. Finished 1st-\$4,000.00 to be returned. Mitigating circumstances were considered.

GARDNER, ANDY J
PcD on 8/10/2020

VERONA, NY
FINED: \$500
FULL - 15 DAYS, 11/11/2020 THRU 11/25/2020

YOB 1983

HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

PA Title 7-401.3(a)(b) 7-203.22 Sample #352748 collected from the horse Old Pal after race #4 on 08/10/20 was found to contain capsaicin. This is in violation of the PA medication rules. Mr. Gardner is fined \$500.00 and suspended(full) starting on 11/11/20 through 11/25/20. During this suspension he and all horses owned and trained by him are barred from all grounds under the jurisdiction of the SHRC. Any transfer of horses during this suspension must be approved by the judges at Pocono Downs or the SRHC. You may appeal this decision in writing to the SHRC within 10 days of notice of this decision. The address for an appeal is:2301 N. Cameron Street, Room 301 Harrisburg, PA 17110 Phone:717-787-5196 ATTN: Anthony Salerno Loss of purse \$2,400

HARRIS, ANDREW S
PHL on 10/4/2020

ALLENTOWN, NJ

YOB 1984

FINED: \$1,000
HORSE DISQUALIFIED - PLACED 8, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

PA Code Title 7-401.2(a)(1) 401.3(a) 401.3(b) 203.22(a) 203.22(b) 401.45 401.46 401.41(b) 401.51(a) 179.22 Mr. Harris was the trainer of the horse OUR MAX PHACTOR N for the tenth race on October 4, 2020 at Harrah's Philadelphia. Blood and urine samples (collected post race) were tested and found to contain Demborexine. As the trainer, Mr. Harris is responsible for the positive test. Mr. Harris is fined \$1,000 (fine has been paid). OUR MAX PHACTOR N is disqualified and all purse money earned (\$5,000) from the tenth race on 10/4/2020 must be returned (purse money has been returned). The complete copy of this ruling is available with the PSHRC Judges at Harrah's Philadelphia.

HAUGHTON, THOMAS L
Wdstk on 10/9/2020

LOXAHATCHEE, FL

YOB 1957

TRAINING - 15 DAYS, 11/3/2020 THRU
11/17/2020
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Thomas Haughton for being trainer of record on sample # E408358 taken from "NANCY BAR" on October 9, 2020 at Shenandoah Downs. Sample was found to contain the drug Medroxyprogesterone. "NANCY BAR" finished first, is disqualified and is ineligible for any purse monies this race. Trainer Thomas Haughton is suspended from training for 15 days 11/3/2020 - 11/17/2020.

HAYNES, BRIAN D
DTN on 9/26/2020

WASHINGTON C H, OH

YOB 1987

FINED: \$1,000
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Dayton Raceway O.S.R.C. 3769-18-01.(B)(1)(b) Trainer BRIAN HAYNES is hereby fined the sum of one thousand dollars (\$1,000.00) for violation of Ohio State Racing Commission Rule 3769-18-01.(B)(1)(b). Blood Sample #876687 tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on October 14, 2020 showed a presence of Altrenogest, a steroid. In addition, STARLITE KID is disqualified from first and placed last. Purse money earned of three thousand six hundred dollars (\$3,600.00) in the race must be returned and redistributed. If the fine is not paid to the judges by 11/8/2020 you may be suspended or your license revoked.

HUFFMAN, MR. CAROLL D

Haw on 5/1/2019

SIGOURNEY, IA

RESTORED TO GOOD STANDING(RES) AS OF 11/4/2020

YOB 1944

FAILURE TO OBTAIN PROPER LICENSE

Having failed to complete 2018 IRB licensing requirements, the temporary owner's license of Carroll Huffman is hereby suspended. All horses owned wholly or in part by Mr. Huffman are ineligible to participate pending a stewards' approved ownership transfer. IRB Rule 502.40.

