

# FINES AND SUSPENSIONS BULLETIN

Fri, Apr 26 - Thu, May 2

## PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

\*\*\* NO RECORDS FOUND \*\*\*

## PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

**ALEXANDER, DEBORAH R**  
MVR on 4/29/2024

**MARENGO, OH**  
FINED: \$1,000  
FULL - 10 DAYS, 5/4/2024 THRU 5/13/2024

**YOB 1955**

### LEAVING HORSE UNATTENDED

OSRC 3769-12-26-A(9&10), 3769-18-02. #9 Mel Rose Dunn, who raced in race 13 on 4/29/24 at Miami Valley Raceway, was left unattended in it's assigned stall for hours after the last race was conducted and after the paddock was scheduled to be locked up for the evening. Another trainer was kind enough to take the horse back to a local fairgrounds and cared for the horse until it was picked up the following morning. Ms. Alexander was listed as the trainer on 4/29/24 and is responsible for not only the condition of the horse, but for making arrangements for getting the horse to where it should be. Ms. Alexander is fined \$1000 and given a full 30 day suspension (05/04/24-06/02/24), or the penalty will be reduced to the \$1000 fine and a full 10 day suspension (05/04/24-05/13/24), if not appealed. The fine is due within 10 days of this ruling. During the suspension, Ms. Alexander is denied the use and privileges of all the grounds sanctioned by the OSRC. All horses owned and/or trained by Ms. Alexander may only be transferred by the Judges at Scioto Downs or the OSRC. Ms. Alexander has 48 hours to appeal this decision to the OSRC.

**BROWN, TREVOR E**  
Nfld on 3/10/2024  
**OTHER**

**LEBANON, OH**  
INDEFINITE SUSPENSION(IND) AS OF 4/24/2024

**YOB 1987**

Mr. Brown is hereby suspended until he appears before the judges at Northfield Park regarding his 2024 OSRC license application. O.S.R.C.-3769-2-26(A)(7)

**MCCARTHY, LUKE A**  
Lex on 6/30/2013  
**OTHER**

**BORDENTOWN, NJ**

**YOB 1982**

810 KAR 3:001 Sec. 12 (1)---Settled outstanding fine assessed.

**SHARPE, CHARLENE A**

**BERLIN, MD**

**YOB 1985**

RcR on 4/2/2024

FINED: \$2,500  
FULL - 360 DAYS, 4/25/2024 THRU 4/19/2025  
HORSE DISQUALIFIED - PLACED 9, PURSE  
REDISTRIBUTION  
*APPEALED - 4/26/2024*

**POSITIVE TEST- POST RACE**

Trainer Charlene Sharpe, for being the trainer of record on sample #E720322 taken from BACKWATER BELLE on April 2nd, 2024 at Rosecroft Raceway, was found to contain ADB-Fubinaca. As a result, Sharpe is fined \$2,500.00 and must return all purse monies. Sharpe will also be issued a full suspension from April 25th, 2024 through April 19th, 2025 (360 days). During this suspension, she and all horses trained and/or owned by her are barred from the grounds, under the jurisdiction of the Maryland Racing Commission. Additionally, BACKWATER BELLE is disqualified, finishing first and placed ninth, and is placed on the steward's list from April 25th, 2024 through April 19th, 2025 (360 days). Once Sharpe's suspension is completed, she must reapply and receive approval from the Maryland Racing Commission to obtain licensure. All purse monies must be returned within 72 hours of this issuance, and all fines are due within 10 days of the ruling. COMAR: 09.10.03.04.B. Six Multiple Medication Violation (MMV) points assessed.

