FINES AND SUSPENSIONS BULLETIN
Fri, Sep 22 - Thu, Sep 28

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

HAYNES, CHELSEY N HILLSVILLE, VA YOB 1995
USTA on 9/25/2023

USTA MEMBERSHIP ACTION
Declared ineligible for membership for violation of Rule 20, Section 9 - financial responsibility.

MANN, WILLIAM P EASTON, PA YOB 1976
USTA on 9/25/2023

USTA MEMBERSHIP ACTION
Indefinite suspension for violation of Rule 20, Section 9 - financial responsibility.

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a “ruling of reciprocity” shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

BECKWITH, BRETT M WILTON, NY YOB 2003
PRc on 9/21/2023
FINED: $200

OTHER
USTA & 2023 Plainridge Park Horsemen`s Rule Guide Part 1.1 (B). After the finish of Race 8 on 09/21/2023, while driving No.3 SURREAL SYRAH, driver Brett Beckwith failed to conduct himself in a forthright and gentlemanly manner. As a result of the violation Mr. Beckwith is hereby fined the sum of $200.00. All fines are due within 48 hours of imposition.

CLEGG, NICK A NORTHFIELD, OH YOB 1974
Nfld on 9/3/2023
FINED: $500

IMPROPER CONDUCT OR LANGUAGE TO AN OFFICIAL
Mr. Clegg was disrespectful to a security officer when using improper and offensive language to him in the barn area on 9/3/23. He appeared for a hearing with the judges on 9/10/23 and is hereby fined $1000. The penalty will be reduced to a fine of $500 if not appealed. O.S.R.C.-3769-17-29(B)(5) 17-99 If the fine is not paid to the judges by 9/21/23 you will be suspended.

COYLE, CHRISTOPHER C WINGATE, NC YOB 1960
Mea on 9/24/2023
FINED: $50

INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

CUMMINGS, JOHN PAUL JR  ALDEN, NY  YOB 1963
Mea on 9/24/2023  FINED: $50  INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

DANIELS, CEDRIC T  JACKSON, MS  YOB 1972

OTHER
Having failed to complete 2023 IRB licensing requirements (submit permanent application and fingerprints), the owner’s license of Cedric Daniels is hereby suspended. All horses owned wholly or in part by Mr. Daniels are ineligible to participate pending a stewards` approved ownership change.

ECKER, BRETT SCOTT  BRICK, NJ  YOB 1988
M on 4/12/2022  FINED: $2,500  FULL - 366 DAYS, 10/1/2023 THRU 9/30/2024

CONDUCT DETRIMENTAL TO THE NEST INTEREST OF HORSE RACING

On April 12,2022 the investigative staff of the New Jersey Racing Commission (N.J.R.C.) did conduct a routine stable inspection at Legend Farm which is located in Wrightstown New Jersey. Legend Farm is an off-track training facility that is licensed by and under the jurisdiction of the NJRC. An administrative hearing regarding this stable inspection was conducted on June 1, 2023, before the board of Judges at The Meadowlands Racetrack. As a result of the evidence and testimony presented in this administrative hearing, the Board of Judges have determined that Brett Ecker was in violation of various rules and regulations of the N.J.R.C. Brett Ecker did work in the capacity of caretaker of Standardbred horses while on the grounds of Legend Farm. As promulgated in N.J.A.C. 13:71-7.1(a) 4 and 13:71-7.26, the position of caretaker of Standardbred horses while on a licensed facility requires licensure by the N.J.R.C. Brett Ecker was not licensed by the N.J.R.C. while working with Standardbred racehorses and did provide false and misleading
information to members of the NJRC investigative Staff during the course of an investigation. These actions are in direct violation of N.J.A.C. 13:71-26.7. As a result of these actions, Brett Ecker is hereby fined the sum of two thousand-five hundred ($2,500.00) dollars and is suspended for a period of one (1) year. The period of suspension shall begin on October 1, 2023, and continue through October 1, 2024, inclusive. During the period of suspension Mr. Ecker is denied access to all grounds that are under the jurisdiction of the NJRC for any and all purposes. As Mr. Ecker is not currently licensed in calendar year 2023, the suspension will be treated as a period during which he is ineligible for licensure with the N.J.R.C. The fine associated with this ruling must be received by The N.J.R.C. on or before October 1, 2024. Violation of N.J.A.C. 13:71-7.1 (a) 4 Violation of N.J.A.C. 13:71-7.2 Violation of N.J.A.C. 13:71-7.26 Violati