Status as of 11/4/2020 - Subsequent Ruling - Having complied with licensing requirements, owner Carroll Huffman is hereby restored to good standing in the state of Illinois. IRB Rule 502.40

KEY, ROBERT J

Haw on 5/1/2019

LEECHBURG, PA

RESTORED TO GOOD STANDING(RES) AS OF 11/4/2020

YOB 1933

FAILURE TO OBTAIN PROPER LICENSE

Having failed to complete 2018 IRB licensing requirements, the temporary owner's license of Robert Key is hereby suspended. All horses owned wholly or in part by Mr. Key are ineligible to participate pending a stewards' approved ownership transfer. IRB Rule 502.40.

Status as of 11/4/2020 - Subsequent Ruling - Having submitted a permanent 2018 application, owner Robert Key is hereby restored to good standing in the state of Illinois. IRB Rule 502.40

KREISER, CORY

Wdstk on 10/16/2020

PITTSBURGH, PA

HORSE DISQUALIFIED, PURSE REDISTRIBUTION

YOB 1993

POSITIVE TEST- POST RACE

Cory Kreiser for being trainer of record on sample #E408381 taken from "RYDIA HANOVER" on October 16, 2020 at Shenandoah Downs. Sample did contain Hydrocortisone Hemisuccinate. "RYDIA HANOVER" finished 1st, is disqualified and is ineligible for any purse monies this race.

NEWMAN, BRYCE

MR on 11/2/2020

BLOOMINGBURG, NY

FULL - 15 DAYS, 11/2/2020 THRU 11/16/2020

YOB 1994

REFUSAL TO SUBMIT TO URINE TEST

You failed to provide a urine specimen upon request at Monticello Raceway on 10/30/20. Suspended 15 days, from 11/2/20 through 11/16/20. You must submit to a test and provide a clean sample before you can participate.

REDMOND, JENNIFER L

HoP on 10/6/2020

SPICELAND, IN

FINED: \$1,000

HORSE DISQUALIFIED - PLACED 10, PURSE REDISTRIBUTION

YOB 1968

POSITIVE TEST- POST RACE

71 IAC 5-3-2(a)(2), 71 IAC 8-1-4.2(25) On 10/06/2020 sample #E376187 taken from horse ER VINCENT from the ninth race, tested positive for Triamcinolone at 235 pg/mL, allowable threshold 100 pg/mL, which is a violation of the IHRC medication rules. ER VINCENT finished first. Purse \$4,000.00, winner's share \$2,000.00 to be redistributed.

SARDELLA, ANDREW J

Stga on 10/20/2020

CLIFTON PARK, NY

FINED: \$250

YOB 1974

POSITIVE TEST- POST RACE

Mr. Andrew Sardella the trainer of record for STANDING MAN who raced in a Qualifying race at Saratoga Gaming on 10/20/20 in the first race did have a post race positive for the medication Flunixin . Mr. Sardella has waived his right to an appeal, therefore , he has been fined \$ 500.00 reduced to \$ 250.00 for this infraction of rule # 4120.1 (D) of the NYSGC.

SHAFER, RYAN B

Dover on 9/26/2020

MERCER, PA

FINED: \$250

YOB 1977

POSITIVE TEST- POST RACE

Blood sample #886815 collected at the Tuscarawas County Fair on 9/26/20 from the horse Toss Cartwright was found to contain flunixin at a concentration of 49+-7 nanograms per milliliter of plasma. This exceeds the Regulatory Threshold of 20 nanograms per milliliter adopted by the OSRC. O.S.R.C.-3769-18-01(B)(1)(a) 18-02 18-99 If the fine is not paid to the judges by 11/11/20 you may be suspended and or license revoked by the commission.

SIENA, JOHN C

PcD on 7/22/2020

MIDDLETOWN, NY

RESTORED TO GOOD STANDING(RES) AS OF 10/29/2020

YOB 1959

FAILURE TO OBTAIN PROPER LICENSE

PA Title 7-203.11(e) Mr. Siena has failed to complete his licensing requirements in Pennsylvania for 2020. He is fined \$50.00 and suspended indefinitely starting on 10/17/20.

