

KENTUCKY HORSE RACING AND GAMING CORPORATION
JOB DESCRIPTION

JOB TITLE: Gaming Compliance Analyst
DEPARTMENT: Gaming
REPORTS TO: Directors of Pari-Mutuel Wagering and Sports Wagering

JOB SUMMARY:

The Gaming Compliance Analyst supports the Kentucky Horse Racing and Gaming Corporation's (KHRGC) Gaming Division by conducting formal and informal reviews across the gaming division, including pari-mutuel wagering and sports wagering operations. This role ensures compliance with Kentucky gaming laws, regulations, and operational policies while adapting to the evolving needs of the division.

The Gaming Compliance Analyst is a data-focused compliance role responsible for assessing regulatory adherence, identifying potential areas of concern, and supporting various compliance initiatives. Potential candidates should have strong analytical skills, attention to detail, and the flexibility to assist in regulatory and operational oversight.

ESSENTIAL DUTIES/RESPONSIBILITIES:

- Conduct compliance reviews of pari-mutuel and sports wagering operations to ensure adherence to Kentucky gaming laws and regulations.
- Analyze and review financial and wagering data to verify compliance with regulatory requirements and confirm accurate reporting.
- Analyze self-reported tax filings against daily wagering reports from licensees and assist in resolving variances.
- Assess internal controls, terms and conditions, and operational procedures to identify potential risks or areas for improvement.
- Assist in developing and implementing compliance monitoring programs and best practices.
- Work collaboratively with internal teams and external stakeholders to support regulatory objectives.
- Maintain accurate documentation and prepare reports summarizing findings and recommendations.
- Support investigations related to regulatory violations or operational discrepancies and lead investigations related to suspicious wagering activity.
- Stay up to date with industry trends, regulatory changes, and best practices in gaming compliance.
- Support the Gaming Division Directors in executing KHRGC's regulatory duties and operational responsibilities.
- Requires sitting at a computer for long periods.
- May require periodic travel, with occasional overtime and/or alternate schedules as needed.
- Other duties and tasks as assigned.

KNOWLEDGE/SKILLS/EXPERIENCE:

- Bachelor's degree required. 3-5 years of experience in compliance, risk assessment, data analysis, regulatory or operations review, wagering operations, or a related field preferred.
- Understanding of regulatory compliance and/or experience in gaming field preferred.
- Excellent analytical and problem-solving skills, with a focus on attention to detail and accuracy.
- Flexibility to adapt to shifting priorities and address various needs within the division.
- Strong interpersonal and communication skills, both written and verbal, with the ability to provide effective customer service to internal teams and external stakeholders.
- Demonstrated ability to convey information clearly and professionally.
- Ability to work both independently and collaboratively, demonstrating teamwork, adaptability, confidentiality, and initiative.
- Proficiency in basic office software (e.g., Microsoft Office Suite) and any role-specific tools and technologies.

COMPENSATION:

Compensation will be based on experience. KHRGC employees participate in the Kentucky Employees Retirement System, and are eligible to participate in the Kentucky health, life, and deferred compensation programs.