

FINES AND SUSPENSIONS BULLETIN

Fri, Sep 18 - Thu, Sep 24

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

CLEGG, BETTY JO

NORTHFIELD, OH

YOB 1973

USTA on 11/18/2025

INELIGIBLE(INE) AS OF 9/24/2026

USTA MEMBERSHIP ACTION

The USTA is indefinitely suspending the USTA membership of Ms. Betty Jo Clegg for violations of USTA Rule 26.16 (failure to submit information) and USTA Article § 1.04(a)(7) (failure to furnish information requested to supplement a membership application). Ms. Clegg failed to provide records and information requested by the Standardbred Racing Investigative Fund (SRIF) in collaboration with the USTA pursuant to an investigation in suspected violations of the USTA's Rules and Bylaws. As with the transfer of all ownership interests in a registered Standardbred horse, the USTA will scrutinize all attempted transfers of an interest in a Standardbred horse owned by Ms. Clegg to ensure that such transfer complies with the USTA's Rules and Bylaws and reserves the right to not recognize any such transfer. In addition, as Ms. Clegg is now indefinitely suspended, she is a "person not in good standing" under USTA Rule 4.130 and horses and USTA members associated with Ms. Clegg are subject to the applicable restrictions under USTA Rules Rule 20.15, 20.17, 22.05, 22.06, and 26.12. To ensure compliance with the USTA's Rules and Bylaws, the USTA advises its members to consult with officials at the USTA before participating in transactions or engaging in business activities involving registered Standardbreds with Ms. Clegg.

Status as of 9/24/2026 - Subsequent Ruling - On September 24, 2026, the United States Trotting Association ("USTA") declared Nick Clegg, Betty Jo Clegg, and Kimber Clegg persons not in good standing pursuant to USTA Rule 4.130. On November 8, 2024, Betty Jo Clegg was found to have committed a violation in Ohio and was facing a suspension. On November 10, 2024, Kimber Clegg became a USTA member. Betty thereafter transferred her racehorses to Kimber. In light of these circumstances, the USTA directed the Standardbred Racing Investigative Fund ("SRIF") to investigate the transfers. SRIF interviewed Nick, Betty, and Kimber and requested they produce records and information to establish the ownership and financial arrangements for the horses and related purse proceeds. Although records and information were provided, many were not. Significant financial activity remained unexplained and transactions unaccounted for, including the disbursement of hundreds of thousands of dollars in purse money earned by Kimber's horses. Nick, Betty, and Kimber were suspended for failing to provide records and information as required by USTA Rule 26.16. Each appealed, and the suspensions were stayed. The Cleggs continued to provide materials as efforts were made to resolve the matter. They ultimately ceased cooperating, however, declined to provide the outstanding records and information, elected not to proceed with their appeals, and resigned their USTA memberships. Because they resigned while under investigation, Nick Clegg, Betty Jo Clegg, and Kimber Clegg are persons not in good standing pursuant to USTA Rule 4.130. The prohibitions applicable to persons not in

good standing apply to each of them, including USTA Rules 20.15, 20.17, 22.05, 22.06, and 26.12. Members are advised to consult with the USTA regarding any transactions or activities involving registered Standardbreds connected to Nick Clegg, Betty Jo Clegg, or Kimber Clegg to ensure compliance with the USTA's rules and bylaws.

CLEGG, KIMBER

MATAMORAS, PA

YOB 1999

USTA on 11/18/2025

INELIGIBLE(INE) AS OF 9/24/2026

USTA MEMBERSHIP ACTION

The USTA is indefinitely suspending the USTA membership of Ms. Kimber Clegg for violations of USTA Rule 26.16 (failure to submit information) and USTA Article § 1.04(a)(7) (failure to furnish information requested to supplement a membership application). Ms. Clegg failed to provide records and information requested by the Standardbred Racing Investigative Fund (SRIF) in collaboration with the USTA pursuant to an investigation in suspected violations of the USTA's Rules and Bylaws. As with the transfer of all ownership interests in a registered Standardbred horse, the USTA will scrutinize all attempted transfers of an interest in a Standardbred horse owned by Ms. Clegg to ensure that such transfer complies with the USTA's Rules and Bylaws and reserves the right to not recognize any such transfer. In addition, as Ms. Clegg is now indefinitely suspended, she is a "person not in good standing" under USTA Rule 4.130 and horses and USTA members associated with Ms. Clegg are subject to the applicable restrictions under USTA Rules Rule 20.15, 20.17, 22.05, 22.06, and 26.12. To ensure compliance with the USTA's Rules and Bylaws, the USTA advises its members to consult with officials at the USTA before participating in transactions or engaging in business activities involving registered Standardbreds with Ms. Clegg.