FERRARI, KIESHA
Mea on 9/24/2023
FINED: $50
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

FISHER, KORE J
Mea on 9/24/2023
FINED: $50
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

FREDRIKSSON, KRISTER
Mea on 9/24/2023
FINED: $50
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

**POSITIVE TEST- POST RACE**
205 CMR 3.29. On 07/10/2023 Industrial Labs reported to the Massachusetts Gaming Commission/Racing Division the post race result of blood sample E622205. The sample was collected from the horse COLLUSION ILLUSION on 06/27/2023 who competed in Race 3 at Plainridge Park and finished 1st. This adverse analytical finding revealed the presence of the drug Lamotrigine confirmed in the blood. Lamotrigine is a Class 3A Drug. Trainer of record Katelyn Gerow exercised her right to a split sample. The sample was sent to an independent lab and was returned confirming the initial finding. A hearing was conducted on 09/25/2023 and as a result trainer of record Katelyn Gerow is hereby issued a full suspension for a period of 180 days beginning 10/01/2023 thru and including 03/28/2024. In addition Katelyn is fined the sum of $2,000.00. The horse COLLUSION ILLUSION finished 1st and is hereby disqualified and placed last. The purse money won or $3,500.00 is hereby ordered forfeited, returned and redistributed. Purse money forfeited must be returned within 10 days of ruling. In addition the fine is due within 48 hours of imposition.

**FAILURE TO OBTAIN PROPER LICENSE**
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

**OFFENSIVE/PROFANE LANGUAGE**
While in the paddock at Farmington on 9/18/23 Mr. Jackson did violate Chapter 7, Section 53 1 (C,3) Using offensive, threatening or profane language to another licensee. Mr. Jackson has been suspended from the paddock area at all racing facilities for 5 days 9/28/23 to 10/02/23. Mr. Jackson has been fined the amount of $250.00 for this infraction. Any further violations in this area will result in a higher fine and suspension.

LAMB, JEFFREY S  
PLAIN CITY, OH  
YOB 1965
Mea on 9/24/2023  
FINED: $50  
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

LAMB, KIMBERLY K.  
PLAIN CITY, OH  
YOB 1967
Mea on 9/24/2023  
FINED: $50  
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

LONGOBARDI, DOMENIC N  
FRANKLIN, MA  
YOB 1998
PcD on 8/5/2023  
FINED: $50

FAILURE TO OBTAIN PROPER LICENSE
Fined: $50, Indefinite full suspension as of 9/20/2023. PA Title 7-203.11(e) Domenic N Longobardi has failed to complete licensing requirements in PA for 2023. Mr. Longobardi is fined $50 and as of 9/20/2023 is suspended indefinitely and will remain suspended until the fine is paid and licensing requirements have been met.

MC DERMOTT, JOHN PAUL  
GOSHEN, NY  
YOB 1965
PcD on 4/25/2023  
FINED: $2,000  
HORSE DISQUALIFIED, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE
PA Code Title 7-203.22, 401.3(a)(b) Sample #404674 F collected from the horse Elegant Dulupin FR after Race 10 on April 25, 2023 at Pocono Downs was found to contain Betamethasone at a level in violation of the PA medication rules. After waiving the right to appeal John McDermott is fined $2000. Elegant Dulupin FR finished 1st and is disqualified. Purse money earned ($20,000) must be returned within 10 days of this ruling for redistribution.

