

FINES AND SUSPENSIONS BULLETIN

Fri, Dec 1 - Thu, Dec 7

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

*** NO RECORDS FOUND ***

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

COCCIOLONE, CARL R

Nfld on 11/13/2023

UNPAID OBLIGATION

Mr. Cocciolone is hereby suspended until he pays the fine (\$100) per ruling 2023-NFLD-282 at Northfield Park. O.S.R.C.-3769-17-36

MONESSEN, PA

INDEFINITE SUSPENSION(IND) AS OF 12/5/2023

YOB 1956

DEPIETRO, ANN L

NJRC on 10/16/2023

DENIAL OF LICENSE

The New Jersey Racing Commission is in receipt of a notice of appeal from Ann DePietro in connection with the denial of her application for a 2023 standardbred trainers license. An informal hearing with Ann DePietro was conducted on September 7, 2023 and the Commission determined that she failed to meet her burden of showing that she is qualified to receive a license as required by N.J.A.C. 13:71-7.7 and N.J.A.C. 13:71-7.31. As a result, her application for licensure was denied. The Executive Director has directed that the matter be transmitted to the Office of Administrative Law for a de novo hearing.

CREAM RIDGE, NJ

YOB 1966

GALLIERS, BRADY E

MVR on 2/28/2023

DEFIANCE, OH

FINED: \$1,000

FULL - 30 DAYS, 12/8/2023 THRU 1/6/2024

HORSE DISQUALIFIED - PLACED 10, PURSE

REDISTRIBUTION

YOB 1995

POSITIVE TEST- POST RACE

OSRC 3769-18-01-B(4d,10&13), 3769-18-02, 3769-17-43. Blood sample #911018 was tested by the Ohio Dept. of Agriculture ATL and showed a TCO2 concentration of 39.8 millimoles per liter of serum, which exceeds the regulatory threshold of 37 millimoles per liter adopted by the OSRC. Blood sample #911018 was provided by #3 Bettor Of The West, who finished 1st in race 11 on 2/28/23 at Miami Valley Raceway, and was trained by Mr. Galliers. #3 is disqualified and placed 10th, and the purse (\$7000) is ordered to be returned and redistributed. The fine of \$1000 and purse must be paid within 10 days of this ruling. This is Mr. Galliers 2nd medication violation within the last 365 days. He is given a full 60

days (4-11-23 thru 6-09-23) suspension, or a full 30 days (4-11-23 thru 5-10-23) if not appealed. During his suspension, Mr. Galliers is denied the use and privileges of all grounds sanctioned by the OSRC. All horses owned and/or trained may only be transferred by the judges or the OSRC. Mr. Galliers has 48 hours to appeal this decision to the OSRC. **Status as of 12/2/2023 - Subsequent Ruling - Mr. Galliers has chosen to drop his appeal of ruling 23-MVR-109 and will serve a full 30 day suspension (12/08/23-01/06/24) and pay a \$1000 fine. The purse winnings of \$7000 must be returned and made available for redistribution to Miami Valley Raceway by December 12, 2023. The fine must be paid to the OSRC also by December 12, 2023. All horses owned and/or trained by Mr. Galliers may only be transferred by the Judges at Dayton Raceway or the OSRC. During his suspension, Mr. Galliers is denied the use and privileges of all the grounds sanctioned by the OSRC.**

GLASSMEYER, ANDREW R
DD on 11/16/2023

SMYRNA, DE **YOB 1977**
FINED: \$500
FULL - 15 DAYS, 12/15/2023 THRU 12/29/2023
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Trainer Andrew Glassmeyer, having waived his right to a Judge`s hearing, and being the trainer of record for the horse TROJAN BANNER N race #9 on 11/16/2023 at Bally`s Dover, is fined \$500 and will be serving a 15 day "full" suspension (12/15/23 through and including 12/29/2023). It has been found that TROJAN BANNER N had a positive test - Levamisole (see DHRC rule: 8.3.3.3.1). TROJAN BANNER N has been disqualified, all purse monies to be returned. There will be a purse redistribution. The transfer of related ownership and/or training responsibilities, during this suspension, must be approved by the Presiding Judge. All fines are due within 10 days of receipt of ruling.

HERCULES, JAMES R JR
Mea on 8/19/2023

CLAYSVILLE, PA **YOB 1958**
FINED: \$500
HORSE DISQUALIFIED - PLACED 9, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Fined: \$500, Horse Disqualified, PA Code 7-203.22, 401.3(a)(b) Sample #404173 collected from the horse #1, UPFRONT STONE after the 13th race on 8/19/2023 at The Meadows was found to contain Aminocaproic Acid. This is in violation of the PA medication rules. After waiving the right to appeal Mr. Hercules, Jr. is fined \$500 (fines are due within ten days of ruling). UPFRONT STONE finished 1st and is disqualified. Purse money earned (\$3,750) must be returned within ten days of this ruling.

