

FINES AND SUSPENSIONS BULLETIN

Fri, Sep 22 - Thu, Sep 28

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

HAYNES, CHELSEY N **HILLSVILLE, VA** **YOB 1995**
USTA on 9/25/2023 **INELIGIBLE(INE) AS OF 9/25/2023**
USTA MEMBERSHIP ACTION
Declared ineligible for membership for violation of Rule 20, Section 9 - financial responsibility.

MANN, WILLIAM P **EASTON, PA** **YOB 1976**
USTA on 9/25/2023 **INDEFINITE SUSPENSION(IND) AS OF 9/25/2023**
USTA MEMBERSHIP ACTION
Indefinite suspension for violation of Rule 20, Section 9 - financial responsibility.

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

BECKWITH, BRETT M **WILTON, NY** **YOB 2003**
PRc on 9/21/2023 **FINED: \$200**
OTHER
USTA & 2023 Plainridge Park Horsemen`s Rule Guide Part 1.1 (B). After the finish of Race 8 on 09/21/2023, while driving No.3 SURREAL SYRAH, driver Brett Beckwith failed to conduct himself in a forthright and gentlemanly manner. As a result of the violation Mr. Beckwith is hereby fined the sum of \$200.00. All fines are due within 48 hours of imposition.

CLEGG, NICK A **NORTHFIELD, OH** **YOB 1974**
Nfld on 9/3/2023 **FINED: \$500**
IMPROPER CONDUCT OR LANGUAGE TO AN OFFICIAL
Mr. Clegg was disrespectful to a security officer when using improper and offensive language to him in the barn area on 9/3/23. He appeared for a hearing with the judges on 9/10/23 and is hereby fined \$1000. The penalty will be reduced to a fine of \$500 if not appealed. O.S.R.C.-3769-17-29(B)(5) 17-99 If the fine is not paid to the judges by 9/21/23 you will be suspended.

COYLE, CHRISTOPHER C **WINGATE, NC** **YOB 1960**
Mea on 9/24/2023 **FINED: \$50**
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023
FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

CUMMINGS, JOHN PAUL JR

ALDEN, NY

YOB 1963

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

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DANIELS, CEDRIC T

JACKSON, MS

YOB 1972

Haw on 9/23/2023

FULL - INDEFINITELY AS OF 9/23/2023

INDEFINITE SUSPENSION(IND) AS OF 9/23/2023

OTHER

Having failed to complete 2023 IRB licensing requirements (submit permanent application and fingerprints), the owner's license of Cedric Daniels is hereby suspended. All horses owned wholly or in part by Mr. Daniels are ineligible to participate pending a stewards' approved ownership change.

ECKER, BRETT SCOTT

BRICK, NJ

YOB 1988

M on 4/12/2022

FINED: \$2,500

FULL - 366 DAYS, 10/1/2023 THRU 9/30/2024

CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

On April 12, 2022 the investigative staff of the New Jersey Racing Commission (N.J.R.C.) did conduct a routine stable inspection at Legend Farm which is located in Wrightstown New Jersey. Legend Farm is an off-track training facility that is licensed by and under the jurisdiction of the NJRC. An administrative hearing regarding this stable inspection was conducted on June 1, 2023, before the board of Judges at The Meadowlands Racetrack. As a result of the evidence and testimony presented in this administrative hearing, the Board of Judges have determined that Brett Ecker was in violation of various rules and regulations of the N.J.R.C. Brett Ecker did work in the capacity of caretaker of Standardbred horses while on the grounds of Legend Farm. As promulgated in N.J.A.C. 13:71-7.1(a) 4 and 13:71-7.26, the position of caretaker of Standardbred horses while on a licensed facility requires licensure by the N.J.R.C. Brett Ecker was not licensed by the N.J.R.C. while working with Standardbred racehorses and did provide false and misleading

information to members of the NJRC investigative Staff during the course of an investigation. These actions are in direct violation of N.J.A.C. 13:71-26.7. As a result of these actions, Brett Ecker is hereby fined the sum of two thousand-five hundred (\$2,500.00) dollars and is suspended for a period of one (1) year. The period of suspension shall begin on October 1, 2023, and continue through October 1, 2024, inclusive. During the period of suspension Mr. Ecker is denied access to all grounds that are under the jurisdiction of the NJRC for any and all purposes. As Mr. Ecker is not currently licensed in calendar year 2023, the suspension will be treated as a period during which he is ineligible for licensure with the N.J.R.C. The fine associated with this ruling must be received by The N.J.R.C. on or before October 1, 2024. Violation of N.J.A.C. 13171-7.1 (a) 4 Violation of N.J.A.C. 13:71-7.2 Violation of N.J.A.C. 13:71-7.26 Violati

