FINES AND SUSPENSIONS BULLETIN

Fri, Nov 13 - Thu, Nov 19

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

*** NO RECORDS FOUND ***

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

ALLARD, SIMON — **GILBERTSVILLE, PA** — **YOB 1982**

PcD on 10/24/2026 — FINED: $750

OTHER

PA Code 205.501(6)(10)(14)(15) Simon Allard failed to conduct himself in a forthright and gentlemanly manner in the paddock prior to race 2 on 10/24/2026 at Pocono Downs. Mr. Allard was fined $1000 which will be reduced to $750 if the penalty is accepted and right to appeal is waived. Fine is due within 10 days of the ruling. Future violations may result in increased penalties and/or suspension. Appeals must be made in writing within 10 days of the ruling accompanied with a $750 appeal bond check to : The State Horse Racing Commission, 2301 North Cameron Street, Room 301, Harrisburg, PA 17710.

BRABROOK, STEVEN P — **SHAVERTOWN, PA** — **YOB 1983**

PcD on 9/30/2026 — RESTORED TO GOOD STANDING(RES) AS OF 11/14/2026

FAILURE TO OBTAIN PROPER LICENSE

PA Title 7-203.11(e) Steven Brabrook has failed to complete licensing requirements in PA for 2026. DREBIN raced on 9/30/2026 at Pocono Downs under a 30 day owner affidavit. Mr. Brabrook was fined $50 and is suspended indefinitely beginning 11/13/2026 and will remain suspended until the fine is paid and all licensing requirements have been met. ***Status as of 11/14/2026 - Subsequent Ruling - Steve Brabrook paid his fine and completed license requirements. Mr. Brabrook was restored to good standing in PA on 11/14/2026.***

BROWER, BURLIN W — **KEVIL, KY** — **YOB 1971**

CBRN on 4/7/2026 — FINED: $10,000...*STAYED*
FULL - 379 DAYS, 11/11/2026 THRU 11/24/2027...*STAYED*

CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

After appearing before the Board of Judges on 10/19/2026 and hearing all testimony under oath and reviewing all evidence, the Board of Judges do find that Mr. Burlin Brower did violate the following rules and regulations. 1. (810 KAR 3:020 Section 15 – 1 – M) – Mr.

Brower failed to disclose to the corporation complete ownership or beneficial interest in a horse entered to be raced in the Commonwealth of Kentucky while a horse was owned by an inactive person. 2. (810 KAR 3:020 Section 15 – 1 – Q) – Mr. Brower engaged in conduct that is against the best interest of horse racing in the Commonwealth of Kentucky by allowing an inactive person to care for and pay bills for horses racing in the Commonwealth of Kentucky. 3. (810 KAR 3:020 Section 15 – 1 – HH) – Mr. Brower knowingly aided and abetted an inactive person in violation of KRS Chapter 230, KAR Title 810, and other statutes and administrative regulations pertaining to horse racing by allowing an inactive person to have financial gain from horses racing in the Commonwealth of Kentucky. 4. (810 KAR 8:030 Section 7 – 3 – C) – Mr. Brower did give remuneration and other compensation to an inactive person that was otherwise unable to participate in the Commonwealth of Kentucky. The Board of Judges hereby issue Mr. Brower the following penalties; Ten Thousand ($10,000) Dollar Fine and a Full Suspension of Three Hundred Seventy-Nine (379) Days from 11/11/2026 through 11/24/2027. Mr. Brower is denied all privileges and access to all association grounds under the jurisdiction of the Kentucky Horse Racing & Gaming Corporation during this period of suspension. All horses owned and/or trained by Mr. Brower are not permitted to be transferred without the prior approval of the Board of Judges of the KHRG.

Status as of 11/10/2026 - Stay - Mr. Browers stay of all penalties is active on 11/10/2026.

CLARY, SHERMAN E JR **SOUTH CHINA, ME** **YOB 1961**

Wind on 8/30/2026

FINED: $250
FULL - 10 DAYS, 12/1/2026 THRU 12/10/2026
HORSE DISQUALIFIED, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

Mr. Sherman Clary Jr. was the trainer of record for his horse, Seriously Feared, who raced at Windsor Fair on 8/30/26 in race # 7, finishing 1st. The Industrial Laboratories of Denver, Colorado, has notified the Maine State Harness Racing Commission that an analysis of blood sample #E719273 taken from the horse, Seriously Feared at the Windsor Fair on August 30, 2026, disclosed the detection of Trazodone in the blood. The detection of Trazodone is evidence of a violation of Commission Rule Chapter 11; therefore, this finding constitutes a positive test for the horse, Seriously Feared. Mr. Clary Jr. has agreed to a consent agreement with the Department of the Maine State Harness Racing Commission. Therefore, Mr. Clary Jr. has been ordered to return the purse winnings of $2,250.00, pay a fine of $250.00, and serve a suspension of 10 days starting 12/1/26 through 12/10/26.