HESS, KENT V
Nfld on 10/18/2023

MILLINGTON, MI **YOB 1965**
FINED: \$1,000
FULL - 60 DAYS, 12/4/2023 THRU 2/1/2024

HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Blood sample #913502 collected at Northfield Park on 10/18/23 from the horse Jolene Kay was found to contain D-Methamphetamine. D-Methamphetamine is a Class 1 Category A offense. Mr. Hess had a hearing with the judges on 12/3/23. He is hereby fined \$1000 and suspended for 365 days. Jolene Kay is disqualified and as a result of that disqualification all purse money must be returned for redistribution (\$4,500.00). First offense in the last 365 days. If this ruling is not appealed the suspension will be reduced to 60 days (with 305 days stayed) pending no Class 1 or 2 positives in the next 365 days. O.S.R.C.-3769-18-01(B)(10)(13)&(16) 18-02 The fine and purse money must be paid by 12/13/23. During his suspension Mr. Hess is barred from all grounds governed by the OSRC. Any horse that he wants to transfer to another owner or trainer must be approved by the judges or OSRC. Mr. Hess has 48 hours to appeal this ruling.

KING, JAMES T JR
M on 5/6/2023

HARRINGTON, DE

YOB 1952

FINED: \$500
FULL - 15 DAYS, 12/15/2023 THRU 12/29/2023
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Jim King, Jr. was the programmed trainer of the horse WALL OF BLUE when she raced in the 4th race at the Meadowlands Racetrack on May 6, 2023. Following this race, WALL OF BLUE was required to submit to a blood sample for analysis. A report from Industrial Laboratories, identified the presence of the drug Hydroxydantrolene. At Mr. King, Jr.'s request, a split sample was analyzed at an approved independent laboratory and did confirm the presence of Hydroxydantrolene. This is in direct violation of various rules and regulations of the New Jersey Commission. Mr. King, Jr. is issued a full suspension of his New Jersey Racing Commission license for a period fifteen (15) days and also fined the sum of five hundred (\$500.00) dollars. Said suspension shall begin on December 15, 2023 and run through December 29, 2023 inclusive. During the period of suspension, Mr. King, Jr. is denied access to all grounds that are under the jurisdiction of the New Jersey Racing Commission for any and all purposes. Consistent with N.J.A.C. 13:71-23.7, the horse WALL OF BLUE is hereby disqualified from sharing in the purse monies earned on May 6, 2023. The fine associated with this ruling must be received by the New Jersey Racing Commission no later than December 29, 2023. MMV Points - 1/2Point Violation N.J.A.C. 13:71-23.1(a)(b) Violation N.J.A.C. 13:71-23.6(a)(b)(c)(d) Violation N.J.A.C. 13:71-23.7(a)(b)(c)(d)

KOZAR, NICHOLAS
Mea on 6/28/2023

CLAYSVILLE, PA

YOB 1981

FINED: \$250
HORSE DISQUALIFIED - PLACED 8, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

PA Code Title 7-203.22(a) 203.22(b) 401.2(a)(1) 401.3(a) 401.3(b) 401.45 Mr. Kozar was the trainer of the horse NIGHT LEGS for the 4th race on June 28, 2023 at The Meadows. Blood samples drawn (post race) from NIGHT LEGS were tested and found to contain Capsaicin. As the trainer, Mr. Kozar is responsible for the positive test. However, evidence has been presented to show that there is a reasonable chance that this positive was a result of environmental contamination. This does not excuse Mr. Kozar from responsibility for the positive test, as he is responsible for protecting the horse from such exposure, but it was considered a mitigating factor in determining the penalty. NIGHT LEGS finished 1st in this race, but is disqualified. Purse money earned (\$3,200) must be returned within ten days of this ruling. Mr. Kozar is fined \$250 (fines are due within ten days of ruling) and has waived his hearing/appeal rights.