***Status as of 4/26/2024 - Appeal - Trainer Charlene Sharpe has appealed the ruling of the Rosecroft Raceway judges (RCR24-028) on April 25th, 2024.***

**TALLEY, JASON A**

**SALISBURY, MD**

**YOB 1991**

Har on 4/17/2024

**POSITIVE TEST - ILLEGAL SUBSTANCE TEST**

DHRC Licensee Jason Talley is summarily suspended (Effective 5/1/2024) pending a Judge's hearing. Mr. Talley will remain suspended until such a hearing is conducted. (see DHRC rule: 10.2.3.1)

**UNGASHICK, PAUL T**

**WESTERVILLE, OH**

**YOB 1974**

Nfld on 4/10/2024

FINED: \$50

**BAD CHECK**

Mr. Ungashick issued a check for a 2024 OSRC license and the check was returned for Not Sufficient Funds. O.S.R.C.-3769-2-26(A)(6)

**WILLIAMS, JAMAL L**

**STARKVILLE, MS**

**YOB 1997**

HoP on 12/2/2021

FINED: \$1,000

FULL - 180 DAYS, 12/4/2023 THRU 5/31/2024  
HORSE DISQUALIFIED - PLACED 10, PURSE  
REDISTRIBUTION

**MEDICATION PROCEDURE VIOLATION**

71 IAC 8-1-1.5(b)(3) Based on the unanimous adoption of the Administrative Law Judge's Proposed Findings of Fact, Conclusions of Law, and Non-Final Order by the members of the Indiana Horse Racing Commission at its November 30, 2023, meeting, Mr. Williams is suspended for a period one hundred eighty (180) days beginning on December 4, 2023,

through May 31, 2024, and fined a total of \$1,000.00 for violations contained in Administrative Complaint No. 222002, which include oral administration of substances, foreign or otherwise, to multiple horses on race day. During the period of this suspension Mr. Williams is denied access to all grounds under the jurisdiction of the Indiana Horse Racing Commission. The horse "PRESCOTTS HOPE" is ordered unplaced from race 3 of December 2, 2021 at Harrah`s Hoosier Park, and all purse monies earned be forfeited, returned, and redistributed pursuant to the provisions of 71 IAC 8-1-2(b) as follows: PRESCOTTS HOPE finished first placed unplaced FOX VALLEY SAMPSON finished second placed first FLASY DUDE finished third placed second DOJEA ROCK finished fourth placed third THE BUCKET finished fifth placed fourth EVERGREEN ELITE finished sixth placed fifth FIFTY FLAT finished seventh placed sixth GAZING STAR finished eighth placed seventh

**KHRC** on 12/4/2023

FULL - 5 DAYS, 12/27/2023 THRU 12/31/2023

**OTHER**

810 Kar 3:001 Sec.15 (1)(d)---Jamal Wiliams is hereby suspended in reciprocity to his suspension by the Indiana Horse Racing Commission through and including 12/31/2023. Furthermore, He shall remain ineligible for licensing through and including 05/31/2024 as indicated on the Indiana Horse Racing Commission Ruling. Any future applications for a license with the Kentucky Horse Racing Commission will be forwarded to the KHRC Licensing Review Committee.

**WRIGHT, BRIAN M**

**FELTON, DE**

**YOB 1979**

**Har** on 4/2/2024

FINED: \$500

**POSITIVE TEST- POST RACE**

DHRC Licensee Brian Wright, having waived his right to a Judge`s hearing, and being the trainer on record for the mare CRAZY CASH in qualifying race 1 on 4/2/2024 at Harrington Raceway, with mitigating circumstances, is fined \$500. It has been found that CRAZY CASH had a positive test-Flunixin, testing over the allowable level (see DHRC rule: 8.3.3.3.2). All fines are due within 10 days of receipt of ruling.

**PART THREE - WHIPPING VIOLATIONS**

**BATESON, CASE A**

**CANNONSBURG, PA**

**YOB 2001**

**Mea** on 4/12/2024

FINED: \$200

**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

PA Code Title 7: 205.409(c) Mr. Bateson used his whip with more than wrist and elbow action and raised his whipping arm above shoulder height while driving #6, WHO DEY BENGAL, in race 5 on April 12, 2024 at The Meadows. Mr. Bateson is fined \$200 (fines are due within ten days of ruling). Appeals are due within 10 days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The Pennsylvania State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

**BRINSON, JIM T** **CLINTON, MI** **YOB 1979**  
Lex on 4/22/2024 FINED: \$200  
**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**  
810 KAR 5:070 Sec.9 (3).---Whipping in a manner other than wrist and slight elbow movement. (first offense).

