

FINES AND SUSPENSIONS BULLETIN

Fri, Apr 16 - Thu, Apr 22

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

*** NO RECORDS FOUND ***

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

CALLAHAN, BRIAN L
RcR on 2/24/2021

QUEEN ANNE, MD **YOB 1970**
FINED: \$1,000
FULL - 60 DAYS, 5/13/2021 THRU 7/11/2021
HORSE DISQUALIFIED - PLACED 9, PURSE
REDISTRIBUTION

POSITIVE TEST- PRE RACE

Brian Callahan being "trainer of record" on blood sample #36981 taken on 2/24/21 from "MITTANY NICOLE" was found to contain a Total Carbon Dioxide (TCO₂) concentration of 42.1+/- 0.89 mmol/L. This is Mr. Callahan's second TCO₂ offence within 16 months. Therefore, a penalty of \$1000 fine and 60-day suspension from training has been issued. (3/11/2021 – 5/9/2021). During this suspension, he and all horses trained by him are barred from the grounds, under the jurisdiction of the Maryland Racing Commission. Any transfer of horses during this suspension must be approved by the Judges at Rosecroft Raceway or MRC. "MITTANY NICOLE" is barred from racing for 30 days before being eligible to race. "MITTANY NICOLE" finished 3rd and was disqualified, placed 9th. All purse monies must be returned within 72 hours of the ruling. COMAR 09.10.02 Addendum A-11 MMV 4pts

Status as of 4/22/2021 - Subsequent Ruling - Brian Callahan being "trainer of record" on blood sample #36981 taken on 2/24/21 from "MITTANY NICOLE" was found to contain a Total Carbon Dioxide (TCO₂) concentration of 42.1+/- 0.89 mmol/L. This is Mr. Callahan's second TCO₂ offence within 16 months. Therefore, a penalty of \$1000 fine and 60-day suspension from training has been issued. (5/13/2021 – 7/11/2021). During this suspension, he and all horses trained by him are barred from the grounds, under the jurisdiction of the Maryland Racing Commission. Any transfer of horses during this suspension must be approved by the Judges at Rosecroft Raceway or MRC. "MITTANY NICOLE" is barred from racing for 30 days (5/13/2021 - 6/11/2021) before being eligible to race. "MITTANY NICOLE" finished 3rd and was disqualified, placed 9th. All purse monies must be returned within 72 hours of the ruling. COMAR 09.10.02 Addendum A-11 MMV 4pts

CURTIN, PATRICK M
PRc on 4/15/2021

WINCHENDON, MA
FINED: \$100

YOB 1981

IMPROPER CONDUCT OR LANGUAGE TO AN OFFICIAL

USTA 17.14 (f). On 4/15/2021 at PRC, driver Patrick Curtin did cause a disturbance in the paddock and paddock office in addition to using offensive language and being disrespectful towards the Paddock Judge. As a result driver Patrick Curtin is hereby fined \$100.00. Subsequent violations may result in increased penalties.

FARRIS, VOD J

LAS VEGAS, NV

YOB 1970

CaIX on 4/16/2021

FULL - 30 DAYS, 4/19/2021 THRU 5/18/2021

POSITIVE TEST - ILLEGAL SUBSTANCE TEST

Following an informal hearing held in The Steward's Office at Cal Expo on Friday, April 16, 2021 regarding complaint #21SW0007, Driver Vod Farris is hereby issued a full suspension for thirty (30) days beginning April 19, 2021 through and including May 18, 2021. Mr. Farris is in violation of CHRB Rules #1874(Disorderly Conduct) and CHRB Rule #1489(a)(8)(Unlawfully engaging in use of any narcotic, dangerous drug or marijuana). Further, prior to the reinstatement of his license privileges, Mr. Farris must show proof of completion of an in-house rehabilitation acceptable to the Board of Stewards. Mr. Farris will remain on an Agreement to Test which will extend to any license issued.