LEFEBVRE, MICHELLE
PRC on 8/17/2023

BEACH LAKE, PA

YOB 1988

FINED: \$1,000

HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

205 CMR 3.29 (3) (a) 2. On 09/22/2023 Industrial Laboratories reported to the Massachusetts State Gaming Commission/Racing Division the post race result of blood sample E62275. The sample was collected from the horse VIVIENS DELIGHT on 08/17/2023 who competed in Race 11 at Plainridge Park and finished 1st. The adverse analytical finding revealed the presence of the drug Glycopyrrolate in blood at 8.74 pg/mL. The measure uncertainty of the method is 0.85 pg/mL at the threshold of 3 pg/ml. Glycopyrrolate is a Class 4C Drug. After being notified trainer of record Michelle Lefebvre waived her right to both a split sample and a hearing. As a result of the violation Michelle Lefebvre is hereby fined the sum of \$1,000.00. The horse VIVIENS DELIGHT finished 1st and is hereby disqualified and placed last. The purse money won or \$4,250.00 is ordered forfeited, returned and redistributed. Purse monies forfeited must be returned within 10 days of ruling and all fines are due within 48 hours of imposition.

Status as of 12/1/2023 - Subsequent Ruling

PRC on 11/17/2023

FINED: \$1,000

FULL - 30 DAYS, 4/15/2024 THRU 5/14/2024
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- PRE RACE

205 CMR 3.29 (a) (b). On 11/28/2023 Industrial Labs reported to the Massachusetts Gaming/Racing Division the pre race test result of Blood Sample E710353, collected from the horse KEYSTONE MAXIM on 11/17/2023 in Race 5 at Plainridge Park Race Course and finished 2nd. The test revealed a high level presence of TCO2. Total Carbon Dioxide confirmed in blood at 40.7 mmol/L. The threshold is 37 mmol/L. Trainer of record Michelle Lefebvre was notified and waived her right to both a quarantine and a hearing. As a result of the violation trainer Lefebvre is hereby fined the sum of \$1,000.00 and is issued a full suspension at the start of the Plainridge Park 2024 Race Meet for a period of 30 days beginning 04/15/2024 through and including 5/14/2024. The horse KEYSTONE MAXIM

finished 2nd and is disqualified. The purse money won or \$3,125.00 is hereby ordered forfeited, returned and redistributed. Purse monies forfeited must be returned within 10 days of ruling. All fines are due within 48 hours of imposition.

LILJENDAHL, REIJO T
PcD on 9/12/2023

HEBY **YOB 1955**
RESTORED TO GOOD STANDING(RES) AS OF
11/30/2023

FAILURE TO OBTAIN PROPER LICENSE

PA TITLE 7-203.11(e) Reijo T Liljendahl has failed to complete licensing requirements in PA for 2023. Mr. Liljendahl is fined \$50 and as of 11/16/2023 is suspended indefinitely and will remain under full suspension until the fine is paid and licensing requirements are met.

Status as of 12/1/2023 - Subsequent Ruling - Restored to good standing.

LOUGH, TYLOR J
ScD on 8/15/2022

SPRINGFIELD, OH **YOB 1987**
INDEFINITE SUSPENSION(IND) AS OF 8/15/2022

FAILURE TO PAY FINE(S)

OSRC-3769-17-36. Mr. Lough is indefinitely suspended until he pays the fine (\$200) from ruling 22-SCD-41.

Status as of 12/6/2023 - Subsequent Ruling - Mr. Lough has paid his fine from ruling 22-SCD-41 and is restored to good standing. He may apply in 2024 for consideration of an OSRC license.

MCCOWN, JESSICA M
DTN on 10/11/2023

OSTRANDER, OH **YOB 1991**
FINED: \$250
HORSE DISQUALIFIED - PLACED 9, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

OSRC 3769-18-01-B-4(b),13,15. 3769-18-02, 3769-17-43. Blood sample #919180 was tested by the Ohio Department of Agriculture ATL and was found to contain furosemide at a concentration of 230 nanograms per milliliter of plasma, which exceeds the regulatory threshold of 100 nanograms per milliliter adopted by the OSRC. Blood sample #919180 was provided by #9 Four Star Flash, who finished 1st in race 14 on 10/11/23 at Dayton Raceway, and was trained by Ms. McCown. #9 is disqualified and placed 9th, Ms. McCown is fined \$500, or \$250 if not appealed, which is due within 10 days of this ruling. The purse winnings (\$4500) is to be returned and redistributed no later than December 15, 2023. Ms. McCown has waived her right to a split sample and also to a hearing. Ms. McCown has 48 hours to appeal this decision to the OSRC.