FERRARI, KIESHA

MACEDONIA, OH

YOB 1969

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a \$50 fine (fines are due within ten days of ruling) and an

indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

FISHER, KORE J

STRASBURG, PA

YOB 1980

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

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FREDRIKSSON, KRISTER

KUNGSANGEN

YOB 2023

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

GEROW, KATELYN R
PRc on 6/27/2023

OAKLAND, ME **YOB 1999**
FINED: \$2,000
FULL - 180 DAYS, 10/1/2023 THRU 3/28/2024
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

205 CMR 3.29. On 07/10/2023 Industrial Labs reported to the Massachusetts Gaming Commission/Racing Division the post race result of blood sample E622205. The sample was collected from the horse COLLUSION ILLUSION on 06/27/2023 who competed in Race 3 at Plainridge Park and finished 1st. This adverse analytical finding revealed the presence of the drug Lamotrigine confirmed in the blood. Lamotrigine is a Class 3A Drug. Trainer of record Katelyn Gerow exercised her right to a split sample. The sample was sent to an independent lab and was returned confirming the initial finding. A hearing was conducted on 09/25/2023 and as a result trainer of record Katelyn Gerow is hereby issued a full suspension for a period of 180 days beginning 10/01/2023 thru and including 03/28/2024. In addition Katelyn is fined the sum of \$2,000.00. The horse COLLUSION ILLUSION finished 1st and is hereby disqualified and placed last. The purse money won or

\$3,500.00 is hereby ordered forfeited, returned and redistributed. Purse money forfeited must be returned within 10 days of ruling. In addition the fine is due within 48 hours of imposition.

HERSHBERGER, ANDREW J
Mea on 9/24/2023

NORTHERN CAMBRIA, PA **YOB UNKN**
FINED: \$50
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

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JACKSON, ZACHARY
Farm on 9/18/2023

MENTOR ON THE LAKE, OH **YOB 1998**
FINED: \$250
CONDITIONAL/PROBATIONARY - 5 DAYS,
9/28/2023 THRU 10/2/2023

OFFENSIVE/PROFANE LANGUAGE

While in the paddock at Farmington on 9/18/23 Mr. Jackson did violate Chapter 7, Section 53 1 (C,3) Using offensive, threatening or profane language to another licensee. Mr. Jackson has been suspended from the paddock area at all racing facilities for 5 days 9/28/23 to 10/02/23. Mr. Jackson has been fined the amount of \$250.00 for this infraction. Any further violations in this area will result in a higher fine and suspension.

LAMB, JEFFREY S

PLAIN CITY, OH

YOB 1965

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

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LAMB, KIMBERLY K.

PLAIN CITY, OH

YOB 1967

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of

ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

LONGOBARDI, DOMENIC N

FRANKLIN, MA

YOB 1998

PcD on 8/5/2023

FINED: \$50

FAILURE TO OBTAIN PROPER LICENSE

Fined: \$50, Indefinite full suspension as of 9/20/2023. PA Title 7-203.11(e) Domenic N Longobardi has failed to complete licensing requirements in PA for 2023. Mr. Longobardi is fined \$50 and as of 9/20/2023 is suspended indefinitely and will remain suspended until the fine is paid and licensing requirements have been met.

MC DERMOTT, JOHN PAUL

GOSHEN, NY

YOB 1965

PcD on 4/25/2023

FINED: \$2,000

HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

PA Code Title 7-203.22, 401.3(a)(b) Sample #404674 F collected from the horse Elegant Dulupin FR after Race 10 on April 25, 2023 at Pocono Downs was found to contain Betamethasone at a level in violation of the PA medication rules. After waiving the right to appeal John McDermott is fined \$2000. Elegant Dulupin FR finished 1st and is disqualified. Purse money earned (\$20,000) must be returned within 10 days of this ruling for redistribution.