COLE, JAMES J **YPSILANTI, MI** **YOB 1958**

MGCB on 10/18/2008

RESTORED TO GOOD STANDING(RES) AS OF 11/17/2026

FAILURE TO PAY FINE(S)

Failed to pay $50 fine for a late driver change.

Status as of 11/17/2026 - Subsequent Ruling - MGCB Ruling 7725 - Having met the requirements as set forth in MGCB Stewards' Ruling 5477, the suspension of the occupational license issued to James Cole is hereby lifted and Mr. Cole is restored to good standing.

GEORGES, JOHN BRIAN **WILMINGTON, OH** **YOB 1977**

Dela on 9/22/2026

FINED: $1,000
FULL - 30 DAYS, 11/24/2026 THRU 12/23/2026
HORSE DISQUALIFIED - PLACED 8, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

OSRC 3769-18-01-A(1,10,13,15,16), 3769-18-02, 3769-17-43, 3769-17-30-B. Blood Sample #941571 was tested by the Ohio Department of Agriculture ATL and was found to contain D-Methamphetamine, a Class 1, Penalty A drug. Blood Sample #941571 was provided by #6 Big Train, who finished 2nd in race 9 on 9/22/26 at the Delaware County Fair, and was trained by Mr. Georges. Mr. Georges requested a split sample that was confirmed. This is Mr. Georges first medication violation in the last 365 days. #6 is disqualified and placed 8th and the purse earnings of $5000 are to be returned to the Delaware County Fair within 10 days of this ruling to be redistributed accordingly. Mr. Georges is fined $1000 and given a 365 day full suspension, the penalty will be reduced to a $1000 fine and a 30 day suspension (11/24/26-12/23/26) with 335 days stayed provided Mr. Georges does not have any Class 1 or 2 medication violations during that period. The fine is due within 10 days of this ruling to the OSRC. Mitigating circumstances were considered in this penalty. During his suspension, Mr. Georges is denied the use and privileges of all the grounds sanctioned by the OSRC. All horses owned and/or trained by Mr. Georges may only be transferred with permission of the Judges in Ohio or the OSRC. Mr. Georges has 48 hours to appeal this decision to the OSRC.

GRABER, HENRY JR. **MONROE, IN** **YOB 1996**

HoP on 9/18/2026

FINED: $500
FULL - 15 DAYS, 11/15/2026 THRU 11/29/2026
HORSE DISQUALIFIED - PLACED 10, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

71 IAC 5-3-2(a)(1),(2),(b); 71 IAC 8-1-1.5(a),(b)(3); 8-1-2(a) Sample No. E739190 taken from the horse SWAN GOOD BI following the conclusion of race 11 at Hoosier Park on September 18, 2026, at Hoosier Park tested by Industrial Laboratories, the primary screening laboratory approved by the Indiana Horse Racing Commission, came back positive for 7-Carboxycannabidiol in the blood. This is recognized as a class 2 drug with a “B” penalty recommendation by the ARCI Uniform Classification Guidelines for Foreign Substances. Mr. Graber was properly informed of this violation on October 7, 2026, and per his right granted by 71 IAC 8-4-3 requested split sample testing. The B sample was sent to EQIAS Labs which confirmed the initial findings on November 3, 2026. Mr. Graber had a hearing in the judges’ office at Hoosier Park on Friday, November 6, 2026, regarding this

positive. After considering the facts, the judges determined that as trainer of record Mr. Graber is in violation the IHRC trainer responsibility and medication rules. Per 71 IAC 8-1-7(a) “Drug classifications and penalties”, Mr. Graber is assessed the following penalties: 1. A $500.00 fine which is due within 7 days of this ruling. 2. A full suspension of 15 days beginning Sunday, November 15, 2026 through Sunday, November 29, 2026 (inclusive). During the period of this suspension, Mr. Graber is denied access to all grounds under the jurisdiction of the Indiana Horse Racing Commission. 3. Per 71 IAC 8-1-2(b) all purse monies earned ($237,750.00) in this race by SWAN GOOD BI must be returned and redistributed as follows: No. 4 SWAN GOOD BI finished first placed tenth No. 5 PRESIDENTE finished second placed first No. 9 YANKEE BOSS finished third placed second No. 2 PONDA CHEETAH finished fourth placed third No. 8 BIG TIME TROT S finished fifth placed fourth No. 10 RED ANDRAY finished sixth placed fifth No. 3 DREAM SHAQ finished seventh placed sixth No. 6 SOUTHERN HANOVER finished eighth placed seventh No. 1 MEND