MILLER, ERVIN M
PRc on 10/3/2023

WIND GAP, PA **YOB 1966**
FINED: \$500
FULL - 15 DAYS, 12/8/2023 THRU 12/22/2023
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Mass 205 CMR 3.29. On 10/23/2023 Industrial Labs reported to the Mass Gaming/Racing Division the Post-Race result of Blood and Urine Sample E629774 collected from the horse INTERNATIONAL LAW on 10/3/2023 who competed in Race 3 at Plainridge Park and finished 4th. It revealed the presence of Albuterol confirmed in the urine at 8.11 ng/mL. The threshold is 1 ng/mL. Trainer of record Erv Miller was notified of the finding and exercised his right to a split sample on 11/1/2023. The split sample for sent to New York Drug Testing and Research Program in Ithaca, NY for further testing. On 11/28/2023 the report of analytical finding was received confirming the presence of Albuterol. Mr. Miller was notified and waived his right to a formal hearing. As a result Mr. Miller is fined the sum of \$500.00 and is issued a full suspension for a period of 15 days beginning 12/8/2023 through and including 12/22/2023. The horse INTERNATIONAL LAW finished 4th and is disqualified. The purse money \$3,200.00 is hereby ordered forfeited and returned for redistribution. Purse monies forfeited must be returned to Plainridge Park within 10 days of ruling and the fine must be paid within 48 hours of imposition. Fine money is payable to The Commonwealth OF Massachusetts.

MONGIELLO, AUSTIN

BELLEVILLE, NJ

YOB 1989

NJRC on 9/19/2023

DENIAL OF LICENSE

On or about April 6, 2023, Austin Mongiello applied for a standardbred owner`s license. The New Jersey Racing Commission conducted an informal hearing with Austin Mongiello on June 27, 2023 and the Commission determined that Austin Mongiello had failed to meet his burden of showing that he is qualified in every respect to receive a license as required by N.J.A.C. 13:71-7.7 and N.J.A.C. 13:71-7.31. Therefore, for the reasons set forth in the Commission`s letter dated September 7, 2023, the application of Austin Mongiello for licensure in New Jersey as an owner is hereby denied. Austin Mongiello is denied access to all grounds that are under the jurisdiction of the New Jersey Racing Commission for any and all purposes except for those areas of racetracks that are open to the public.

Status as of 11/29/2023 - Appeal - The New Jersey Racing Commission is in receipt of a notice of appeal from Austin Mongiello in connection with the denial of his application for a 2023 standardbred owners license. An informal hearing with Austin Mongiello was conducted on June 27, 2023 and the Commission determined that he failed to meet his burden of showing that he is qualified to receive a license as required by N.J.A.C. 13:71-7.7 and N.J.A.C. 13:71-7.31. As a result, his application for licensure was denied. The Executive Director has directed that the matter be transmitted to the Office of Administrative Law for a de novo hearing.

MONTI, DARRIN M

DEPEW, NY

YOB 1966

Btva on 11/4/2023

FINED: \$250

HORSE DISQUALIFIED - PLACED 8, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

On November 4th,2023 at Batavia Downs Mr.Darrin Monti was trainer of the horse #1 WORTHY OF HONOR in the 5th Race at Batavia Downs. Post race analysis of sample

CV3540423 showed the presence of FIROCOXIB above the threshold permitted by the Commission. WORTHY OF HONOR finished 1st and is disqualified and placed 8th. All purse monies earned shall be returned and redistributed (purse returned). The horse WORTHY OF HONOR must submit a negative test prior to entering to race (negative test submitted). Having appeared before a representative of the NYSGC and waiving his right to a formal hearing and right to appeal, Mr. Monti's 14day suspension and \$500.00 fine is hereby reduced to a 7-day full suspension and \$250.00 fine. The suspension shall be served December 3, 2023, through and including December 9th, 2023. During the term of suspension, Mr. Monti is ruled off all grounds under the jurisdiction of the NYSGC and deemed a disqualified person. No trainer transfer of horses in his care will be permitted without the approval of the presiding judge.

PAPARO, SAMUEL J

ASTON, PA

YOB 1944

NJRC on 10/5/2023

FINED: \$45

BAD CHECK

On October 5, 2023, Joseph Eisenhower, Sr. submitted check number 157 on behalf of Samuel Paparo in the amount of \$50.00 payable to the New Jersey Racing Commission. Upon deposit, this check was not honored by the financial institution the funds were drawn upon. As a result, Mr. Paparo is hereby fined the sum of \$45.00 for violation of Racing Commission rule N.J.A.C. 13:71-1.21. Mr. Paparo is hereby ordered to submit payment of \$95.00 which consists of original payment and fine. This payment must be made by certified check or money order and is due not later than November 30, 2023.