MC GHEE, JANIS M  Aiken, SC  YOB 1960
Mea on 9/24/2023
FINED: $50
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

MONGIELLO, BRANDON L  Manalapan, NJ  YOB 1992
M on 5/5/2023
FINED: $3,000

POSSESSION OF HYPODERMIC NEEDLES, SYRINGES AND/OR INJECTABLE AND/OR OTHER DRUGS
An administrative hearing was conducted on August 3, 2023, in the Judges office at the Meadowlands Racetrack the purpose of which was to receive evidence and testimony regarding a routine inspection of New Jersey Racing Commission ("N.J.R.C.") licensed trainer Brandon Mongiello’s stabling area located at Crystal Brook Training Center, an off-track stabling facility licensed by and under the jurisdiction of the N.J.R.C. Having
considered the evidence and testimony presented at this hearing, the Board of Judges has determined that, on May 5, 2023: Brandon Mongiello did possess hypodermic needles and syringes, as well as other instruments used for injection, in his stabling area. The possession of hypodermic needles, syringes and instruments used for injection by someone other than a veterinarian licensed by the N.J.R.C. on a facility licensed by and under the jurisdiction of the N.J.R.C., is in direct violation of N.J.A.C. 13:71-1.19, N.J.A.C. 13:71-7.29 (a) 13, N.J.A.C. 23.6 (c) (d) and N.J.A.C. 13:71-23.9. As a result, Mr. Mongiello is hereby issued a full suspension of his NJRC license for a period of six (6) months and is fined the sum of one-thousand ($1000.00) dollars; In addition to possessing hypodermic needles, syringes and instruments used for injection, Mr. Mongiello was also in possession of injectable substances and prescription legend drugs in his stabling area. The possession of injectable substances and prescription legend drugs by someone other than a veterinarian licensed by the N.J.R.C. on a facility licensed by and under the jurisdiction of the N.J.R.C. is in direct violation of N.J.A.C. 13:71-1.19, N.J.A.C. 13:71-7.29 (a) 13, N.J.A.C. 13:71-23.6 (c) (d), and N.J.A.C. 13:71-23.9. For this second violation, Mr. Mongiello is hereby issued an additional full suspension of his NJRC license for a period of six (6) months and fined the additional sum of one-thousand ($1,000.00) dollars. Brandon Mongiello did employ an individual at his stabling area

MUTH, JAMES N
Mea on 9/24/2023
CINCINNATI, OH
YOB 1973
FINED: $50
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

PETERTSON, MICHAEL G
Gshn on 7/25/2023
ANDERSON, IN
YOB 1978
WARNING ISSUED

LOW OR EXCESS LEVEL OF AUTHORIZED MEDICATION
An analysis of the test sample obtained from ASLIVEROFFASION following its first-place finish in the 16th race at the Elkhart County Fair in Goshen, IN on 7/25/23 contained Furosemide exceeding the allowable level permitted in Indiana County Fair Races as prescribed by the Indiana Horse Racing Commission Rules, 71 IAC 8-1-4.2. Mike Peterson, trainer of ASLIVEROFFASION, was advised of this positive test and of his rights to split same testing. Trainer Peterson chose to waive his right to send a split sample. Trainer Peterson is issued this WARNING for exceeding the threshold of Furosemide in ASLIVEROFFASION.

PEZZONE, MATTHEW A
PULASKI, PA
YOB 1976
FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

POINDEXTER, LARRY
COVINGTON, TN

HORSE DISQUALIFIED, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE
An analysis of the test sample obtained from INCREDIBLE LUCK following its first-place finish in the 15th race at the Jay County Fair in Portland, IN on 7/3/23 contained Furosemide. INCREDIBLE LUCK had not been declared to compete on Furosemide when entered to race in accordance with the standard used in Indiana County Fair Races as prescribed by the Indiana Horse Racing Commission Rules, 71 IAC 8-1-4.2. Larry Poindexter, trainer of INCREDIBLE LUCK, was advised of this positive test and of his rights to split same testing. Trainer Poindexter chose to waive his right to send a split sample. Therefore, INCREDIBLE LUCK is disqualified and the purse money won is hereby ordered forfeited and redistributed among the horses according to their order of finish.