SMITH, TYLER ALAN **ASHVILLE, OH** **YOB 1993**

DTN on 10/15/2026 FINED: $1,000

FULL - 7 DAYS, 11/24/2026 THRU 11/30/2026

OFFENSIVE/PROFANE LANGUAGE

OSRC 3769-17-29-A(2), 3769-2-26-A(10). Mr. Smith used profane, offensive and threatening language towards another driver. In the opinion of the Judges, the language used is considered action which is against the best interest of horse racing. Mr. Smith is fined $1000 and given a 30 day full suspension, the penalty will be reduced to a $1000 fine and 7 day full suspension (11/24/26-11/30/26) if not appealed, which is due within 10 days of this ruling. Mitigating circumstances were considered in issuing this penalty. Any further conduct of this matter will result in a more serious penalty. Mr. Smith has 48 hours to appeal this decision to the OSRC.

PART THREE - WHIPPING VIOLATIONS

COY, DONALD C **WINCHESTER, IN** **YOB 2000**

HoP on 11/12/2026 FINED: $200

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving MOMSSHOWGIRL in race 12 at Hoosier Park on November 12, 2026, driver Clint Coy violated the IHRC whipping rules by using more than minimal wrist and elbow action while whipping in the stretch. For this violation Mr. Coy is fined $200.00 which is due within seven days of this ruling. Mr. Coy waived his right to a hearing and accepted the penalty.

RUSSO, CHASE S **LAKEVIEW, NY** **YOB 2008**

Btva on 10/12/2026 FINED: $100

INAPPROPRIATE USE OF THE WHIP

Chase Russo, while driving the 2 horse, BET ON BUTER, in the 4th race at Batavia Downs on 10/12/2026, was observed using his whip more than 3 times in succession in the stretch. This is Mr. Russo`s first violation of this nature and is hereby fined $100.00. Further violations of this nature will result in escalated penalties.

PART FOUR - MINOR PENALTIES

ANGUS, TYLER C — **SAGAMORE HILLS, OH** — **YOB 2002**
Nfld on 11/10/2026 — FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

BAYNES, RAY — **FREEHOLD, NJ** — **YOB 1956**
PHL on 11/15/2026 — FINED: $200
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK

BETTS, SCOTT R — **WASHINGTON, PA** — **YOB 1992**
Nfld on 11/9/2026 — FINED: $100
INACCURATE PROGRAM INFORMATION

BUFORD, ARCHIE N — **TERRY, MS** — **YOB 1986**
RcR on 11/12/2026 — FINED: $150
HORSE PLACED 8
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK

BURKS, RONALD LAMAR — **CYPRESS, TX** — **YOB 1974**
HoP on 11/5/2026 — FINED: $200
HORSE PLACED 7
INSIDE THE EURO RAIL / PYLON VIOLATION
HoP on 11/12/2026 — FINED: $200
HORSE PLACED 4
TAKE UP ABRUPTLY/SIT DOWN IN FRONT OF HORSES CAUSING CONFUSION AMONG TRAILING HORSES

COVEN, SAMUEL C — **WILMINGTON, OH** — **YOB 1971**
DTN on 11/19/2026 — FINED: $200
FAILURE TO BE PROPERLY DRESSED

DAGUET, DEBORAH — **WINDGAP, PA** — **YOB 1996**
PHL on 11/13/2026 — FINED: $200
LATE DRIVER CHANGE

DAVIS, ERIC E — **HARTLY, DE** — **YOB 1996**
RcR on 11/8/2026 — FINED: $100
FAILURE TO KEEP BOTH FEET IN THE STIRRUPS

DUBOIS, CHRISTOPHER B — **MECHANIC FALLS, ME** — **YOB 2002**
PRc on 11/17/2026 — FINED: $200
NO VACCINATION / COGGINS / HEALTH CERTIFICATE

EBERSOLE, LOGAN C — **CHESTERVILLE, OH** — **YOB 1996**
Nfld on 11/11/2026 — FINED: $100
JOSTLE, STRIKE OR HOOK WHEELS

GRABER, HENRY JR. — **MONROE, IN** — **YOB 1996**
HoP on 11/5/2026 — FINED: $100
FAILURE TO HAVE HORSE PROPERLY EQUIPPED

HALL, AARON C — **GARDINER, ME** — **YOB 1993**
CUMB on 11/13/2026 — FINED: $100
HORSE PLACED 5
CHANGING COURSE/CAUSING INTERFERENCE