PETRELLI, CHRISTOPHER A

MONTICELLO, NY

YOB 1999

Btva on 10/28/2023

FINED: \$250

HORSE PLACED 7, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

Christopher A Petrelli was trainer of the horse SPORTSKEEPER in the 10th Race at Batavia Downs on October 28th, 2023. Post race analysis of sample CV3540554 showed the presence of METHOCARBAMOL above the threshold permitted by the Commission. SPORTSKEEPER finished 1st and is disqualified and placed 7th. All purse monies earned shall be returned and redistributed (purse returned), and the horse must submit a negative test prior to entering to race (negative test submitted). Having appeared before a representative of the NYSGC and waiving his right to a formal hearing and right to appeal, Mr. Petrelli's 7-day suspension and \$500 fine is hereby reduced to a 3-day full suspension and \$250.00 fine. The suspension shall be served December 7, 2023, through and including December 9th, 2023. During the term of suspension, Mr. Petrelli is ruled off all grounds under the jurisdiction of the NYSGC and deemed a disqualified person. No trainer transfer of horses in his care will be permitted without the approval of the presiding judge.

PRESTO, BRANDON J

HOUSTON, PA

YOB 1989

Mea on 8/3/2023

FINED: \$500

HORSE DISQUALIFIED - PLACED 9, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

Fined: \$500, Horse Disqualified, PA Code 7-203.22, 401.3(a)(b) Sample #404022 collected from the horse #9 CARTIER ZETTE after the 5th race on 8/3/2023 at The Meadows was found to contain Aminocaproic Acid. This is in violation of the PA medication rules. After waiving the right to appeal Mr. Presto is fined \$500 (fines are due within ten days of ruling). CARTIER ZETTE finished 2nd and is disqualified. Purse money earned (\$1,600) must be returned within ten days of this ruling.

SHETLER, ANDY R

ANDERSON, IN

YOB 1973

HoP on 6/13/2022

FULL - 90 DAYS, 8/5/2022 THRU 11/2/2022

CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

71 IAC 10-2-3(a) Summary Suspension Andy Shetler is hereby summarily suspended for committing acts that are against the best interest of racing and compromise the integrity of operations Hoosier Park in Anderson, Indiana. Mr. Shetler, an IHRC licensed trainer/driver, was discovered on video injecting horses with hypodermic needles multiple times on association grounds in violation of 71 IAC 8-7-1, 71 IAC 5-3-2(a) & (b), and 71 IAC 5-3-3(a)(5). This matter will be referred to the Executive Director of the Indiana Horse Racing Commission for further action. Summary Suspension. Pursuant to Ind. Code 4-21.5-4-5, this suspension ends ninety (90) days after the summary suspension ruling is issued but may be renewed for successive ninety (90) day periods. The date the summary suspension ends is currently November 3, 2022.

HoP on 6/13/2022

FINED: \$3,000

FULL - 400 DAYS, 12/4/2023 THRU 1/6/2025

POSSESSION OF HYPODERMIC NEEDLES, SYRINGES AND/OR INJECTABLE AND/OR OTHER DRUGS

Based on the unanimous adoption of the Administrative Law Judge's Proposed Findings of Fact, Conclusions of Law, and Non-Final Order by the members of the Indiana Horse Racing Commission at its November 30, 2023, meeting, Shetler is suspended for a period of eighteen (18) months (credited 149 days for time previously served under suspension) beginning on December 4, 2023, through January 6, 2025, and fined a total of \$3,000.00, for violations contained in Administrative Complaint No. 222003, which include the possession of contraband on the backside of Harrah's Hoosier Park.

WILLIAMS, JAMAL L

STARKVILLE, MS

YOB 1997

HoP on 12/2/2021

FINED: \$1,000

FULL - 180 DAYS, 12/4/2023 THRU 5/31/2024

HORSE DISQUALIFIED - PLACED 10, PURSE REDISTRIBUTION

MEDICATION PROCEDURE VIOLATION

71 IAC 8-1-1.5(b)(3) Based on the unanimous adoption of the Administrative Law Judge's Proposed Findings of Fact, Conclusions of Law, and Non-Final Order by the members of the Indiana Horse Racing Commission at its November 30, 2023, meeting, Mr. Williams is suspended for a period one hundred eighty (180) days beginning on December 4, 2023, through May 31, 2024, and fined a total of \$1,000.00 for violations contained in

Administrative Complaint No. 222002, which include oral administration of substances, foreign or otherwise, to multiple horses on race day. During the period of this suspension Mr. Williams is denied access to all grounds under the jurisdiction of the Indiana Horse Racing Commission. The horse "PRESCOTTS HOPE" is ordered unplaced from race 3 of December 2, 2021 at Harrah`s Hoosier Park, and all purse monies earned be forfeited, returned, and redistributed pursuant to the provisions of 71 IAC 8-1-2(b) as follows: PRESCOTTS HOPE finished first placed unplaced FOX VALLEY SAMPSON finished second placed first FLASY DUDE finished third placed second DOJEA ROCK finished fourth placed third THE BUCKET finished fifth placed fourth EVERGREEN ELITE finished sixth placed fifth FIFTY FLAT finished seventh placed sixth GAZING STAR finished eighth placed seventh