Status as of 9/24/2026 - Subsequent Ruling - On September 24, 2026, the United States Trotting Association ("USTA") declared Nick Clegg, Betty Jo Clegg, and Kimber Clegg persons not in good standing pursuant to USTA Rule 4.130. On November 8, 2024, Betty Jo Clegg was found to have committed a violation in Ohio and was facing a suspension. On November 10, 2024, Kimber Clegg became a USTA member. Betty thereafter transferred her racehorses to Kimber. In light of these circumstances, the USTA directed the Standardbred Racing Investigative Fund ("SRIF") to investigate the transfers. SRIF interviewed Nick, Betty, and Kimber and requested they produce records and information to establish the ownership and financial arrangements for the horses and related purse proceeds. Although records and information were provided, many were not. Significant financial activity remained unexplained and transactions unaccounted for, including the disbursement of hundreds of thousands of dollars in purse money earned by Kimber's horses. Nick, Betty, and Kimber were suspended for failing to provide records and information as required by USTA Rule 26.16. Each appealed, and the suspensions were stayed. The Cleggs continued to provide materials as efforts were made to resolve the matter. They ultimately ceased cooperating, however, declined to provide the outstanding records and information, elected not to proceed with their appeals, and resigned their USTA memberships. Because they resigned while under investigation, Nick Clegg, Betty Jo Clegg, and Kimber Clegg are persons not in good

standing pursuant to USTA Rule 4.130. The prohibitions applicable to persons not in good standing apply to each of them, including USTA Rules 20.15, 20.17, 22.05, 22.06, and 26.12. Members are advised to consult with the USTA regarding any transactions or activities involving registered Standardbreds connected to Nick Clegg, Betty Jo Clegg, or Kimber Clegg to ensure compliance with the USTA's rules and bylaws.

CLEGG, NICK A

NORTHFIELD, OH

YOB 1974

USTA on 11/18/2025

INELIGIBLE(INE) AS OF 9/24/2026

USTA MEMBERSHIP ACTION

The USTA is indefinitely suspending the USTA membership of Mr. Nick Clegg for violations of USTA Rule 26.16 (failure to submit information) and USTA Article § 1.04(a)(7) (failure to furnish information requested to supplement a membership application). Mr. Clegg failed to provide records and information requested by the Standardbred Racing Investigative Fund (SRIF) in collaboration with the USTA pursuant to an investigation in suspected violations of the USTA's Rules and Bylaws. As with the transfer of all ownership interests in a registered Standardbred horse, the USTA will scrutinize all attempted transfers of an interest in a Standardbred horse owned by Mr. Clegg to ensure that such transfer complies with the USTA's Rules and Bylaws and reserves the right to not recognize any such transfer. In addition, as Mr. Clegg is now indefinitely suspended, he is a "person not in good standing" under USTA Rule 4.130 and horses and USTA members associated with Mr. Clegg are subject to the applicable restrictions under USTA Rules Rule 20.15, 20.17, 22.05, 22.06, and 26.12. To ensure compliance with the USTA's Rules and Bylaws, the USTA advises its members to consult with officials at the USTA before participating in transactions or engaging in business activities involving registered Standardbreds with Mr. Clegg.

Status as of 9/24/2026 - Subsequent Ruling - On September 24, 2026, the United States Trotting Association ("USTA") declared Nick Clegg, Betty Jo Clegg, and Kimber Clegg persons not in good standing pursuant to USTA Rule 4.130. On November 8, 2024, Betty Jo Clegg was found to have committed a violation in Ohio and was facing a suspension. On November 10, 2024, Kimber Clegg became a USTA member. Betty thereafter transferred her racehorses to Kimber. In light of these circumstances, the USTA directed the Standardbred Racing Investigative Fund ("SRIF") to investigate the transfers. SRIF interviewed Nick, Betty, and Kimber and requested they produce records and information to establish the ownership and financial arrangements for the horses and related purse proceeds. Although records and information were provided, many were not. Significant financial activity remained unexplained and transactions unaccounted for, including the disbursement of hundreds of thousands of dollars in purse money earned by Kimber's horses. Nick, Betty, and Kimber were suspended for failing to provide records and information as required by USTA Rule 26.16. Each appealed, and the suspensions were stayed. The Cleggs continued to provide materials as efforts were made to resolve the matter. They ultimately ceased cooperating, however, declined to provide the outstanding records and information, elected not to proceed with their appeals, and resigned their USTA memberships. Because they resigned while under

investigation, Nick Clegg, Betty Jo Clegg, and Kimber Clegg are persons not in good standing pursuant to USTA Rule 4.130. The prohibitions applicable to persons not in good standing apply to each of them, including USTA Rules 20.15, 20.17, 22.05, 22.06, and 26.12. Members are advised to consult with the USTA regarding any transactions or activities involving registered Standardbreds connected to Nick Clegg, Betty Jo Clegg, or Kimber Clegg to ensure compliance with the USTA's rules and bylaws.