MC GHEE, JANIS M

AIKEN, SC

YOB 1960

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

MONGIELLO, BRANDON L

MANALAPAN, NJ

YOB 1992

M on 5/5/2023

FINED: \$3,000

POSSESSION OF HYPODERMIC NEEDLES, SYRINGES AND/OR INJECTABLE AND/OR OTHER DRUGS

An administrative hearing was conducted on August 3, 2023, in the Judges office at the Meadowlands Racetrack the purpose of which was to receive evidence and testimony regarding a routine inspection of New Jersey Racing Commission ("N.J.R.C.") licensed trainer Brandon Mongiello`s stabling area located at Crystal Brook Training Center, an off-track stabling facility licensed by and under the jurisdiction of the N.J.R.C. Having

considered the evidence and testimony presented at this hearing, the Board of Judges has determined that, on May 5, 2023: Brandon Mongiello did possess hypodermic needles and syringes, as well as other instruments used for injection, in his stabling area. The possession of hypodermic needles, syringes and instruments used for injection by someone other than a veterinarian licensed by the N.J.R.C. on a facility licensed by and under the jurisdiction of the N.J.R.C., is in direct violation of N.J.A.C. 13:71-1.19, N.J.A.C. 13:71-7.29 (a) 13, N.J.A.C. 23.6 (c) (d) and N.J.A.C. 13:71-23.9. As a result, Mr. Mongiello is hereby issued a full suspension of his NJRC license for a period of six (6) months and is fined the sum of one-thousand (\$1000.00) dollars; In addition to possessing hypodermic needles, syringes and instruments used for injection, Mr. Mongiello was also in possession of injectable substances and prescription legend drugs in his stabling area. The possession of injectable substances and prescription legend drugs by someone other than a veterinarian licensed by the N.J.R.C. on a facility licensed by and under the jurisdiction of the N.J.R.C. is in direct violation of N.J.A.C. 13:71-1.19, N.J.A.C. 13:71-7.29 (a) 13, N.J.A.C. 13:71-23.6 (c) (d), and N.J.A.C. 13:71-23.9. For this second violation, Mr. Mongiello is hereby issued an additional full suspension of his NJRC license for a period of six (6) months and fined the additional sum of one-thousand (\$1,000.00) dollars. Brandon Mongiello did employ an individual at his stabling area

MUTH, JAMES N

Mea on 9/24/2023

CINCINNATI, OH

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

YOB 1973

FAILURE TO OBTAIN PROPER LICENSE

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PETERSON, MICHAEL G

Gshn on 7/25/2023

ANDERSON, IN

WARNING ISSUED

YOB 1978

LOW OR EXCESS LEVEL OF AUTHORIZED MEDICATION

An analysis of the test sample obtained from ASLIVEROFFASION following its first-place finish in the 16th race at the Elkhart County Fair in Goshen, IN on 7/25/23 contained Furosemide exceeding the allowable level permitted in Indiana County Fair Races as prescribed by the Indiana Horse Racing Commission Rules, 71 IAC 8-1-4.2. Mike Peterson, trainer of ASLIVEROFFASION, was advised of this positive test and of his rights to split same testing. Trainer Peterson chose to waive his right to send a split sample. Trainer Peterson is issued this WARNING for exceeding the threshold of Furosemide in ASLIVEROFFASION.

PEZZONE, MATTHEW A

PULASKI, PA

YOB 1976

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

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POINDEXTER, LARRY

COVINGTON, TN

YOB 1951

PtInd on 7/3/2023

HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

An analysis of the test sample obtained from INCREDIBLE LUCK following its first-place finish in the 15th race at the Jay County Fair in Portland, IN on 7/3/23 contained Furosemide. INCREDIBLE LUCK had not been declared to compete on Furosemide when entered to race in accordance with the standard used in Indiana County Fair Races as prescribed by the Indiana Horse Racing Commission Rules, 71 IAC 8-1-4.2. Larry Poindexter, trainer of INCREDIBLE LUCK, was advised of this positive test and of his rights to split same testing. Trainer Poindexter chose to waive his right to send a split sample. Therefore, INCREDIBLE LUCK is disqualified and the purse money won is hereby ordered forfeited and redistributed among the horses according to their order of finish.

Kendl on 7/8/2023

HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

An analysis of the test sample obtained from INCREDIBLE LUCK following its first-place finish in the 11th race at the Noble County Fair in Kendallville, IN on 7/8/23 contained Furosemide. INCREDIBLE LUCK had not been declared to compete on Furosemide when entered to race in accordance with the standard used in Indiana County Fair Races as prescribed by the Indiana Horse Racing Commission Rules, 71 IAC 8-1-4.2. Larry Poindexter, trainer of INCREDIBLE LUCK, was advised of this positive test and of his rights to split same testing. Trainer Poindexter chose to waive his right to send a split sample. Therefore, INCREDIBLE LUCK is disqualified and the purse money won is hereby ordered forfeited and redistributed among the horses according to their order of finish.