HoP on 12/2/2021

HORSE DISQUALIFIED - PLACED 9, PURSE
REDISTRIBUTION

MEDICATION PROCEDURE VIOLATION

71 IAC 8-1-1.5(b)(3) Based on the unanimous adoption of the Administrative Law Judge's Proposed Findings of Fact, Conclusions of Law, and Non-Final Order by the members of the Indiana Horse Racing Commission at its November 30, 2023, meeting, Mr. Williams is suspended for a period one hundred eighty (180) days beginning on December 4, 2023, through May 31, 2024, and fined a total of \$1,000.00 for violations contained in Administrative Complaint No. 222002, which include oral administration of substances, foreign or otherwise, to multiple horses on race day. During the period of this suspension Mr. Williams is denied access to all grounds under the jurisdiction of the Indiana Horse Racing Commission. The horse "THE BUCKET" is ordered unplaced from race 3 of December 2, 2021 at Harrah`s Hoosier Park, and all purse monies earned be forfeited, returned, and redistributed pursuant to the provisions of 71 IAC 8-1-2(b) as follows: THE BUCKET finished fifth placed fourth placed unplaced EVERGREEN ELITE finished sixth placed fifth placed fourth FIFTY FLAT finished seventh placed sixth placed fifth GAZING STAR finished eighth placed seventh placed sixth

HoP on 12/2/2021

HORSE DISQUALIFIED - PLACED 10, PURSE
REDISTRIBUTION

MEDICATION PROCEDURE VIOLATION

71 IAC 8-1-1.5(b)(3) Based on the unanimous adoption of the Administrative Law Judge's Proposed Findings of Fact, Conclusions of Law, and Non-Final Order by the members of the Indiana Horse Racing Commission at its November 30, 2023, meeting, Mr. Williams is suspended for a period one hundred eighty (180) days beginning on December 4, 2023, through May 31, 2024, and fined a total of \$1,000.00 for violations contained in Administrative Complaint No. 222002, which include oral administration of substances, foreign or otherwise, to multiple horses on race day. During the period of this suspension Mr. Williams is denied access to all grounds under the jurisdiction of the Indiana Horse Racing Commission. The horse "A SHAM OF AMBER" is ordered unplaced from race 10 of December 2, 2021 at Harrah`s Hoosier Park, and all purse monies earned be forfeited, returned, and redistributed pursuant to the provisions of 71 IAC 8-1-2(b) as follows: A SHAM OF AMBER finished fifth placed unplaced KITTY HOYNE finished sixth placed fifth

POCO`S LISA finished seventh placed sixth HOW ABOUT MURPH finished eighth placed seventh

PART THREE - WHIPPING VIOLATIONS

ARMOUR, ANTHONY SHANE **PERRYVILLE, MD** **YOB 1972**
RcR on 11/30/2023 **FINED: \$100**

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Anthony Armour is fined \$100.00 for excessive use of the whip (one-handed whipping) before the ¾ pole while driving SPORTS BOX in the 6th race on November 30th, 2023 at Rosecroft Raceway. All fines are due within 10 days of the ruling or Maryland Racing Commission licensee will be suspended until payment is received. COMAR: 09.10.03.03 A(14)

CAVETT, CORNELIUS **VERGENNES, IL** **YOB 1987**
Haw on 11/13/2023 **FINED: \$200**

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Cornelius Cavett is hereby assessed a Civil Penalty of \$200 for excessive whipping, beyond wrist and elbow, while driving Tucked Away, 4th place finisher in the 6th race on 13 November 2023 at SDI/Hawthorne. This is driver Cavett`s 1st whipping violation of the meet.

FRANCO, JUAN **CRETE, IL** **YOB 1977**
Haw on 11/26/2023 **FINED: \$200**

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Juan Franco is hereby assessed a civil penalty of \$200 for excessive whipping beyond wrist and elbow, while driving Fox Valley Mckee, 2nd place finisher in the 1st race on Nov. 26, 2023 at SDI/Hawthorne. This is driver Juan Franco`s first whipping violation of the meet.