KNOX, PHILLIP D

DOWNERS GROVE, IL

YOB 1964

CaIX on 4/15/2021

FULL - 90 DAYS, 4/18/2021 THRU 7/16/2021

CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

Following a formal hearing held in The Steward's Office at Cal Expo on Thursday, April 15, 2021 regarding complaint #21SW0006, Driver Phillip Knox is hereby issued a full suspension for ninety (90) days beginning April 18, 2021 through and including July 16, 2021. Mr. Knox is in violation of CHRB Rules #1874(Disorderly Conduct), CHRB Rule #1489(a)(7)(Committing an act involving moral turpitude or intemperate acts which have exposed others to danger). CHRB Rule #14859(D)(Violation of probation issued on December 18,2020, Ruling #36 and Agreement to Test issued on March 21, 2020, Ruling #29) and CHRB Rule #1902 (Conduct detrimental to Horse Racing). Further, prior to the reinstatement of his license privileges, Mr. Knox must show proof of completion of an in-house rehabilitation acceptable to the Board of Stewards. Mr. Knox will remain on an Agreement of Test that he signed date April, 15, 2021.

NOBLE, CHRISTI R

XENIA, OH

YOB 1986

MVR on 3/12/2021

FINED: \$500

FULL - 15 DAYS, 4/19/2021 THRU 5/3/2021

HORSE DISQUALIFIED

POSITIVE TEST- POST RACE

Miami Valley Raceway O.S.R.C. 3769-18.01(B)(4)(d). Trainer Christi Noble is hereby fined the sum of five hundred dollars (\$500.00) and issued a full suspension of fifteen days from April 19, 2021 through May 3,2021 for violation of Ohio State Racing Commission Rule 3769-18-.01(B)(4)(d). Blood Sample #893199 tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on March 15, 2021 showed a concentration of TCO2 at 40.0 +/- 1.3 mmol/L, which exceeds the O.S.R.C. regulatory

threshold of 37.0 mmol/L. In addition, Big Bad Jane is disqualified from first and placed last. If the purse money is not returned by 5/4/21 the owners shall stand suspended until all purse money has been returned to the permit holder. During the period of this suspension Mrs. Noble is denied access to all grounds under the jurisdiction of the OSRC. During the suspension Mrs. Noble may not transfer any horse under her care over to another trainer without permission from the judges.

PART THREE - WHIPPING VIOLATIONS

CHINDANO, JOSEPH J JR. **BLOOMINGBURG, NY** **YOB 1999**

PPk on 4/13/2021

FINED: \$100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

In the 5th race on 4/13/21, Mr. Chindano Jr. whipped his horse in an excessive manner. All fines due within 7 days.

FLUET, PHILLIP JAMES **SARATOGA SPRINGS, NY** **YOB 1978**

Stga on 4/20/2021

FINED: \$200

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

While driving # 4 CHIP WALTHER in race # 7 at Saratoga Gaming on 4/20/21 Mr. Fluet did use his whip outside the confines of the sulky in the stretch drive. He has been fined \$ 200.00 for this infraction. Any further violations in this area will result in a higher fine and a suspension.

GRABER, LONNIE **DUNDEE, OH** **YOB 1987**

Nfld on 4/10/2021

FINED: \$300

INAPPROPRIATE USE OF THE WHIP

While driving #5 Time Outa Jail in race 2 on 4/10/21 he whipped the horse in an exaggerated manner by raising his whipping arm above shoulder height and using more than elbow and wrist action. O.S.R.C.-3769-17-17(B)&(C) 17-99 If the fine is not paid to the judges by 4/25/21 you shall be suspended and your license may be revoked by the commission.

LONGO, GERALD **CRETE, IL** **YOB 1945**

CaIX on 4/17/2021

FINED: \$100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Gerald Longo, who drove #3 ROCKIN WITH LOU in the 8th race on Saturday, April 17, 2021 is hereby fined the sum of one hundred dollars (\$100) for violation of CHRB Whipping Rule #1734(d) (Driver may not use the whip more than three times in succession without giving the horse a chance to respond). Second offense in 60 days.