LYLES, BRANDON R
USTA on 9/2/2026

JACKSON, MS **YOB 2006**
RESTORED TO GOOD STANDING(RES) AS OF
9/21/2026

USTA MEMBERSHIP ACTION

Membership suspended for failure to honor declarations at the Farmer City-DeWitt County Fair in Farmer City, IL.

Status as of 9/21/2026 - Subsequent Ruling - The entry fees have been paid and Mr. Lyles is restored to good standing.

SMITH, CHARLES E
USTA on 9/2/2026

JACKSON, MS **YOB 1984**
RESTORED TO GOOD STANDING(RES) AS OF
9/21/2026

USTA MEMBERSHIP ACTION

Membership suspended for failure to honor declarations at the Farmer City-DeWitt County Fair in Farmer City, IL.

Status as of 9/21/2026 - Subsequent Ruling - The entry fees have been paid and Mr. Smith is restored to good standing.

YODER, ORVAN R
USTA on 8/11/2026

NAPPANEE, IN **YOB 2000**
RESTORED TO GOOD STANDING(RES) AS OF
9/18/2026

USTA MEMBERSHIP ACTION

Membership suspended for violation of Rule 20.10 (financial responsibility).

Status as of 9/18/2026 - Subsequent Ruling

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

ASHER-STALBAUM, WINTER S
Hstng on 9/2/2026
UNSATISFACTORY DRIVE - CARELESSNESS

LESLIE, MI
FINED: \$100

YOB 2003

MGCB Ruling 7701 - While driving #4 Pecorino, Winter Asher-Stalbaum did drive in a careless and reckless manner.

BAKER, JOHN A

MILFORD, DE

YOB 1949

Har on 9/17/2026

INDEFINITE SUSPENSION(IND) AS OF 9/21/2026

CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

Per DHRC rule 5.1.16, 5.1.16.1.3 John A. Baker is indefinitely suspended due to pending criminal charges. This matter will be finalized when John Bakers legal issues are resolved or completed.

BYERS, JAMES L

MECHANICSBURG, OH

YOB 1970

ScD on 6/26/2026

FINED: \$1,000

FULL - 30 DAYS, 9/25/2026 THRU 10/24/2026

HORSE DISQUALIFIED - PLACED 9, PURSE

REDISTRIBUTION

POSITIVE TEST- POST RACE

OSRC 3769-18-01-A(1,10,13,15,16, 3769-18-02, 3769-17-43, 3769-17-30-B. Blood sample #937158 was tested by the Ohio Department of Agriculture ATL and was found to contain Boldione, a Class 3, Penalty A drug. Blood sample #937158 was provided by #8 Call Him Rocky, who finished 1st in race 4 on 6/26/26 at Scioto Downs and was trained by Mr. Byers. Mr. Byers requested a split sample which was confirmed. Mr. Byers waived his rights to a hearing. This is Mr. Byers first medication violation. Mr. Byers is fined \$1000 and given a 365 day full suspension, and the purse earnings of \$5500 must be returned to Scioto Downs within 10 days of this ruling, the penalty will be reduced to a \$1000 fine, a 30 day full suspension (9/25/26-10/24/26) with 335 days stayed provided Mr. Byers doesn't have any penalty A or B violations during that period, and return of the purse earnings. #4 is disqualified and placed 9th. The fine must be paid to the OSRC within 10 days of this ruling. Mitigating circumstances were considered in determining the penalties. During his suspension, Mr. Byers is denied the use and privileges of all the grounds sanctioned by the OSRC. All horses owned and/or trained by Mr. Byers may only be transferred by the Judges in Ohio or the OSRC. Mr. Byers has 48 hours to appeal this decision to the OSRC.

COLEMAN, ANGELA S

CLAYSVILLE, PA

YOB 1977

Mea on 8/28/2026

FINED: \$100

DISTURBING THE PEACE

PA Code Title 7: 205.501(10) Following The Meadows' fifth race on August 28, 2026, Ms. Coleman did disturb the peace in and/or near the paddock. Ms. Coleman is fined \$100 (this fine is due within ten days of this ruling). A hearing concerning this matter was held in The Meadows' Judges' office on September 11, 2026. An appeal is due within ten days of this ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Walt Remmert

ELWOOD, MRS KIMBERLY

BOSTON, MA

YOB 1965

PRc on 9/15/2026

OTHER

205 CMR 3.14 (10). The Massachusetts license number 30277 issued to Kimberly Elwood on 04/07/2025, has been revoked without prejudice due to the fact Kimberly Elwood is no longer a Racing Official.