**FRAZIER, JAFARI S** **RAYMOND, MS** **YOB 1990**  
Lex on 4/22/2024 FINED: \$200  
**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**  
810 KAR 5:070 Sec.9 (3).---Whipping in a manner other than wrist and slight elbow movement. (first offense).

**GRUNDY, RYAN** **AIRDRIE, AB** **YOB 1981**  
CaIX on 4/21/2024 FINED: \$250  
**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**  
CAL EXPO Driver RYAN GRUNDY, who drove NO.4 SENGAR NIGHTMARE in the eighth race on Sunday, April 21, 2024 is hereby fined the sum of two hundred fifty (\$250.00) dollars for violation of CHRB Whipping Rule #1734(b)(Whipping shall be restricted to elbow and wrist action only) and Rule #1734(b)(8)(Use of the whip more than six times during a race, excluding showing or waving the whip). If this fine is not paid to the paymaster of purses within seven calendar days from the date of this ruling you may be suspended and/or your license revoked by the CHRB.

**HILL, MARCUS D** **MADISON, MS** **YOB 1973**  
Lex on 4/29/2024 FINED: \$200  
**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**  
810 KAR 5:070 Sec.9 (3).---Whipping in a manner other than wrist and slight elbow movement. (first offense).

**STILLWELL, JACOB T** **BRICK, NJ** **YOB 1999**  
Lex on 4/22/2024 FINED: \$200  
**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**  
810 KAR 5:070 Sec.9 (3).---Whipping in a manner other than wrist and slight elbow movement. (first offense).

#### PART FOUR - MINOR PENALTIES

**BATES, BRANDON L** **MONROE, IN** **YOB 1975**  
HoP on 4/27/2024 FINED: \$100  
**FAILURE TO DRIVE WHEN PROGRAMMED**

**BEAVER, CHRISTOPHER F** **RADNOR, OH** **YOB 1972**  
Nfld on 4/24/2024 FINED: \$100

**OTHER**

<b>BECKWITH, MARK D</b> Stga on 4/29/2024 <b>KICKING A HORSE</b>	<b>WILTON, NY</b> FINED: \$100	<b>YOB 1966</b>
<b>BROOKS, ROBERT L</b> Nfld on 4/24/2024 <b>OTHER</b>	<b>VANLUE, OH</b> FINED: \$100	<b>YOB 1984</b>
<b>COHEN, SHEENA L</b> PcD on 4/20/2024 <b>LEAVING HORSE UNATTENDED</b>	<b>NAZARETH, PA</b> FINED: \$100	<b>YOB 1983</b>
<b>COLLAZO, LUIS E</b> PHL on 4/21/2024 <b>NO VACCINATION / COGGINS / HEALTH CERTIFICATE</b>	<b>EASTON, PA</b> FINED: \$100	<b>YOB 1967</b>
<b>CUMMINGS, KEVIN J</b> BR on 4/17/2024 <b>CARRY A HORSE OUT</b>	<b>HAMBURG, NY</b> DRIVING - 3 DAYS, 4/29/2024 THRU 5/1/2024	<b>YOB 1970</b>
<b>CUSHING, RONALD L</b> PRc on 4/29/2024 <b>LATE TO THE LASIX/ DETENTION BARN</b>	<b>WATERVILLE, ME</b> FINED: \$100	<b>YOB 1964</b>
<b>DAGUET, DEBORAH</b> PcD on 4/24/2024 <b>FAILURE TO OBEY THE INSTRUCTIONS OF A RACING OFFICIAL</b>	<b>WINDGAP, PA</b> FINED: \$100	<b>YOB 1996</b>
<b>DAVIS, BILLY J JR</b> Nfld on 4/28/2024 <b>TALKING ON THE RACE TRACK</b>	<b>GUELPH, ON</b> FINED: \$100	<b>YOB 1986</b>
<b>DERUE, BRETT D</b> PRc on 4/25/2024 <b>HORSE LATE TO THE PADDOCK</b>	<b>QUEENSBURY, NY</b> FINED: \$100	<b>YOB 1985</b>
<b>DIBENEDETTO, KYLE</b> MR on 4/22/2024 <b>KICKING A HORSE</b>	<b>HONESDALE, PA</b> FINED: \$100	<b>YOB 1966</b>
<b>DUBOIS, WIL</b> PRc on 4/25/2024 <b>HORSE LATE TO THE PADDOCK</b>	<b>CAMBRIDGE, NY</b> FINED: \$100	<b>YOB 1996</b>