PLANO, LUKE **SACRAMENTO, CA** **YOB 1980**

CaIX on 4/17/2021

FINED: \$100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Luke Plano, who drove #5 ALWAYSALITTLEMORE in the 8th race on Saturday, April 17,2021 is hereby fined the sum of one hundred dollars (\$100) for violation of CHRB Whipping Rule #1734(b) (whipping shall be restricted to elbow and wrist action only).
Second offense in 60 days.

ROLAND, NICK ROYCE **GRINNELL, IA** **YOB 1982**
CaIX on 4/11/2021 FINED: \$200

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Nick Roland, who drove #7 STANHOPE in the 8th race on Sunday, April 11,2021 is hereby fined the sum of two hundred dollars (\$200) for violation of CHRB Whipping Rule #1734(b) (whipping shall be restricted to elbow and wrist action only). Third offense in 60 days.

WALLIS, KEVIN W **WILKES BARRE, PA** **YOB 1956**
PPk on 4/13/2021 FINED: \$100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

In the 3rd race on 4/13/21, Mr. Wallis whipped his horse in an excessive manner. All fines due within 7 days.

PART FOUR - MINOR PENALTIES

BERRY, PATRICK D **HARRINGTON, DE** **YOB 1976**
Har on 4/19/2021 FINED: \$25
TALKING ON THE RACE TRACK

COX, SCOTT L **STREETSBORO, OH** **YOB 1969**
Nfld on 4/14/2021 FINED: \$50
FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION

DUPONT, DONALD **LOXAHATCHEE, FL** **YOB 1951**
PPk on 4/6/2021 FINED: \$50
FAILURE TO COME UP/STAY IN POSITION

HARVEY, DANIEL **POMPANO BEACH, FL** **YOB 1960**
PPk on 4/18/2021 DRIVING - 5 DAYS, 4/23/2021 THRU 4/27/2021
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK

LONEY, C BRIAN **NORTHFIELD, OH** **YOB 1978**
Nfld on 4/10/2021 FINED: \$100
LATE DRIVER CHANGE

MORGAN, ANTHONY N **WARWICK, MD** **YOB 1958**
Har on 4/19/2021 FINED: \$25
TALKING ON THE RACE TRACK

MOSHER, MARC G PHL on 4/16/2021 LATE TO THE LASIX/ DETENTION BARN	RIDLEY PARK, PA WARNING ISSUED	YOB 1968
NICKERSON, JIMMY J PRc on 4/16/2021 LATE DRIVER CHANGE	MALTA, NY FINED: \$100	YOB 1973
OAKES, HUNTER WILLIAM PcD on 4/10/2021 LEAVING HORSE UNATTENDED	WILKES-BARRE, PA FINED: \$100	YOB 1998
OGLESBY, CLYDE L JR RcR on 4/14/2021 LATE TO THE LASIX/ DETENTION BARN	DELMAR, MD FINED: \$100	YOB 1955
OLSEN, COLE N RcR on 4/18/2021 JOSTLE, STRIKE OR HOOK WHEELS	POCOMOKE, MD FINED: \$150 HORSE PLACED 5	YOB 2002
PARKER, BRANDON A MR on 4/15/2021 JOSTLE, STRIKE OR HOOK WHEELS	MONTICELLO, NY DRIVING - 3 DAYS, 4/29/2021 THRU 5/1/2021	YOB 1998
SUTTON, JOSHUA MVR on 4/17/2021 INSIDE THE EURO RAIL / PYLON VIOLATION	CLARKSVILLE, OH FINED: \$100 HORSE PLACED 3	YOB 1982
WIDGER, SAMUEL D HoP on 4/16/2021 FAILURE TO SET AND MAINTAIN A PROPER PACE	BEECHER, IL FINED: \$100	YOB 1963
WILFONG, KYLE J HoP on 4/10/2021 IMPEDING THE PROGRESS OF ANOTHER HORSE	PENDLETON, IN FINED: \$100	YOB 1991