FINN, HAROLD G

Spr on 8/13/2026

NEWTON, IL

FINED: \$250

HORSE DISQUALIFIED - PLACED 7, PURSE
REDISTRIBUTION

YOB 1956

POSITIVE TEST- POST RACE

Rule 603.60: Upon receipt from the EQIAS Laboratory report dated September 8, 2026, trainer Harold Finn, having waived his right to a formal hearing, is hereby assessed a civil penalty of two hundred and fifty (\$250.00) dollars and a loss of all purse monies for the excessive use of Phenylbutazone (3.91pg/ml) in sample #B05846 from the horse DOOLEYVILLEREPHILL, the first place finisher in race eleven (11) on August 13, 2026, at Illinois State Fair (Springfield). DOOLEYVILLEREPHILL is disqualified from 1st.

GARDNER, DENNIS R

Spr on 8/19/2026

WEST SALEM, IL

HORSE DISQUALIFIED - PLACED 7, PURSE
REDISTRIBUTION

YOB 1967

POSITIVE TEST- POST RACE

Rule #603.60: EQUIAS Labs reported that sample #U05861 provided by the horse ZAMBONY trained by owner Dennis Gardner following its first-place finish in the eleventh (11th) race at the Illinois State Fair (Springfield) on 8/19/2026 contained Prednisone. ZAMBONY is disqualified from first (1st) and all purse monies shall be forfeited. Owner/Trainer Dennis Gardner waived his right to a formal hearing.

GUERTIN, DARRICK G

RcR on 9/21/2026

NORTHEAST, MD

INDEFINITE SUSPENSION(IND) AS OF 9/21/2026

YOB 1974

UNPAID OBLIGATION

Maryland Racing Commission licensee Darrick Guertin is hereby indefinitely suspended for failure to return purse monies totaling \$2,024.38 to Rosecroft Raceway in connection with rulings RCR26-008 (Positive Test—Post-Race) and RCR26-009 (Positive Test—Post-Race). During this suspension, both Mr. Guertin and all horses owned and/or trained by him are prohibited from entering any grounds under the jurisdiction of the Maryland Racing Commission. Mr. Guertin's Maryland Racing Commission license will be restored to good standing upon receipt of the outstanding purse monies.

HOLLIDAY, MICKEY R

Mea on 11/20/2025

LEBANON, OH

RESTORED TO GOOD STANDING(RES) AS OF
9/18/2026

YOB 1993

FAILURE TO SUBMIT FINGERPRINTS.

PA Code Title 7- 203.1(a)(1) 203.1(c) Failure to fulfill Pennsylvania State Horse Racing Commission fingerprint requirements. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension beginning December 8, 2025. Appeals are due within ten days of this ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

Status as of 9/18/2026 - Subsequent Ruling - Mr. Holliday is restored to good standing.

KINA, CAMERON L

Pain on 7/28/2026

MARYSVILLE, OH

FINED: \$500

HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

YOB 2005

POSITIVE TEST- POST RACE

Blood sample #940786 collected at the Lake County Fair on 7/28/26 from the horse Threefiftytwo was found to contain Furosemide at a concentration of 149.4 +/-26.3 nanograms per milliliter of plasma. This exceeds the Regulatory Threshold of 100 nanograms per milliliter of plasma. Mr. Kina waived his rights to a split sample, DNA, and a hearing. Mr. Kina is hereby fined \$500 and return of purse (\$1,000.00). The fine and purse must be paid by 9/27/26.

MARSTON, ROBERT D

Wind on 9/4/2026

NEW GLOUCESTER, ME

FINED: \$250

YOB 1981

POSITIVE TEST- POST RACE

Mr. Marston was the trainer of record for his horse WORD OUT, who raced at Windsor Fair on 9/4/26. A post-race blood sample revealed an overage of Furosemide, which violates Ch. 11 MSHRC rules. Mr. Marston has been fined \$250.00 for this infraction.

MT PLEASANT, TERRI M

Nfld on 8/30/2026

JAMESTOWN, OH

FINED: \$1,000

YOB 1958

APPEALED - 9/18/2026

IMPROPER CONDUCT OR LANGUAGE TO AN OFFICIAL

Mrs. Mt Pleasant was unprofessional and rude towards the State Veterinarian and the technician in the Test Barn after race #1 on 8/30/26. Her behavior resulted in interference affecting their ability to perform their tasks. Her hostile behavior were against the best interest of racing. Mrs. Mt Pleasant and her lawyer, Robert Farinacci, were both present for the hearing with the judges at Northfield Park on 9/13/26. After considering all testimony during the hearing, she is hereby fined \$1,000 and issued a 30-day full suspension. If this ruling is not appealed, the penalty will be reduced to a \$1,000 fine with the 30-day full suspension stayed pending no further violations in the next 365 days. O.S.R.C.-3769-2-26(A)(10)&(15) 3769-17-29(A)(10). The fine must be paid by 9/24/26. Mrs. Mt Pleasant has 48 hours to appeal this ruling.

Status as of 9/18/2026 - Appeal - Mrs. Mt. Pleasant appealed this ruling in a timely manner.