LEMS, CHRISTOPHER E **STREETSBORO, OH** **YOB 1986**
Mea on 11/24/2023 **FINED: \$200**

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

PA Code Title 7-205.409(c) Mr. Lems failed to restrict his whip use to elbow and wrist action only while driving #9, HES A SWEETHEART, in the 11th race on November 24, 2023 at The Meadows. Mr. Lems is fined \$200 (fines are due within ten days of ruling) and has waived his hearing/appeal rights).

MCNEIL, JOHN C III **DEANSBORO, NY** **YOB 1987**
Wdstk on 10/28/2023 **FINED: \$100**

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver John McNeil III is fined \$100.00 for excessive use of the whip (one-handed whipping) in the stretch while driving NEED AROCKET in the 3rd race on October 28th, 2023 at

Shenandoah Downs. All fines are due within 10 days of ruling or the Virginia Racing Commission licensee will be suspended until payment is received. 11VAC10-150-90

PALONE, DAVID M **WASHINGTON, PA** **YOB 1962**

Mea on 11/22/2023

FINED: \$250

FAILURE TO KEEP A LINE IN EACH HAND

PA Code Title 7-205.409(b) Mr. Palone used his whip in a one-handed fashion while driving #6, JEFFERY P, in the 8th race on November 22, 2023 at The Meadows. Mr. Palone is fined \$250 (fines are due within ten days of ruling) and has waived his hearing/appeal rights.

ROSS, JORDAN M **COVINGTON, TN** **YOB 1997**

HoP on 12/2/2023

FINED: \$300

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving No. 1 FLYINGSIX in race 1 on 12/2/2023 at Hoosier Park driver Jordan Ross violated the IHRC whipping rule by using excessive wrist and elbow action while whipping the horse after the half entering the far turn. For this violation Mr. Ross is fined \$300.00 (first offense of the meet of this type) which is due within seven days of this ruling. Mr. Ross waived his right to a hearing and agreed to the penalty. **Penalty was aggravated due to Mr. Ross raising his elbow and bringing the whip back behind his head.**

SCHWARTZ, WILBUR D **INDIANAPOLIS, IN** **YOB 1963**

HoP on 11/30/2023

FINED: \$200

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving No. 5 BAD SHOT in race 9 at Hoosier Park on 11/30/2023 driver Willie Schwartz violated the IHRC whipping rule by using more than minimal wrist and elbow action while whipping in the stretch. For this violation Mr. Schwartz is fined \$200.00 which is due within seven days of this ruling. Mr. Schwartz waived his right to a hearing and agreed to the penalty. (First offense in the last 60 days.)

SILVESTRI, RALPH R III **MONTICELLO, NY** **YOB 1989**

MR on 11/22/2023

FINED: \$1,000

**DRIVING - 10 DAYS, 12/22/2023 THRU
12/31/2023**

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Rule 4117.8(C) Mr. Silvestri is suspended for a term of 10 days and fined \$1,000 for his 4th whipping violation within a calendar year. While driving #4 Soho Chelsea A in Race 4 Mr. Silvestri did use his whip in an unnecessary and excessive manner throughout the stretch. Further violations will result in increased penalties.

STEVENSON, MICHAEL A **NEW GLOUCESTER, ME** **YOB 1964**

PRC on 11/28/2023

FINED: \$750

DRIVING - 5 DAYS, 12/4/2023 THRU 12/8/2023

INAPPROPRIATE USE OF THE WHIP

USTA Rule 18.00 S18.08 (C)3 and Penn National Gaming 2023 Horsemen`s Rule Guide. On 11/28/2023 at PRC, while driving No.3 HILARIA in Race 5, driver Michael Stevenson did use his whip in the stretch in an exaggerated manner while using other than wrist and elbow action. This was Mr. Stevenson`s 3rd offense. As a result of the violation Mr. Stevenson is hereby fined the sum of \$750.00 and is suspended from driving only for a period of five days beginning 12/4/2023 through and including Friday 12/8/2023. All fines are due within 48 hours of imposition.

WATSON, WALLACE R

PRC on 11/30/2023

DRESDEN, ME

FINED: \$750

YOB 1970

DRIVING - 5 DAYS, 12/4/2023 THRU 12/8/2023

INAPPROPRIATE USE OF THE WHIP

USTA Rule 18.00 S18.08 (C)3 and Penn National Gaming 2023 Horsemen`s Rule Guide. On 11/30/2023 at PRC, while driving No.1 CIGARS AND PORT in Race 5, driver Wallace Watson did use his whip in the stretch in an exaggerated manner while using other than wrist and elbow action. This was Mr. Watson`s 3rd offense. As a result of the violation Mr. Watson is hereby fined the sum of \$750.00 and is suspended from driving only for a period of five days beginning Monday 12/4/2023 through and including Friday 12/8/2023. All fines are due within 48 hours of imposition.