IRVINE, JUSTIN D — **AURORA, OH** — **YOB 2001**
Nfld on 11/16/2026 — FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

LACHANCE, PATRICK D — **ALLENTOWN, NJ** — **YOB 1975**
YR on 11/13/2026 — FINED: $100
FAILURE TO DRIVE WHEN PROGRAMMED

LAKE, ANGUS D — **WOOSTER, OH** — **YOB 1969**
Nfld on 11/11/2026 — FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

LEREBOURG, LAURA — **ARGENTAN** — **YOB 2002**
DTN on 11/18/2026 — FINED: $200
FAILURE TO BE PROPERLY DRESSED

MACDONALD, ANTHONY A — **MACEDONIA, OH** — **YOB 1976**
Nfld on 11/15/2026 — FINED: $200
FAILURE TO WEAR A PROTECTIVE VEST

MERRIMAN, AARON E — **NORTHFIELD, OH** — **YOB 1978**
Nfld on 11/10/2026 — FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION
Nfld on 11/16/2026 — FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

MILLER, DAVID S — **WILMINGTON, OH** — **YOB 1964**

DTN on 11/13/2026 FINED: $100
FAILURE TO DRIVE WHEN PROGRAMMED

MILLER, ELSON L **JAMESTOWN, OH** **YOB 2001**
DTN on 11/17/2026 FINED: $300
INACCURATE PROGRAM INFORMATION

MILLER, LEWAYNE L **DALEVILLE, IN** **YOB 1986**
Nfld on 11/15/2026 FINED: $200
FAILURE TO WEAR A PROTECTIVE VEST
DTN on 11/18/2026 FINED: $100
LATE DRIVER CHANGE

MORGAN, VIRGIL V JR **GROVE CITY, OH** **YOB 1965**
Nfld on 11/9/2026 FINED: $300
FAILURE TO HONOR DECLARATION

NOBLE, DANIEL N **XENIA, OH** **YOB 1983**
Nfld on 11/9/2026 FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

PARKER, JUSTIN S **MONROEVILLE, NJ** **YOB 1982**
DD on 11/18/2026 FINED: $200
JOSTLE, STRIKE OR HOOK WHEELS
DD on 11/18/2026 FINED: $200
JOSTLE, STRIKE OR HOOK WHEELS

RECTOR, MICKALA L **KENNETT SQAURE, PA** **YOB 1985**
PHL on 10/9/2026 FINED: $500
UNAUTHORIZED ADMISSION TO THE PADDOCK

SEEKMAN, TRAVIS J **GASTON, IN** **YOB 1990**
DTN on 11/18/2026 FINED: $200
JOSTLE, STRIKE OR HOOK WHEELS

SUGG, KURT D **POLK, OH** **YOB 1969**
Nfld on 11/16/2026 FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

SUTTON, JOSHUA **CLARKSVILLE, OH** **YOB 1982**
DTN on 11/13/2026 FINED: $100
FAILURE TO OBEY THE INSTRUCTION OF THE PADDOCK JUDGE

SWIFT, KYLE **MALTA, NY** **YOB 2005**
PRc on 11/12/2026 FINED: $200

INSIDE THE EURO RAIL / PYLON VIOLATION

THARPS, THOMAS ERIC JR. **KETTERING, OH** **YOB 1992**
Nfld on 11/10/2026 FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

THOMPSON, JASON R **STOW, OH** **YOB 1979**
Nfld on 11/10/2026 FINED: $100
INACCURATE PROGRAM INFORMATION

VINCI, ROCK CHRISTOPHER **GENESEO, NY** **YOB 1964**
VD on 11/14/2026 DRIVING - 3 DAYS, 11/22/2026 THRU 11/24/2026
HORSE PLACED 5
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK

WHITTON, CASSIDY DAWN **MARTINSVILLE, IL** **YOB 1990**
DTN on 11/18/2026 FINED: $200
FAILURE TO BE PROPERLY DRESSED

WIDGER, SAMUEL D **CLARKSVILLE, OH** **YOB 1963**
Nfld on 11/9/2026 FINED: $100
FAILURE TO DRIVE WHEN PROGRAMMED

WRENN, MELANIE **CARMEL, IN** **YOB 1965**
DTN on 11/18/2026 FINED: $100
LATE DRIVER CHANGE

YODER, JOHNNY E **DUNDEE, OH** **YOB 1981**
Mea on 11/6/2026 FINED: $200
HORSE LATE TO THE PADDOCK
Mea on 11/6/2026 FINED: $200
HORSE LATE TO THE PADDOCK