Nfld on 8/30/2026

FINED: \$1,000

APPEALED - 9/18/2026

IMPROPER CONDUCT OR LANGUAGE TO AN OFFICIAL

Mrs. Mt. Pleasant was unprofessional and rude, using profane and indecent language towards the state Licensing/Inspector at Northfield Park on 8/30/26. Her use of profanity and hostile behavior were against the best interest of racing. Mrs. Mt. Pleasant and her lawyer, Robert Farinacci, were both present for the hearing with the judges at Northfield Park on 9/13/26. After considering all testimony during the hearing, she is hereby fined \$1,000 and issued a 30-day full suspension. If this ruling is not appealed, the penalty will be reduced to a \$1,000 fine with the 30-day full suspension stayed pending no further violations in the next 365 days. O.S.R.C.-3769-2-26(A)(10)&(15), 3769-17-29(A)(7), 3769-17-35. The fine must be paid by 9/24/26. Mrs. Mt Pleasant has 48 hours to appeal this ruling.

Status as of 9/18/2026 - Appeal - Mrs. Mt. Pleasant appealed this ruling in a timely manner.

ROSS, KASSANDRA

GARDINER, ME

YOB 1989

Wind on 9/2/2026

FINED: \$250

POSITIVE TEST- POST RACE

Ms. Ross was the trainer of record for her horse BURNIN ROSES, who raced at Windsor Fair on 9/2/26. A post-race blood sample revealed an overage of Furosemide, which violates MSHRC CH. 11 rules. Ms. Ross has been fined \$ 250.00 for this infraction.

SNYDER, ELIZABETH L

MEADOW LANDS, PA

YOB 2003

Mea on 8/28/2026

FINED: \$100

DISTURBING THE PEACE

PA Code Title 7: 205.501(10) Following The Meadows` fifth race on August 28, 2026, Ms. Snyder did disturb the peace in and/or near the paddock. Ms. Snyder is fined \$100 (this fine is due within ten days of this ruling). A hearing concerning this matter was held in The Meadows` Judges` office on September 11, 2026. An appeal is due within ten days of this ruling and must be submitted in writing(accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Walt Remmert

TAKTER, NANCY C

ALLENTOWN, NJ

YOB 1981

PHL on 7/26/2026

IMPROPER CONDUCT OR LANGUAGE TO AN OFFICIAL

PA Code 7-185.2 205.451 205.501(7)(14)(15) After an incident in the test barn Nancy Takter was rude and disrespectful and was heard making derogatory comments after the tenth race in the test barn toward commission employees on 7/26/26 at Harrah`s Philidelphia Racetrack this is improper conduct. Nancy Takter is fined \$500 which must be paid within 10 days of this ruling. Nancy Takter has 10 days to appeal this decision to the PSHRC, Bureau of Standardbred Racing. The address to appeal is 2301 N. Cameron Street Harrisburg, PA 17110

Status as of 9/22/2026 - Subsequent Ruling - RULING#26005C As a result of mitigating circumstances, Ruling 26046CD issued by the Harrah's Philadelphia Racetrack Board of Judges which imposed a \$500 fine for violation of the Commission's regulations is hereby RESCINDED.

WITKOWSKI, DONALD B
PcD on 6/8/2026

BRIDGEWATER, NJ **YOB 1962**
FINED: \$50
RESTORED TO GOOD STANDING(RES) AS OF
9/5/2026

FAILURE TO OBTAIN PROPER LICENSE

PA Title 7-203.11(e) Donald Witkowski has failed to complete licensing requirements in PA for 2026. CAPTIVATED raced on 6/8/2026 at Pocono Downs under a 30 day owner affidavit. Mr. Witkowski was fined \$50 and is suspended indefinitely beginning 9/4/2026 and will remain suspended until the fine is paid and all licensing requirements have been met.

Status as of 9/22/2026 - Subsequent Ruling - Donald Witkowski completed PA license requirements on 6/12/2026 at Harrah's Philadelphia. Mr. Witkowski is restored to good standing and the fine from ruling #26155PD is hereby rescinded.

PART THREE - WHIPPING VIOLATIONS

FERGUSON, BRAD K
HoP on 9/11/2026

ANDERSON, IN **YOB 1985**
FINED: \$200

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

71 IAC 7-3-13(d)(5) While driving PILOTS LUCKY DAY in race 6 at Hoosier Park on September 11, 2026 driver Brad Ferguson was found to be in violation of the IHRC whipping rules. For this violation, Mr. Ferguson is fined \$200.00 which is due within seven days of this ruling. Mr. Ferguson waived his right to a hearing and accepted the penalty.

KING, MARK A
Har on 9/16/2026

MILFORD, DE **YOB 1962**
FINED: \$300

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

While driving #8 Sheas Blustone in race 9, Mark King did cause welts. Per DHRC rule 7.6.13.14.2.7 and 7.6.13.14.2.3 Mark King is issued a \$300 fie for this first violation of causing welts. Per DHRC rule 10.2.7.6 all fines are due within 10m days of this ruling.