PART FOUR - MINOR PENALTIES**CAMPBELL, WINSTON D**

CUMB on 12/1/2023

CUMBERLAND, ME

FINED: \$250

YOB 1967

DRIVING - 7 DAYS, 12/3/2023 THRU 12/17/2023

FAILURE TO OBEY THE INSTRUCTIONS OF A RACING OFFICIAL**CARTER, STEVEN M**

DTN on 12/6/2023

LONDONDERRY, OH

FINED: \$300

YOB 1966**SELLING OR TRANSFERRING CLAIMED HORSE WITHIN THE SPECIFIED NUMBER OF DAYS****ESSIG, MELISSA S**

HoP on 12/1/2023

ALEXANDRIA, IN

FINED: \$200

YOB 1974**FAILURE TO HONOR DECLARATION****HANNERS, AUSTIN B**

DTN on 12/1/2023

FRANKFORT, OH

FINED: \$100

YOB 1999**INSIDE THE EURO RAIL / PYLON VIOLATION****HANNERS, LUKE**

DTN on 11/30/2023

CHILLICOTHE, OH

FINED: \$300

YOB 2000

FAILURE TO HONOR DECLARATION

JOHNSTON, SHAWN P Mea on 11/1/2023	EVANS CITY, PA FINED: \$200 HORSE PLACED 6	YOB 1993
INSIDE THE EURO RAIL / PYLON VIOLATION		
KILLEEN, JACK J Mea on 11/15/2023	CANONSBURG, PA FINED: \$200 HORSE PLACED 6	YOB 2000
INSIDE THE EURO RAIL / PYLON VIOLATION		
Mea on 11/22/2023	FINED: \$200	
JOSTLE, STRIKE OR HOOK WHEELS		
LOUGHRY, TOM JR. Mea on 11/24/2023	MARION CENTER, PA FINED: \$500	YOB 1983
FAILURE TO HONOR DECLARATION		
MARTIN, JOSEPH V Fhld on 11/24/2023	SOUTH AMBOY, NJ FINED: \$100	YOB 1958
INSIDE THE EURO RAIL / PYLON VIOLATION		
MILLER, ELSON L Nfld on 12/3/2023	GOSHEN, IN FINED: \$100	YOB 2001
FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION		
MONTI, ANDREW Mea on 11/1/2023	DEPEW, NY FINED: \$200	YOB 1994
INSIDE THE EURO RAIL / PYLON VIOLATION		
MYERS, HUNTER R Mea on 11/10/2023	CHAGRIN FALLS, OH FINED: \$200 HORSE PLACED 6	YOB 1998
CHANGING COURSE/CAUSING INTERFERENCE		
NASON, JOHN S CUMB on 12/3/2023	FREEDOM, NH FINED: \$100 HORSE PLACED 6	YOB 1956
INSIDE THE EURO RAIL / PYLON VIOLATION		
NOBLE, KOLTIN D Mea on 11/24/2023	XENIA, OH FINED: \$200	YOB 2004
JOSTLE, STRIKE OR HOOK WHEELS		
PAGE, CHRIS L	DELAWARE, OH	YOB 1983

DTN on 12/5/2023	FINED: \$100 HORSE PLACED 7	
PASSING LANE VIOLATION		
RHOADES, BILL Nfld on 11/27/2023	NORTHFIELD, OH FINED: \$100	YOB 1987
LATE DRIVER CHANGE		
SMITH, TREVOR GENE DTN on 12/6/2023	WASHINGTON CH, OH FINED: \$200 HORSE PLACED 8	YOB 1996
JOSTLE, STRIKE OR HOOK WHEELS		
SMITH, TYLER ALAN DTN on 12/1/2023	WASHINGTON CH, OH FINED: \$200 HORSE PLACED 8	YOB 1993
PASSING LANE VIOLATION		
TOLLEY, ELIZABETH Nfld on 5/28/2023	ROMULUS, MI FINED: \$500	YOB 1979
DECLARING HORSE IN CLAIMING RACE WITHOUT PROPER CLAIMING AUTHORIZATION/REGISTRATION CERTIFICATE ON FILE		
WALTERS, JUSTIN T Mea on 11/3/2023	WASHINGTON, PA FINED: \$200	YOB 2003
JOSTLE, STRIKE OR HOOK WHEELS		
WARREN, RIDGE J M on 11/17/2023	WAYNESBURG, PA DRIVING - 2 DAYS, 12/8/2023 THRU 12/9/2023	YOB 1988
CHANGING COURSE/CAUSING INTERFERENCE		