RICHARDSON, MAKAYLYN A

HATTIESBURG, MS

YOB 1999

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

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Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN:
Anthony Salerno

SCHMUCKER, TOM

Mea on 9/24/2023

FORT WAYNE, IN

FINED: \$50

YOB 1960

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

SWAREY, MR JOHN S

Mea on 9/24/2023

BIG PRAIRIE, OH

FINED: \$50

YOB 1998

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

TERPOLILLI, MIKE A

M on 7/21/2023

WOODSTOWN, NJ

FINED: \$500

YOB 1988

FULL - 15 DAYS, 8/25/2023 THRU 9/8/2023
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Mike Terpolilli was the programmed trainer of the horse "Tisadream N" when he raced in the 5th race at the Meadowlands on July 21, 2023. Following this race, "Tisadream N" was required to submit blood samples for analysis. A report from Industrial Laboratories identified the presence of Flunixin above the allowable limits. As a result, Mr. Terpolilli is issued a full suspension of his New Jersey Racing Commission license for a period of fifteen (15) days and fined the sum of five hundred (\$500.00) dollars. Said suspension shall begin on August 25, 2023 and run through September 8, 2023 inclusive. During the period of suspensions, Mr. Terpolilli is denied all access to all grounds that are under the jurisdiction of the New Jersey Racing Commission for any and all purposes. Mr. Terpolilli has waived his right to split sample testing as well as his right to a hearing with the Board of Judges. The fine association with this ruling must be received by the New Jersey Racing Commission on or before September 8, 2023. Pursuant to N.J.A.C. 13:71-23.7, the purse monies earned by the horse "Tisadream N" on July 21, 2023 are ordered to be held

pending the timely filing of an appeal. Should an appeal be filed, the purse monies earned by the horse "Tisadream N" on July 21, 2023 shall be held pending a final decision by the NJRC. Should no appeal be filed in accordance with N.J.A.C. 13:71-3.4, the purse monies earned by "Tisadream N" on July 21, 2023 shall be redistributed in accordance with the official order of finish. In addition to the penalty issued herein, 1/2 Multiple Medication Violation (MMV) points by the Association of Racing Commissioners shall be assigned as a result of this violation. MMV points - 1/2 point Violation of N.J.A.C. 13:71-23.1(a)(b)(14) Violation of N.J.A.C. 13:71-23.6(a)(b)(c)(d) Violation of N.J.A.C. 13:71-23.7(a)(b)(c)(d) Violation of N.J.A.C. 13:71-23.8(f)1(g)1

VAFIADES, JASON A

FREEPORT, ME

YOB 1976

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

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VEACH, JAY L

DELAWARE, OH

YOB 1966

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

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WENGERD, RAY

GARRETTSVILLE, OH

YOB UNKN

Mea on 9/24/2023

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

PART THREE - WHIPPING VIOLATIONS

ATHEARN, MARK L **CUMBERLAND, ME** **YOB 1966**
CUMB on 9/25/2023
FINED: \$100
FAILURE TO KEEP A LINE IN EACH HAND
FAILURE TO KEEP HAND IN HANDHOLDS

ATHEARN, MATTHEW M **CUMBERLAND, ME** **YOB 1998**
Stga on 9/17/2023
FINED: \$250
DRIVING - 1 DAY, 9/24/2023 THRU 9/24/2023

FAILURE TO KEEP A LINE IN EACH HAND

Mr. Athearn while driving #6 WHIMSICAL JOURNEY in the 11th Race at Saratoga Harness on September 17, 2023, failed to keep a line in each hand while whipping before the 3/4 pole. Mr. Athearn is hereby issued a \$250 fine and a 1 day driving suspension to be served September 24, 2023(2nd whipping violation within 365 days). Further whipping violations will result in greater penalties.

PRC on 9/21/2023
FINED: \$250
DRIVING - 1 DAY, 9/28/2023 THRU 9/28/2023

INAPPROPRIATE USE OF THE WHIP

USTA Rule 18.00 S18.08 (c)3. Penn National Gaming PRC Horsemen"s Rules. On 09/21/2023 at PRC, while driving No.1 HOWDOYALIKEIT in Race 10, driver Matthew Athearn did use his whip using other than wrist and elbow action after the start. (1st offense). As a result of the violation Mr. Athearn is hereby fined the sum of \$250.00 and is suspended from driving only for a period of one day, 09/28/2023. All fines are due within 48 hours of imposition.