KOWALSKI, JOE
MR on 9/17/2026

FREEHOLD, NJ **YOB 1961**
FINED: \$100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Joe Kowalski is hereby assessed a fine of \$100.00 for using the whip in an excessive manner, using the whip more than 3 times in succession without giving the horse time to respond, while driving ALL I EVER DREAMED OF, in the 10th race on September 17, 2026, at Monticello Raceway. (This violation constitutes a 1st violation in this category in a 365-day period.) Further violations in this category will result in increased penalties.

LEONARD, CASEY LEE

HARVARD, IL

YOB 1977

Aces on 9/6/2026

FINED: \$500

INAPPROPRIATE USE OF THE WHIP

Driver CASEY LEONARD is hereby fined five hundred (\$500.00) dollars and issued a 3-day driving suspension. Driver Casey Leonard who was using the whip within the confines of the Minnesota Racing Commission whipping rule 7884.0260 Subp T was the driver of #6 Flickadawrist who was in the 10th Race on 9/6/2026 at Running Aces was sent to the test barn for post-race testing and it was noted by the State Veterinarian that numerous welts appeared on the right rear of Flickadawrist which is a Violation of MN Rule 7884.0260 Subp A.(3) This is Casey Leonards`s 1st offense of this nature in 2026 at Running Aces. Mr. Leonard did meet with the Board of Judges and did sign the Waiver of Hearing. All fines are due within 120 hours of this ruling.

NILES, DAKOTA M

ST ALBANS, ME

YOB 1994

PRC on 9/15/2026

FINED: \$250

DRIVING - 1 DAY, 9/17/2026 THRU 9/17/2026

INAPPROPRIATE USE OF THE WHIP

USTA Rule 18.00 (S18.08) (c)3. On 09/15/2026 at PRC, driver Dakota Niles was observed striking the No.2 ONE EYED DELILAH in Race 8, using other than wrist and elbow action. (1st offense). As a result of the violation Mr. Niles is hereby fined the sum of \$250.00. In addition, he is suspended from driving only for one day, 09/17/2026. Subsequent violations of this nature will result in increased penalties. All fines are payable within 48 hours of imposition.

PLANO, LUKE

SACRAMENTO, CA

YOB 1980

Aces on 9/3/2026

FINED: \$500

INAPPROPRIATE USE OF THE WHIP

Driver LUKE PLANO was the driver of No.2 TJB SECREHARRIET who was in the 9th Race on 9/3/2026 was noticed by the State Vet of Minnesota that TJB Secreharriet did have welts after the race. These welts are a Violation of MN Rule 7884.0260 Subp 13 – A (3). It is to be noted that Mr. Plano did use the whip within the confines of the Minnesota Racing Commission whipping rule 7884.0260 Subp T, however a penalty will still be imposed of \$500.00 and Mr. Plano will be issued a 3-Day Driving Suspension at the beginning of the 2027 race meet. Mr. Plano did meet with the Board of Judges and did sign the Waiver of Hearing. All fines are due within 120 hours of this ruling.

PLUTA, KIM S

JACKSON, MI

YOB 1970

Hstng on 9/9/2026

FINED: \$100

INAPPROPRIATE USE OF THE WHIP

MGCB Ruling 7706 - While driving # 2A Eleanor`s Knight in the 8th race, Kim Pluta did hard whip his horse. Whipping shall be limited to wrist action only with minimal elbow movement.

ROLAND, NICK ROYCE

Aces on 9/13/2026

GRINNELL, IA

FINED: \$250

YOB 1982

INAPPROPRIATE USE OF THE WHIP

Driver NICK ROLAND is hereby fined two-hundred and fifty (\$250.00) dollars for a Violation of the MN Rule 7884.0260 Subp 2 – T – 5. During the ninth race on September 13th, 2026 - Mr. Roland did use more than wrist action while driving No.1 Bellas First Mooss. This is Nick Roland's first offense of this nature within 90-days. All Fines are due within 120 Hours.

Aces on 9/18/2026

FINED: \$500

INAPPROPRIATE USE OF THE WHIP

Driver NICK ROLAND WHO was the driver of No.7 OVERTHEEDGE OF HONOR who was in the 8th Race on 9/18/2026 was noticed by the State Vet of Minnesota that Overtheedge of honor did have welts after the race. These welts are a Violation of MN Rule 7884.0260 Subp 13 – A (3). It is to be noted that Mr. Roland did use the whip within the confines of the Minnesota Racing Commission whipping rule 7884.0260 Subp T, however a penalty will still be imposed of \$500.00 and Mr. Roland will be issued a 3 Day Driving Suspension during the beginning of the 2027 Running Aces racing season.