Status as of 10/29/2020 - Subsequent Ruling - PA Title 7-203.11(e) Mr. Siena has completed his licensing requirements in Pennsylvania and has paid his fine. As of 10/29/20 he is restored to good standing.

YODER, ANTHONY D
PcD on 7/29/2020

ODON, IN **YOB 1989**
RESTORED TO GOOD STANDING(RES) AS OF
11/5/2020

FAILURE TO OBTAIN PROPER LICENSE

PA Title 7-203.11(e) Mr. Yoder has failed to complete his licensing requirements in Pennsylvania for 2020. He is fined \$50.00 and suspended indefinitely starting on 10/20/20.

Status as of 11/5/2020 - Subsequent Ruling - PA Title 7 203.11(e) Mr. Yoder has completed his licensing requirements in Pennsylvania and has paid his fine. As of 11/5/20 he is restored to good standing.

PART THREE - WHIPPING VIOLATIONS

ALLARD, SIMON
PcD on 10/31/2020

PITTSTON, PA
FINED: \$200

YOB 1982

INAPPROPRIATE USE OF THE WHIP

PA Title 7-205.409(c) While driving #2 Peggy J in race #3 on 10/31/20 he did use an exaggerated movement of his whipping arm. He is fined \$200.00 which must be paid by 11/10/20.

BONGIORNO, JOSEPH R
HoP on 10/31/2020

MILLSTONE, NJ
FINED: \$500

YOB 1993

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving CAPTAIN BARBOSSA in the thirteenth race on 10/31/2020, driver Joseph Bongiorno did whip using other than wrist action. This being a stake race penalties are increased.

CUSHING, MITCHELL D
PRc on 10/30/2020

ALBION, ME
FINED: \$100

YOB 1998

OTHER

On 10/30/2020 at PRC in Race 6, driver Mitchell Cushing did whip the No.1 horse DONTUSTOPBELIEVN N after the finish and is hereby fined \$100.00 for the violation. Subsequent violations may result in increased penalties. All fines are due within 48 hours of imposition.

GINGRAS, YANNICK
HoP on 10/24/2020

ALLENTOWN, NJ
FINED: \$300

YOB 1979

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving TALL DARK STRANGER in the eighth race on 10/24/2020, driver Yannick Gingras did whip using other than wrist action.

HoP on 10/30/2020

FINED: \$500

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving JK ALWAYSBALADY in the twelfth race on 10/30/2020, driver Yannick Gingras did whip using other than wrist action. This being a stake race

finer are increased.

HoP on 10/30/2020 FINED: \$750

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving SOUTHWIND GENDRY in the fourteenth race on 10/30/2020, driver Yannick Gingras did whip using other than wrist action. This being the second violation of the rule and a stake race penalties are increased.

HoP on 10/31/2020 FINED: \$1,000

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving TALL DARK STRANGER in the thirteenth race on 10/31/2020, driver Yannick Gingras did whip using other than wrist action. This being the third violation of the rule and a stake race, penalties are increased.

KASH, KEITH J JR MEDINA, OH YOB 1979

Nfld on 10/18/2020 FINED: \$300

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

While driving #6 Bully Pulpit in race 7 on 10/18/20 he whipped the horse excessively after the half. O.S.R.C.-3769-17-17(L) 17-99 If the fine is not paid to the judges by 11/4/20 you may be suspended and or license revoked by the commission.

MCCARTHY, ANDREW JOHN SWEDESBORO, NJ YOB 1986

HoP on 10/31/2020 FINED: \$500

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving SAVE ME A DANCE in the THIRTEENTH race on 10/31/2020, driver Andrew McCarthy did whip using other than wrist action. This being a stake race penalties are increased.