CIAVARDINI, ROBERT P **BREWSTER, NY** **YOB 1953**
TgDn on 9/3/2023
FINED: \$100
EXCESSIVE OR INDISCRIMINATE USE OF WHIP

CONRAD, CARSON M **MORRISTOWN, IN** **YOB 2004**
HoP on 9/19/2023
FINED: \$300

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving No. 5 DON`T FORGET ME in race 4 on 9/19/2023 at Hoosier Park driver Carson Conrad violated the IHRC whipping rule by raising his whipping arm above his shoulder and going back behind his head multiple times while whipping in the stretch. For this violation Mr. Conrad is fined \$300.00 which is due within seven days of this ruling. Mr. Conrad waived his right to a hearing and agreed to the penalty.

CRUSE, BRANDON **VERNON, NY** **YOB 1994**
VD on 9/23/2023
FINED: \$250
DRIVING - 1 DAY, 9/29/2023 THRU 9/29/2023

INAPPROPRIATE USE OF THE WHIP

On Saturday, September 23, 2023, while driving the 6 horse, Love The Action, in the 4th race at Vernon Downs, you were observed by NYS Gaming Commission officials using

your whip in an excessive manner. For this violation, you are hereby fined \$250.00 and suspended for one day, September 29, 2023. Any further violations of this nature will result in a further escalation of penalties. THIS IS A DRIVING SUSPENSION ONLY.

DERUE, JORDAN
Stga on 9/18/2023

FT EDWARD, NY **YOB 1990**
FINED: \$250
DRIVING - 1 DAY, 9/23/2023 THRU 9/23/2023

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Mr. Derue while driving #5 MINI ROSE in the 4th Race at Saratoga Harness on September 18, 2023, was in violation of the whipping rule in the stretch. Mr. Derue is hereby issued a \$250 fine and a 1 day driving suspension to be served September 23, 2023 (2nd offense). Further violations of this nature will result in greater penalties.

DUPONT, DONALD
Fhld on 9/9/2023

HOWELL, NJ **YOB 1951**
FINED: \$500
DRIVING - 5 DAYS, 9/29/2023 THRU 10/7/2023

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

While driving #6 DARLING`S DRAGON in the 4th race at Freehold Raceway on Saturday, Setpember 9, 2023, Donald Dupont, did raise his whipping arm above his shouldar and use more than wrist action while driving DARLING`S DRAGON. The Board of Judges has determined that Mr. Duponts` actions are in direct violation of N.J.A.C. 13:71-20.15 (c) 3, 4. Having waived his rights before the Board of Judges, driver Donald Dupont is hereby issued a driving suspension for a period of five (5) New Jersey race days and is also fined the sum of five hundred (\$500.00) dollars. Said suspension shall be served on September 29, September 30, October 5, October 6 and October 7, 2023. The payment of the fine associated with this ruling must be received by the New Jersey Racing Commission on or before October7, 2023. Violation N.J.A.C. 13:71-20.15(c)2, 3, 4.

JOHNSTON, SHAWN P
Nfld on 9/18/2023

EVANS CITY, PA **YOB 1993**
FINED: \$300

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

While driving #7 Dancethewayuare in race 2 on 9/18/23 he whipped the excessively. Mr. Johnston has waived his right to a hearing and is hereby fined \$500. The penalty will be reduced to a fine of \$300 if not appealed. O.S.R.C.-3769-17-17(L) 17-99 If the fine is not paid to the judges by 10/5/23 you will be suspended.