SOWERS, PHILIP BO

MR on 9/15/2026

BULLVILLE, NY

FINED: \$500

YOB 1971

DRIVING - 7 DAYS, 9/23/2026 THRU 9/29/2026

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Rule 4117.8(D); 4117.8(H)(2) Driver Philip "BO" Sowers is hereby assessed a fine of \$500.00 and suspended from driving for 7 days, 9/23/2026 through and including 9/29/2026, for using the whip more than three times in succession without giving the horse time to respond while driving TWIN B WISE GUY, in the 9th race on September 15, 2026, at Monticello Raceway. (This violation constitutes a 3rd violation in this category in a 365-day period.) Further violations in this category will result in increased penalties.

PART FOUR - MINOR PENALTIES

ALLARD, SIMON

PHL on 9/17/2026

GILBERTSVILLE, PA

FINED: \$200

YOB 1982

HORSE PLACED 2

INSIDE THE EURO RAIL / PYLON VIOLATION

ANGUS, TYLER C

Nfld on 9/20/2026

SAGAMORE HILLS, OH

FINED: \$100

YOB 2002

INSIDE THE EURO RAIL / PYLON VIOLATION

ASHER-STALBAUM, WINTER S

Hstng on 9/2/2026

LESLIE, MI

FINED: \$50

YOB 2003

FAILURE TO HAVE HORSE PROPERLY EQUIPPED

BAILEY, LEON B TgDn on 9/18/2026 FAILURE TO DRIVE WHEN PROGRAMMED	GREENWICH, NY FINED: \$500	YOB 1986
BERGERON, EVE Stga on 9/15/2026 FAILURE TO HONOR DECLARATION	MALTA, NY FINED: \$250	YOB 1974
BOYD, BRAXTEN B Hstng on 9/9/2026 INSIDE THE EURO RAIL / PYLON VIOLATION	JONESVILLE, MI FINED: \$50	YOB 2000
BUTER, TYLER L PcD on 9/7/2026 INSIDE THE EURO RAIL / PYLON VIOLATION	MILFORD, PA FINED: \$100	YOB 1985
DAY, JAMES E Nfld on 9/16/2026 INSIDE THE EURO RAIL / PYLON VIOLATION	MEDINA, OH FINED: \$100	YOB 1996
DEL CID, FRANCISCO Wdstk on 9/19/2026 LATE TO THE PADDOCK	AGUSTA, WV FINED: \$100	YOB 1960
DRIVER, TIMOTHY M Hstng on 9/16/2026 INSIDE THE EURO RAIL / PYLON VIOLATION	FOWLERVILLE, MI FINED: \$50	YOB 1960
EBERSOLE, LOGAN C Nfld on 9/20/2026 INSIDE THE EURO RAIL / PYLON VIOLATION	CHESTERVILLE, OH FINED: \$100	YOB 1996
GIVENS, BRANDON A Har on 9/22/2026 CHANGING COURSE/CAUSING INTERFERENCE	SEAFORD, DE FINED: \$200	YOB 1986
GRAY, SHAWN T PRc on 9/22/2026 CHANGING COURSE/CAUSING INTERFERENCE	SARATOGA SPRINGS, NY DRIVING - 3 DAYS, 9/24/2026 THRU 10/1/2026	YOB 1973
GRISMORE, GREG G Nfld on 9/18/2026 INSIDE THE EURO RAIL / PYLON VIOLATION	GROVE CITY, OH FINED: \$100	YOB 1970
HAYNES, WALTER E JR	ALEXANDRIA, IN	YOB 1965

HoP on 9/19/2026	FINED: \$200	
FAILURE TO HONOR DECLARATION		
IRVINE, JUSTIN D	AURORA, OH	YOB 2001
Nfld on 9/16/2026	FINED: \$100	
INSIDE THE EURO RAIL / PYLON VIOLATION		
KELLY, COLIN	MIDDLETWON, NY	YOB 1993
TgDn on 9/7/2026	FINED: \$500	
FAILURE TO DRIVE WHEN PROGRAMMED		
LAKE, DAVID R	JACKSON, MI	YOB 1970
Hstng on 9/9/2026	FINED: \$200	
KICKING A HORSE		
LILES, GARY D	LIBERTYVILLE, IA	YOB 1956
What on 9/13/2026	DRIVING - 3 DAYS, 9/19/2026 THRU 9/27/2026	
TAKE UP ABRUPTLY/SIT DOWN IN FRONT OF HORSES CAUSING CONFUSION AMONG TRAILING HORSES		
LISI, NANCY K	ALEXANDRIA, VA	YOB 1955
Wdstk on 9/19/2026	FINED: \$150	
LATE DRIVER CHANGE		
LORD, THOMAS H	GREENSBORO, MD	YOB 1993
RcR on 9/17/2026	FINED: \$100	
LATE DRIVER CHANGE		
MAKIN, MARSHALL L	TWIN LAKE, MI	YOB 1965
Hstng on 9/2/2026	FINED: \$50	
INSIDE THE EURO RAIL / PYLON VIOLATION		
MALADY, GERALD J	JACKSON, MI	YOB 1970
Hstng on 9/9/2026	FINED: \$50	
INSIDE THE EURO RAIL / PYLON VIOLATION		
MASSEY, KODY RAY	CLIO, MI	YOB 1983
Hstng on 9/1/2026	FINED: \$50	
INSIDE THE EURO RAIL / PYLON VIOLATION		
Hstng on 9/16/2026	FINED: \$100	
KICKING A HORSE		
Hstng on 9/16/2026	FINED: \$75	
INSIDE THE EURO RAIL / PYLON VIOLATION		
MC ILMURRAY, ARTHUR L	RIVES JUNCTION, MI	YOB 1958