KENDL on 7/8/2023

HORSE DISQUALIFIED, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE
An analysis of the test sample obtained from INCREDIBLE LUCK following its first-place finish in the 11th race at the Noble County Fair in Kendallville, IN on 7/8/23 contained Furosemide. INCREDIBLE LUCK had not been declared to compete on Furosemide when entered to race in accordance with the standard used in Indiana County Fair Races as prescribed by the Indiana Horse Racing Commission Rules, 71 IAC 8-1-4.2. Larry Poindexter, trainer of INCREDIBLE LUCK, was advised of this positive test and of his rights to split same testing. Trainer Poindexter chose to waive his right to send a split sample. Therefore, INCREDIBLE LUCK is disqualified and the purse money won is hereby ordered forfeited and redistributed among the horses according to their order of finish.

RICHARDSON, MAKAYLYN A
HATTIESBURG, MS

FINED: $50
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State
Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

SCHMUCKER, TOM
Mea on 9/24/2023
FINED: $50
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

SWAREY, MR JOHN S
Mea on 9/24/2023
FINED: $50
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

TERPOLILLI, MIKE A
M on 7/21/2023
FINED: $500
FULL - 15 DAYS, 8/25/2023 THRU 9/8/2023
HORSE DISQUALIFIED, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE
Mike Terpolilli was the programmed trainer of the horse "Tisadream N" when he raced in the 5th race at the Meadowlands on July 21, 2023. Following this race, "Tisadream N" was required to submit blood samples for analysis. A report from Industrial Laboratories identified the presence of Flunixin above the allowable limits. As a result, Mr. Terpolilli is issued a full suspension of his New Jersey Racing Commission license for a period of fifteen (15) days and fined the sum of five hundred ($500.00) dollars. Said suspension shall begin on August 25, 2023 and run through September 8, 2023 inclusive. During the period of suspensions, Mr. Terpolilli is denied all access to all grounds that are under the jurisdiction of the New Jersey Racing Commission for any and all purposes. Mr. Terpolilli has waived his right to split sample testing as well as his right to a hearing with the Board of Judges. The fine association with this ruling must be received by the New Jersey Racing Commission on or before September 8, 2023. Pursuant to N.J.A.C. 13:71-23.7, the purse monies earned by the horse "Tisadream N" on July 21, 2023 are ordered to be held
pending the timely filing of an appeal. Should an appeal be filed, the purse monies earned by the horse "Tisadream N" on July 21, 2023 shall be held pending a final decision by the NJRC. Should no appeal be filed in accordance with N.J.A.C. 13:71-3.4, the purse monies earned by "Tisadream N" on July 21, 2023 shall be redistributed in accordance with the official order of finish. In addition to the penalty issued herein, 1/2 Multiple Medication Violation (MMV) points by the Association of Racing Commissioners shall be assigned as a result of this violation. MMV points - 1/2 point Violation of N.J.A.C. 13:71-23.1(a)(b)(14) Violation of N.J.A.C. 13:71-23.6(a)(b)(c)(d) Violation of N.J.A.C. 13:71-23.7(a)(b)(c)(d) Violation of N.J.A.C. 13:71-23.8(f)(g)1

VAFIADES, JASON A  FREEPORT, ME  YOB 1976
Mea on 9/24/2023  
FINED: $50  
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

VEACH, JAY L  DELAWARE, OH  YOB 1966
Mea on 9/24/2023  
FINED: $50  
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno

WENGERD, RAY  GARRETTSVILLE, OH  YOB UNKN
Mea on 9/24/2023  
FINED: $50  
INDEFINITE SUSPENSION(IND) AS OF 10/5/2023

FAILURE TO OBTAIN PROPER LICENSE
PA Code Title 7-203.23(b) 203.11(a & e) Failure to complete PA owner licensing requirement. The penalties are a $50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning October 5, 2023. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a $750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA ATTN: Anthony Salerno
PART THREE - WHIPPING VIOLATIONS

ATHEARN, MARK L 
CUMB on 9/25/2023 
FINED: $100

FAILURE TO KEEP A LINE IN EACH HAND
FAILURE TO KEEP HAND IN HANDHOLDS

ATHEARN, MATTHEW M 
Stga on 9/17/2023 
FINED: $250

FAILURE TO KEEP A LINE IN EACH HAND
Mr. Athearn while driving #6 WHIMSICAL JOURNEY in the 11th Race at Saratoga Harness on September 17, 2023, failed to keep a line in each hand while whipping before the 3/4 pole. Mr. Athearn is hereby issued a $250 fine and a 1 day driving suspension to be served September 24, 2023(2nd whipping violation within 365 days). Further whipping violations will result in greater penalties.

PRc on 9/21/2023 
FINED: $250

INAPPROPRIATE USE OF THE WHIP
USTA Rule 18.00 S18.08 (c)3. Penn National Gaming PRC Horsemen"s Rules. On 09/21/2023 at PRC, while driving No.1 HOWDOYALIKEIT in Race 10, driver Matthew Athearn did use his whip using other than wrist and elbow action after the start. (1st offense). As a result of the violation Mr. Athearn is hereby fined the sum of $250.00 and is suspended from driving only for a period of one day, 09/28/2023. All fines are due within 48 hours of imposition.

CIAVARDINI, ROBERT P 
BREWSTER, NY 
TgDn on 9/3/2023 
FINED: $100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

CONRAD, CARSON M 
MORRISTOWN, IN 
HoP on 9/19/2023 
FINED: $300

INAPPROPRIATE USE OF THE WHIP
71 IAC 7-3-13(b) While driving No. 5 DON`T FORGET ME in race 4 on 9/19/2023 at Hoosier Park driver Carson Conrad violated the IHRC whipping rule by raising his whipping arm above his shoulder and going back behind his head multiple times while whipping in the stretch. For this violation Mr. Conrad is fined $300.00 which is due within seven days of this ruling. Mr. Conrad waived his right to a hearing and agreed to the penalty.

CRUSE, BRANDON 
VERNON, NY 
VD on 9/23/2023 
FINED: $250

INAPPROPRIATE USE OF THE WHIP
On Saturday, September 23, 2023, while driving the 6 horse, Love The Action, in the 4th race at Vernon Downs, you were observed by NYS Gaming Commission officials using
your whip in an excessive manner. For this violation, you are hereby fined $250.00 and suspended for one day, September 29, 2023. Any further violations of this nature will result in a further escalation of penalties. THIS IS A DRIVING SUSPENSION ONLY.

DERUE, JORDAN
FT EDWARD, NY
Stga on 9/18/2023
FINED: $250
DRIVING - 1 DAY, 9/23/2023 THRU 9/23/2023
EXCESSIVE OR INDISCRIMINATE USE OF WHIP
Mr. Derue while driving #5 MINI ROSE in the 4th Race at Saratoga Harness on September 18, 2023, was in violation of the whipping rule in the stretch. Mr. Derue is hereby issued a $250 fine and a 1 day driving suspension to be served September 23, 2023 (2nd offense). Further violations of this nature will result in greater penalties.