OOSTING, MICHAEL J
HoP on 9/22/2023

BEECHER, IL **YOB 1962**
FINED: \$300

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(d)(5) While driving No. 3 CAPTIAN SLEAZE in race 8 at Hoosier Park on 9/22/2023 driver Mike Oosting did cause welts to the horse. For this violation Mr. Oosting is fined \$300.00 (second such offense) which is due within seven days of this ruling. Mr. Oosting waived his right to a hearing and agreed to the penalty. **Penalty was mitigated because Mr. Oosting was within the IHRC whipping guidelines.**

PART FOUR - MINOR PENALTIES

| | | |
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| ATKINSON, JAMES B Har on 9/27/2023 INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK | HARRINGTON, DE FINED: \$200 | YOB 1954 |
| BROWN, BRADY Mea on 9/16/2023 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS | SLIPPERY ROCK, PA FINED: \$200 | YOB 1993 |
| CASE, WALTER H JR Farm on 9/19/2023 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS | RICHMOND, ME DRIVING - 2 DAYS, 9/23/2023 THRU 9/24/2023 | YOB 1961 |
| CRAWFORD, KEITH H Nfld on 9/12/2023 CHANGING COURSE/CAUSING INTERFERENCE | COLUMBUS, OH FINED: \$350 HORSE PLACED 7 | YOB 1946 |
| CUSHING, RONALD L Farm on 9/19/2023 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS | ALBION, ME DRIVING - 2 DAYS, 10/6/2023 THRU 10/7/2023 | YOB 1964 |
| DEVAUX, JAMES J Stga on 9/23/2023 CROWDING/PUTTING ANOTHER WHEEL UNDER ANOTHER HORSE OR DRIVER | PORT JERVIS, NY DRIVING - 3 DAYS, 9/27/2023 THRU 9/29/2023 HORSE PLACED 9 | YOB 1967 |
| FANNING, THOMAS W PcD on 9/25/2023 NO VACCINATION / COGGINS / HEALTH CERTIFICATE | JACKSON, NJ FINED: \$100 | YOB 1958 |
| FERGUSON, SCOTT L DTN on 9/26/2023 NO VACCINATION / COGGINS / HEALTH CERTIFICATE | TROY, OH FINED: \$300 | YOB 1965 |
| FRANCO, JUAN Haw on 9/9/2023 INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK | CRETE, IL FINED: \$200 | YOB 1977 |
| GRAY, MARK S RcR on 9/26/2023 LATE TO THE LASIX/ DETENTION BARN | CHESTER, MD FINED: \$100 | YOB 1962 |
| HANNERS, LUKE | BALLSTON SPA, NY | YOB 2000 |

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|---|---|-----------------|
| TgDn on 9/4/2023 | DRIVING - 3 DAYS, 9/19/2023 THRU 9/21/2023 HORSE PLACED 5 | |
| CHANGING COURSE/CAUSING INTERFERENCE | | |
| HILL, CURTIS JR. Lex on 9/19/2023 LATE DRIVER CHANGE | TOOMSUBA, MS FINED: \$200 | YOB 1960 |
| HOLLIDAY, KENNETH R DTN on 9/26/2023 FAILURE TO DRIVE WHEN PROGRAMMED | MARYSVILLE, OH FINED: \$100 | YOB 1963 |
| HUDSON, LANCE H PcD on 9/23/2023 DELAYING THE START | HUGUENOT, NY FINED: \$100 | YOB 1971 |
| INDOF, JEREMY F Mea on 9/7/2023 FAILURE TO DRIVE WHEN PROGRAMMED Mea on 9/7/2023 FAILURE TO SET AND MAINTAIN A PROPER PACE Mea on 9/8/2023 FAILURE TO DRIVE WHEN PROGRAMMED Mea on 9/8/2023 FAILURE TO DRIVE WHEN PROGRAMMED | BELLE VERNON, PA FINED: \$200 FINED: \$200 FINED: \$200 FINED: \$200 | YOB 1996 |
| KEHM, JERRY E Mea on 9/13/2023 FAILURE TO SET AND MAINTAIN A PROPER PACE | OAKDALE, PA FINED: \$200 | YOB 1963 |
| KING, LARRY D Nfld on 9/13/2023 FAILURE TO HONOR DECLARATION | WILLSHIRE, OH FINED: \$300 | YOB 1942 |
| KNOX, PHILLIP D Haw on 9/22/2023 CHANGING COURSE/CAUSING INTERFERENCE | DOWNERS GROVE, IL FINED: \$200 | YOB 1964 |
| KRIVELIN, ROBERT J TgDn on 9/3/2023 SLOW QUARTER/HALF | GOSHEN, NY | YOB 1951 |
| LAKE, ANGUS D Nfld on 9/18/2023 | NORTHFIELD, OH FINED: \$100 | YOB 1969 |