MERTON, MICHAEL J MONTICELLO, NY YOB 1971

MR on 10/29/2020 FINED: \$100

FAILURE TO KEEP A LINE IN EACH HAND

While driving #2 (Bold and Brave) in Race 9 you did whip one handed before the 3/4 pole. Any future violations of this nature will result in increased penalties.

MILLER, ANDY RAY MILLSTONE TOWNSHIP, NJ YOB 1968

HoP on 10/31/2020 FINED: \$300

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving BLANK STARE in the sixth race on 10/31/2020, driver Andy Ray Miller did whip using other than wrist action.

MILLER, DAVID S CREAM RIDGE, NJ YOB 1964

HoP on 10/30/2020 FINED: \$500

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving PERFECT STING in the fourteenth race on 10/30/2020, driver David S. Miller did whip using other than wrist action. This being a stake race penalties are increased.

HoP on 10/31/2020 FINED: \$750

DD on 11/3/2020	FINED: \$300 HORSE PLACED 9	
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK		
CURTIN, PATRICK M PRc on 10/29/2020 LATE DRIVER CHANGE	WINCHENDON, MA FINED: \$50	YOB 1981
DAVIS, ALLAN W RcR on 11/1/2020 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS	SMYRNA, DE FINED: \$100	YOB 1976
EILER, OWEN C JR M on 10/10/2020 FAILURE TO DESIGNATE RESPONSIBLE PERSON/TRAINER IN PADDOCK	PHILADELPHIA, PA FINED: \$100	YOB 1962
GRAY, ALICIA E PRc on 10/30/2020 LATE TO THE LASIX/ DETENTION BARN	SARATOGA SPRINGS, NY FINED: \$100	YOB 1978
HARRISON, GEORGE R MR on 10/30/2020 CROWDING/PUTTING ANOTHER WHEEL UNDER ANOTHER HORSE OR DRIVER	NEW HARTFORD, NY DRIVING - 5 DAYS, 10/31/2020 THRU 11/4/2020	YOB 1960
KAHRIG, DANA R Mea on 11/2/2020 LATE DRIVER CHANGE	HICKORY, PA FINED: \$200	YOB 1988
MCCLURE, BOB C HoP on 10/30/2020 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS	ROCKWOOD, ON FINED: \$300	YOB 1990
MCELHINEY, SHEENA L PRc on 10/30/2020 LATE TO THE LASIX/ DETENTION BARN	ELLINGTON, CT FINED: \$150	YOB 1983
MERTON, GREGORY MR on 11/2/2020 INSIDE THE EURO RAIL / PYLON VIOLATION	MONTICELLO, NY FINED: \$100	YOB 1973
MYERS, HUNTER R Nfld on 10/31/2020 INSIDE THE EURO RAIL / PYLON VIOLATION	WILLIAMSPORT, OH FINED: \$200 HORSE PLACED 5	YOB 1998
PAGE, CHRIS L HoP on 10/24/2020	MT VERNON, OH FINED: \$50	YOB 1983

FAILURE TO DRIVE WHEN PROGRAMMED

STAHL, RYAN R Nfld on 10/28/2020 INSIDE THE EURO RAIL / PYLON VIOLATION	REPUBLIC, OH FINED: \$100	YOB 1978
STALBAUM, LARRY D PcD on 11/3/2020 LATE TO THE LASIX/ DETENTION BARN	MATAMORAS, PA FINED: \$250	YOB 1963
STRATTON, CORY MR on 11/4/2020 CROSS IN FRONT OF A FIELD OR HORSES IN A RECKLESS MANNER	MIDDLETOWN, NY DRIVING - 3 DAYS, 11/5/2020 THRU 11/7/2020	YOB 1992
SWITZER, KEVIN P JR PRc on 10/29/2020 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS	WATERBORO, ME FINED: \$150	YOB 1987
WIDGER, SAMUEL D HoP on 10/29/2020 CHANGING COURSE/CAUSING INTERFERENCE	BEECHER, IL FINED: \$200 HORSE PLACED 3	YOB 1963