DUPONT, DONALD
HOWELL, NJ
Fhld on 9/9/2023
FINED: $500
DRIVING - 5 DAYS, 9/29/2023 THRU 10/7/2023
EXCESSIVE OR INDISCRIMINATE USE OF WHIP
While driving #6 DARLING`S DRAGON in the 4th race at Freehold Raceway on Saturday, September 9, 2023, Donald Dupont, did raise his whipping arm above his shoulder and use more than wrist action while driving DARLING`S DRAGON. The Board of Judges has determined that Mr. Duponts` actions are in direct violation of N.J.A.C. 13:71-20.15 (c) 3, 4. Having waived his rights before the Board of Judges, driver Donald Dupont is hereby issued a driving suspension for a period of five (5) New Jersey race days and is also fined the sum of five hundred ($500.00) dollars. Said suspension shall be served on September 29, September 30, October 5, October 6 and October 7, 2023. The payment of the fine associated with this ruling must be received by the New Jersey Racing Commission on or before October 7, 2023. Violation N.J.A.C. 13:71-20.15(c)2, 3, 4.

JOHNSTON, SHAWN P
EVANS CITY, PA
Nfld on 9/18/2023
FINED: $300
EXCESSIVE OR INDISCRIMINATE USE OF WHIP
While driving #7 Dancethewayuare in race 2 on 9/18/23 he whipped the excessively. Mr. Johnston has waived his right to a hearing and is hereby fined $500. The penalty will be reduced to a fine of $300 if not appealed. O.S.R.C.-3769-17-17(L) 17-99 If the fine is not paid to the judges by 10/5/23 you will be suspended.

OOSTING, MICHAEL J
BEECHER, IL
HoP on 9/22/2023
FINED: $300
INAPPROPRIATE USE OF THE WHIP
71 IAC 7-3-13(d)(5) While driving No. 3 CAPTIAN SLEAZE in race 8 at Hoosier Park on 9/22/2023 driver Mike Oosting did cause welts to the horse. For this violation Mr. Oosting is fined $300.00 (second such offense) which is due within seven days of this ruling. Mr. Oosting waived his right to a hearing and agreed to the penalty. **Penalty was mitigated because Mr. Oosting was within the IHRC whipping guidelines.**
### PART FOUR - MINOR PENALTIES