INSIDE THE EURO RAIL / PYLON VIOLATION

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|---|--|-----------------|
| MACDONALD, ANTHONY A Mea on 9/7/2023 FAILURE TO SET AND MAINTAIN A PROPER PACE | GUELPH, ON FINED: \$200 | YOB 1976 |
| MASSEY, KODY RAY DTN on 9/27/2023 FAILURE TO DRIVE WHEN PROGRAMMED | CLIO, MI FINED: \$100 | YOB 1983 |
| MATA, VICENTE Nfld on 9/18/2023 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS | MAO FINED: \$100 | YOB 1971 |
| MERRIMAN, AARON E Nfld on 9/17/2023 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS | NORTHFIELD, OH FINED: \$100 | YOB 1978 |
| MILLER, BRETT A DTN on 9/26/2023 INSIDE THE EURO RAIL / PYLON VIOLATION DTN on 9/28/2023 INSIDE THE EURO RAIL / PYLON VIOLATION | BLACKLICK, OH FINED: \$100 FINED: \$100 HORSE PLACED 9 | YOB 1973 |
| MILLER, TYLER J TgDn on 9/3/2023 CHANGING COURSE/CAUSING INTERFERENCE TgDn on 9/9/2023 INSIDE THE EURO RAIL / PYLON VIOLATION TgDn on 9/10/2023 INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK TgDn on 9/23/2023 CHANGING COURSE/CAUSING INTERFERENCE | MILLSTONE TWP, NJ DRIVING - 3 DAYS, 9/11/2023 THRU 9/13/2023 HORSE PLACED 5 FINED: \$100 DRIVING - 3 DAYS, 9/25/2023 THRU 9/27/2023 HORSE PLACED 7 DRIVING - 3 DAYS, 10/2/2023 THRU 10/4/2023 HORSE PLACED 7 | YOB 1998 |
| MOORE, RORY D Hick on 8/22/2023 FAILURE TO WEAR A PROTECTIVE VEST | GRAFTON, OH FINED: \$100 | YOB 1959 |
| PRESLEY, CHRIS S DTN on 9/26/2023 | THORNVILLE, OH FINED: \$100 | YOB 1995 |

FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION

| | | |
|---|---------------------------------------|-----------------|
| PUTNAM, JOSEPH D HoP on 9/19/2023 FAILURE TO DRIVE WHEN PROGRAMMED | MIDDLETOWN, IN FINED: \$50 | YOB 1998 |
| RHOADES, BILL Nfld on 9/16/2023 LATE DRIVER CHANGE | NORTHFIELD, OH FINED: \$100 | YOB 1987 |
| RIERA BASSA, JAIME Nfld on 9/18/2023 INSIDE THE EURO RAIL / PYLON VIOLATION | MANACOR FINED: \$100 | YOB 1983 |
| ROBERTS, JONATHAN W RcR on 9/21/2023 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS | HARRINGTON, DE FINED: \$100 | YOB 1981 |
| SWEENEY, PATRICK M DTN on 9/26/2023 LATE TO THE PADDOCK | DELAWARE, OH FINED: \$100 | YOB 1954 |
| THARPS, DEVON J HoP on 9/21/2023 FAILURE TO DRIVE WHEN PROGRAMMED | LEBANON, OH FINED: \$50 | YOB 1993 |
| THARPS, THOMAS ERIC JR. Nfld on 9/13/2023 INSIDE THE EURO RAIL / PYLON VIOLATION | KETTERING, OH FINED: \$100 | YOB 1992 |
| TRITTON, SHANE PETER PcD on 9/25/2023 NO VACCINATION / COGGINS / HEALTH CERTIFICATE | PINE BUSH, NY FINED: \$100 | YOB 1983 |
| VER HAGE, RYAN M Haw on 9/17/2023 FAILURE TO HONOR DECLARATION | WAYLAND, MI FINED: \$800 | YOB 1991 |
| WARREN, RIDGE J TgDn on 8/25/2023 INSIDE THE EURO RAIL / PYLON VIOLATION | WAYNESBURG, PA FINED: \$100 | YOB 1988 |
| WILSON, JERMARRIO D Nfld on 9/6/2023 FAILURE TO WEAR A PROTECTIVE VEST | GREENWICH, OH FINED: \$200 | YOB 1989 |

WILSON, STEVEN

Farm on 9/20/2023

FAILURE TO WEAR/SECURE HELMET

FARMINGTON, ME

FINED: \$25

YOB 1991

WRENN, PETER M

HoP on 9/19/2023

FAILURE TO DRIVE WHEN PROGRAMMED

CARMEL, IN

FINED: \$50

YOB 1962