Hstng on 9/1/2026	FINED: \$50 HORSE PLACED 2	
INSIDE THE EURO RAIL / PYLON VIOLATION		
Hstng on 9/2/2026	FINED: \$75	
INSIDE THE EURO RAIL / PYLON VIOLATION		
MELLOY, PATRICK M JR	EATON, OH	YOB 1967
HoP on 9/15/2026	FINED: \$100	
FAILURE TO SET AND MAINTAIN A PROPER PACE		
MERRIMAN, AARON E	NORTHFIELD, OH	YOB 1978
Nfld on 9/19/2026	FINED: \$200 HORSE PLACED 2	
INSIDE THE EURO RAIL / PYLON VIOLATION		
MILLER, MARCUS DREW	STROUDSBURG, PA	YOB 1989
HoP on 9/18/2026	FINED: \$100	
FAILURE TO DRIVE WHEN PROGRAMMED		
MORGAN, ANTHONY N	WARWICK, MD	YOB 1958
Har on 9/22/2026	FINED: \$100	
INSIDE THE EURO RAIL / PYLON VIOLATION		
NAPOLITANO, GEORGE JR	BOCA RATON, FL	YOB 1966
PHL on 9/17/2026	FINED: \$200 HORSE PLACED 7	
TAKE UP ABRUPTLY/SIT DOWN IN FRONT OF HORSES CAUSING CONFUSION AMONG TRAILING HORSES		
NOBLE, DANIEL N	XENIA, OH	YOB 1983
Nfld on 9/19/2026	FINED: \$100	
INSIDE THE EURO RAIL / PYLON VIOLATION		
PALONE, DAVID M	WASHINGTON, PA	YOB 1962
Mea on 9/16/2026	FINED: \$200	
FAILURE TO KEEP BOTH FEET IN THE STIRRUPS		
PHILIPP, SIERRA I	CEDAR RAPIDS, IA	YOB 1993
StPtr on 8/12/2026	WARNING ISSUED	
FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION		
RHOADES, BRAIDEN M	ASHLAND, OH	YOB 2005
Nfld on 9/18/2026	FINED: \$100	
INSIDE THE EURO RAIL / PYLON VIOLATION		

Nfld on 9/19/2026 INSIDE THE EURO RAIL / PYLON VIOLATION	DALEVILLE, IN FINED: \$100	YOB 1951
ROBERTS, RONNIE B HoP on 9/9/2026 LATE DRIVER CHANGE	DALEVILLE, IN FINED: \$100	
RUCKER, KENNETH M HoP on 9/19/2026 LATE DRIVER CHANGE	ANDERSON, IN FINED: \$100	YOB 1964
SHERIDAN, NEWTON M TgDn on 9/18/2026 CHANGING COURSE/CAUSING INTERFERENCE PHL on 9/20/2026 FAILURE TO SET AND MAINTAIN A PROPER PACE	MANCHESTER, NJ DRIVING - 3 DAYS, 9/21/2026 THRU 9/23/2026 HORSE PLACED 5 FINED: \$200	YOB 1978
SMITH, TYLER ALAN ScD on 9/19/2026 FAILURE TO DRIVE WHEN PROGRAMMED	ASHVILLE, OH	YOB 1993
SMITHPETERS, SEAN G Nfld on 9/16/2026 CHANGING COURSE/CAUSING INTERFERENCE	MT VERNON, OH FINED: \$200 HORSE PLACED 6	YOB 1982
STIMER, BART HUGH Hstng on 9/1/2026 INSIDE THE EURO RAIL / PYLON VIOLATION	CONCORD, MI FINED: \$50	YOB 1970
SVANSTEDT, AKE PHL on 9/17/2026 DELAYING THE START	WRIGHTSTOWN, NJ FINED: \$200	YOB 1958
TAKTER, NANCY C PHL on 7/26/2026 UNAUTHORIZED ADMISSION TO THE PADDOCK	ALLENTOWN, NJ FINED: \$200	YOB 1981
WARNICK, SCOTT A Wdstk on 9/19/2026 LATE DRIVER CHANGE	SALUDA, VA FINED: \$100	YOB 1966