<b>EICHER, SAMUEL M</b> HoP on 4/24/2024 <b>NO VACCINATION / COGGINS / HEALTH CERTIFICATE</b>	<b>SOUTHWHITLEY, IN</b> FINED: \$200	<b>YOB 1989</b>
<b>ERZENE, KUBI MICHAEL</b> Fhld on 4/26/2024 <b>FAILURE TO HONOR DECLARATION</b>	<b>PEMBERTON, NJ</b> FINED: \$250	<b>YOB 1992</b>
<b>GRISMORE, GREG G</b> Nfld on 4/20/2024 <b>JOSTLE, STRIKE OR HOOK WHEELS</b>	<b>GROVE CITY, OH</b> FINED: \$100	<b>YOB 1970</b>
<b>HANNERS, AUSTIN B</b> MVR on 4/30/2024 <b>IMPEDING THE PROGRESS OF ANOTHER HORSE</b>	<b>FRANKFORT, OH</b> FINED: \$350 HORSE PLACED 7	<b>YOB 1999</b>
<b>HARDY, JAMES E</b> PRc on 4/29/2024 <b>SLOW QUARTER/HALF</b>	<b>NORTH ATTLEBORO, MA</b> FINED: \$100	<b>YOB 1964</b>
<b>HARVEY, DAREN JOHN</b> Nfld on 4/24/2024 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>CANTON, OH</b> FINED: \$100	<b>YOB 1984</b>
<b>KILLEEN, JACK J</b> Mea on 4/27/2024 <b>JOSTLE, STRIKE OR HOOK WHEELS</b>	<b>CANONSBURG, PA</b> FINED: \$200	<b>YOB 2000</b>
<b>KREISER, CORY</b> Nfld on 4/28/2024 <b>NO VACCINATION / COGGINS / HEALTH CERTIFICATE</b>	<b>NORTHFIELD, OH</b> FINED: \$300	<b>YOB 1993</b>
<b>LLOPEZ, IVAN S</b> PHL on 4/26/2024 <b>NO VACCINATION / COGGINS / HEALTH CERTIFICATE</b>	<b>FARMINGDALE, NJ</b> FINED: \$100	<b>YOB 1972</b>
<b>LYNCH, JASON T</b> Har on 4/29/2024 <b>CHANGING COURSE/CAUSING INTERFERENCE</b>	<b>DELMAR, DE</b> DRIVING - 3 DAYS, 4/30/2024 THRU 5/2/2024	<b>YOB 1989</b>
<b>MACDONALD, ANTHONY A</b> MVR on 5/2/2024	<b>MACEDONIA, OH</b> FINED: \$200 HORSE PLACED 5	<b>YOB 1976</b>