<table>
<thead>
<tr>
<th>Name</th>
<th>Location</th>
<th>Year of Birth</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>ATKINSON, JAMES B</td>
<td>Harrington, DE</td>
<td>YOB 1954</td>
<td>Har on 9/27/2023 Fined: $200, Interference/ causing another horse to make a break</td>
</tr>
<tr>
<td>BROWN, BRADY</td>
<td>Slippery Rock, PA</td>
<td>YOB 1993</td>
<td>Mea on 9/16/2023 Fined: $200, Failure to keep both feet in the stirrups</td>
</tr>
<tr>
<td>CASE, WALTER H JR</td>
<td>Richmond, ME</td>
<td>YOB 1961</td>
<td>Farm on 9/19/2023 Driving - 2 days, 9/23/2023 thru 9/24/2023, Failure to keep both feet in the stirrups</td>
</tr>
<tr>
<td>CRAWFORD, KEITH H</td>
<td>Columbus, OH</td>
<td>YOB 1946</td>
<td>Nfld on 9/12/2023 Fined: $350, Changing course/ causing interference</td>
</tr>
<tr>
<td>CUSHING, RONALD L</td>
<td>Albion, ME</td>
<td>YOB 1964</td>
<td>Farm on 9/19/2023 Driving - 2 days, 10/6/2023 thru 10/7/2023, Failure to keep both feet in the stirrups</td>
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<tr>
<td>DEVAUX, JAMES J</td>
<td>Port Jervis, NY</td>
<td>YOB 1967</td>
<td>Stga on 9/23/2023 Driving - 3 days, 9/27/2023 thru 9/29/2023, Crowding/ putting another wheel under another horse or driver</td>
</tr>
<tr>
<td>FRANCO, JUAN</td>
<td>Crete, IL</td>
<td>YOB 1977</td>
<td>Haw on 9/9/2023 Fined: $200, Interference/ causing another horse to make a break</td>
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<tr>
<td>GRAY, MARK S</td>
<td>Chester, MD</td>
<td>YOB 1962</td>
<td>RcR on 9/26/2023 Fined: $100, Late to the Lasix/ Detention Barn</td>
</tr>
<tr>
<td>HANNERS, LUKE</td>
<td>Ballston Spa, NY</td>
<td>YOB 2000</td>
<td></td>
</tr>
<tr>
<td>Date</td>
<td>Name</td>
<td>Location</td>
<td>YOB</td>
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</tr>
<tr>
<td>9/4/2023</td>
<td>TgDn</td>
<td>DRIVING - 3 DAYS, 9/19/2023 THRU 9/21/2023</td>
<td></td>
</tr>
<tr>
<td></td>
<td>HILL, CURTIS JR.</td>
<td>TOOMSUBA, MS</td>
<td>YOB</td>
</tr>
<tr>
<td></td>
<td>Lex</td>
<td>FINED: $200</td>
<td></td>
</tr>
<tr>
<td>9/19/2023</td>
<td>HOLLIWAY, SHAYNE</td>
<td>MARYSVILLE, OH</td>
<td>YOB</td>
</tr>
<tr>
<td>9/26/2023</td>
<td>DTN</td>
<td>FINED: $100</td>
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<tr>
<td>9/23/2023</td>
<td>HUDDLE, LANCE H</td>
<td>HUGUENOT, NY</td>
<td>YOB</td>
</tr>
<tr>
<td></td>
<td>PcD</td>
<td>FINED: $100</td>
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<tr>
<td>9/7/2023</td>
<td>MEA</td>
<td>FINED: $200</td>
<td></td>
</tr>
<tr>
<td>9/7/2023</td>
<td>MEA</td>
<td>FINED: $200</td>
<td></td>
</tr>
<tr>
<td>9/8/2023</td>
<td>MEA</td>
<td>FINED: $200</td>
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<tr>
<td></td>
<td>MEA</td>
<td>FINED: $200</td>
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<tr>
<td>9/13/2023</td>
<td>KEHM, JERRY E</td>
<td>OAKDALE, PA</td>
<td>YOB</td>
</tr>
<tr>
<td></td>
<td>MEA</td>
<td>FINED: $200</td>
<td></td>
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<tr>
<td>9/13/2023</td>
<td>MEA</td>
<td>FINED: $200</td>
<td></td>
</tr>
<tr>
<td>9/13/2023</td>
<td>MEA</td>
<td>FINED: $200</td>
<td></td>
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<tr>
<td>9/13/2023</td>
<td>KING, LARRY D</td>
<td>WILLSHIRE, OH</td>
<td>YOB</td>
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<tr>
<td></td>
<td>Nfld</td>
<td>FINED: $300</td>
<td></td>
</tr>
<tr>
<td>9/22/2023</td>
<td>KNOX, PHILLIP D</td>
<td>DOWNERS GROVE, IL</td>
<td>YOB</td>
</tr>
<tr>
<td></td>
<td>Haw</td>
<td>FINED: $200</td>
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<tr>
<td>9/3/2023</td>
<td>KRIVELIN, ROBERT J</td>
<td>GOSHEN, NY</td>
<td>YOB</td>
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<tr>
<td></td>
<td>TgDn</td>
<td>FINED: $200</td>
<td></td>
</tr>
<tr>
<td>9/18/2023</td>
<td>LAKE, ANGUS D</td>
<td>NORTHFIELD, OH</td>
<td>YOB</td>
</tr>
<tr>
<td></td>
<td>Nfld</td>
<td>FINED: $100</td>
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</tr>
</tbody>
</table>
INSIDE THE EURO RAIL / PYLON VIOLATION