**IMPEDING THE PROGRESS OF ANOTHER HORSE**

<b>MACDONALD, MARK J</b> PcD on 4/27/2024 <b>FAILURE TO SET AND MAINTAIN A PROPER PACE</b>	<b>GOSHEN, NY</b> FINED: \$200	<b>YOB 1978</b>
<b>MCDANIEL, LESLEY S</b> Stga on 4/29/2024 <b>FAILURE TO HONOR DECLARATION</b>	<b>VASSALBORO, ME</b> FINED: \$250	<b>YOB 1994</b>
<b>MERRIMAN, AARON E</b> Nfld on 4/28/2024 <b>TALKING ON THE RACE TRACK</b>	<b>NORTHFIELD, OH</b> FINED: \$100	<b>YOB 1978</b>
<b>PAGE, CHRIS L</b> MVR on 4/29/2024 <b>KICKING A HORSE</b>	<b>DELAWARE, OH</b> FINED: \$1,000	<b>YOB 1983</b>
<b>PALONE, DAVID M</b> Mea on 4/26/2024 <b>FAILURE TO KEEP BOTH FEET IN THE STIRRUPS</b>	<b>WASHINGTON, PA</b> FINED: \$200	<b>YOB 1962</b>
<b>PAVIA, JOSEPH C JR</b> PcD on 4/13/2024 <b>LATE TO THE LASIX/ DETENTION BARN</b>	<b>BOYNTON BEACH, FL</b> FINED: \$250	<b>YOB 1960</b>
<b>PLANO, LUKE</b> CaIX on 4/21/2024 <b>FAILURE TO KEEP BOTH FEET IN THE STIRRUPS</b>	<b>SACRAMENTO, CA</b> FINED: \$250	<b>YOB 1980</b>
<b>POLISENO, CODY D</b> Har on 4/29/2024 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>DOVER, DE</b> FINED: \$100 HORSE PLACED 8	<b>YOB 1994</b>
<b>PUTNAM, JOSEPH D</b> HoP on 4/25/2024 <b>JOSTLE, STRIKE OR HOOK WHEELS</b>	<b>MIDDLETOWN, IN</b> FINED: \$200	<b>YOB 1998</b>
<b>ROLAND, NICK ROYCE</b> CaIX on 4/19/2024 <b>JOSTLE, STRIKE OR HOOK WHEELS</b>	<b>GRINNELL, IA</b> FINED: \$100	<b>YOB 1982</b>
<b>SCICLUNA, CHRIS J</b> Har on 4/30/2024	<b>HOUSTON, DE</b> FINED: \$100	<b>YOB 1975</b>

**OTHER**

<b>SCOFIELD, CLIFFORD</b> PHL on 4/21/2024 <b>NO VACCINATION / COGGINS / HEALTH CERTIFICATE</b>	<b>CAMDEN, DE</b> FINED: \$100	<b>YOB 1980</b>
<b>SEEKMAN, JOSEPH G</b> HoP on 4/26/2024 <b>LATE TO THE LASIX/ DETENTION BARN</b>	<b>GASTON, IN</b> FINED: \$200	<b>YOB 1964</b>
<b>STECK, RONALD E</b> MVR on 5/2/2024 <b>INACCURATE PROGRAM INFORMATION</b>	<b>MARENGO, OH</b> FINED: \$100	<b>YOB 1968</b>
<b>SUGG, KURT D</b> Nfld on 4/20/2024 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>POLK, OH</b> FINED: \$100	<b>YOB 1969</b>
<b>SWITZER, KEVIN P JR</b> PRc on 4/25/2024 <b>SLOW QUARTER/HALF</b>	<b>E WATERBORO, ME</b> FINED: \$100	<b>YOB 1987</b>
<b>THARPS, TRENT E JR</b> Nfld on 4/23/2024 <b>LATE TO THE LASIX/ DETENTION BARN</b>	<b>LOVELAND, OH</b> FINED: \$300	<b>YOB 1981</b>
<b>TOSCANO, LINDA J</b> PHL on 4/26/2024 <b>NO VACCINATION / COGGINS / HEALTH CERTIFICATE</b>	<b>FREEHOLD, NJ</b> FINED: \$100	<b>YOB 1955</b>
<b>WRIGHT, BRIAN M</b> PHL on 4/26/2024 <b>NO VACCINATION / COGGINS / HEALTH CERTIFICATE</b>	<b>FELTON, DE</b> FINED: \$100	<b>YOB 1979</b>
<b>YOUNG, DAVID A II</b> MVR on 4/30/2024 <b>KICKING A HORSE</b>	<b>CHILLICOTHE, OH</b> FINED: \$300	<b>YOB 1983</b>