MACDONALD, ANTHONY A          GUELPH, ON        YOB 1976
Mea on 9/7/2023               FINED: $200
FAILURE TO SET AND MAINTAIN A PROPER PACE

MASSEY, KODY RAY              CLIO, MI          YOB 1983
DTN on 9/27/2023              FINED: $100
FAILURE TO DRIVE WHEN PROGRAMMED

MATA, VICENTE              MAO          YOB 1971
Nfld on 9/18/2023            FINED: $100
FAILURE TO KEEP BOTH FEET IN THE STIRRUPS

MERRIMAN, AARON E            NORTHFIELD, OH  YOB 1978
Nfld on 9/17/2023            FINED: $100
FAILURE TO KEEP BOTH FEET IN THE STIRRUPS

MILLER, BRETT A              BLACKLICK, OH  YOB 1973
DTN on 9/26/2023              FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION
DTN on 9/28/2023              FINED: $100
HORSE PLACED 9

MILLER, TYLER J              MILLSTONE TWP, NJ  YOB 1998
TgDn on 9/3/2023
DRIVING - 3 DAYS, 9/11/2023 THRU 9/13/2023
HORSE PLACED 5
CHANGING COURSE/CAUSING INTERFERENCE
TgDn on 9/9/2023              FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION
TgDn on 9/10/2023
DRIVING - 3 DAYS, 9/25/2023 THRU 9/27/2023
HORSE PLACED 7
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK
TgDn on 9/23/2023
DRIVING - 3 DAYS, 10/2/2023 THRU 10/4/2023
HORSE PLACED 7
CHANGING COURSE/CAUSING INTERFERENCE

MOORE, RORY D                  GRAFTON, OH     YOB 1959
Hick on 8/22/2023              FINED: $100
FAILURE TO WEAR A PROTECTIVE VEST

PRESLEY, CHRIS S             THORNVILLE, OH  YOB 1995
DTN on 9/26/2023               FINED: $100
FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION

PUTNAM, JOSEPH D  MIDDLETOWN, IN  YOB 1998
HoP on 9/19/2023  FINED: $50
FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION

RHOADES, BILL  NORTHFIELD, OH  YOB 1987
Nfld on 9/16/2023  FINED: $100
LATE DRIVER CHANGE

RIERA BASSA, JAIME  MANACOR  YOB 1983
Nfld on 9/18/2023  FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

ROBERTS, JONATHAN W  HARRINGTON, DE  YOB 1981
RcR on 9/21/2023  FINED: $100
FAILURE TO KEEP BOTH FEET IN THE STIRRUPS

SWEENEY, PATRICK M  DELAWARE, OH  YOB 1954
DTN on 9/26/2023  FINED: $100
LATE TO THE PADDOCK

THARPS, DEVON J  LEBANON, OH  YOB 1993
HoP on 9/21/2023  FINED: $50
FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION

THARPS, THOMAS ERIC JR.  KETTERING, OH  YOB 1992
Nfld on 9/13/2023  FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

TRITTON, SHANE PETER  PINE BUSH, NY  YOB 1983
PcD on 9/25/2023  FINED: $100
NO VACCINATION / COGGINS / HEALTH CERTIFICATE

VER HAGE, RYAN M  WAYLAND, MI  YOB 1991
Haw on 9/17/2023  FINED: $800
FAILURE TO HONOR DECLARATION

WARREN, RIDGE J  WAYNESBURG, PA  YOB 1988
TgDn on 8/25/2023  FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

WILSON, JERMARRIO D  GREENWICH, OH  YOB 1989
Nfld on 9/6/2023  FINED: $200
FAILURE TO WEAR A PROTECTIVE VEST
WILSON, STEVEN  
Farm on 9/20/2023  
FINED: $25  
FAILURE TO WEAR/SECURE HELMET

WRENN, PETER M  
HoP on 9/19/2023  
FINED: $50  
FAILURE TO DRIVE WHEN PROGRAMMED