FINES AND SUSPENSIONS BULLETIN

Fri, Nov 20 - Thu, Nov 26

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

**** NO RECORDS FOUND ****

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

ALEXANDER, NATHAN A — **WESTERVILLE, OH** — **YOB 1996**

DTN on 11/21/2026 — FINED: $1,000

OFFENSIVE/PROFANE LANGUAGE

OSRC 3769-17-29-A(7). Mr. Alexander used offensive language towards an OSRC Representative on 11/21/26 at Dayton Raceway. Mr. Alexander is fined $1000 and given a 10 day full suspension, the penalty will be reduced to a $1000 fine only if not appealed, which is due within 10 days of this ruling. Mr. Alexander has 48 hours to appeal this decision to the OSRC.

BEAVER, CHRISTOPHER F — **RADNOR, OH** — **YOB 1972**

ScD on 6/26/2026

FINED: $1,000
FULL - 15 DAYS, 11/25/2026 THRU 12/9/2026
HORSE DISQUALIFIED - PLACED 8, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

OSRC 3769-18-01-A(1,10,13,15,16), 3769-18-02, 3769-17-43, 3769-17-30-B. Urine sample #937157 was tested by the Ohio Department of Agriculture ATL and was found to contain Diisopropylamine, a Class 2, Penalty B drug. Urine sample #937157 was provided by #8 Caviar Gold, who finished 1st in race 3 on 6/26/26 at Scioto Downs, and was trained by Mr. Beaver. Mr. Beaver requested a split sample that was confirmed. This is Mr. Beaver`s first medication in the last 365 days. After a phone hearing with the Judges, #8 is disqualified and placed 8th and the purse earnings of $8250 are to be returned to Scioto Downs to be redistributed accordingly within 10 days of this ruling. Mr. Beaver is fined $1000 and given a 60 day full suspension, the penalty will be reduced to a $1000 fine and a 15 day (11/25/26-12/09/26) full suspension if not appealed. The fine is due to the OSRC within 10 days of this ruling. During his suspension, Mr. Beaver is denied the use and privileges of all the grounds sanctioned by the OSRC. No horses owned and/or trained by Mr. Beaver may be transferred unless permission is granted by the Judges in Ohio or the OSRC. Mr. Beaver has 48 hours to appeal this decision to the OSRC.

DEVITA, NICHOLAS F **MIDDLETOWN, NY** **YOB 1985**

NYSGC on 5/27/2026 DENIAL(DEN) AS OF 11/25/2026

CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

After careful consideration you are hereby notified that the Commission refuses to issue you a license to participate in racing in the state of New York for which you applied on May 27, 2026, receipt number 1568383, pursuant to Racing & Pari-Mutuel Wagering and Breeding Law § 309(2) and 9 NYCRR §§ 4119.7(a) through (c), 4119.9, and 4119.10 for the following reason(s): (i) you misrepresented yourself in connection with racing, breeding, or otherwise when you stated in writing to the Commission's Director of Racing Investigations that you did not know or speak with former veterinarian Seth Fishman, a statement contradicted by wiretap transcripts admitted into evidence in Fishman's criminal trial and numerous transaction documents identifying you by name, admitted into evidence in Fishman's forfeiture hearing; (ii) you failed to fulfill your duty to report to the Commission that you had been approached by Seth Fishman and/or Lisa (Ranger) Giannelli, with an offer for improper, corrupt, or fraudulent act or practice in relation to racing, when you were offered drugs that were not FDA-approved for use in horses, for horses under your ownership and/or care, as evidenced by wiretap transcripts admitted into evidence in Fishman's criminal trial; (iii) your experience, character, and general fitness are such that your participation in harness horse race meets is inconsistent with the public interest, convenience, or necessity, or with the best interests of racing generally, based on the circumstances underlying (i) and (ii), as well as your purchase and/or receipt of the non-FDA-approved blood-builder drug labeled as "BB3," as revealed in Fishman's criminal trial and forfeiture hearing; and (iv) your actions, and/or your conspiracy to commit acts, based on the circumstances underlying (i) and (ii), as well as your purchase and/or receipt of the non-FDA-approved blood-builder drug labeled as "BB3," as revealed in Fishman's criminal trial and forfeiture hearing, constitute conduct detrimental to the best interests of racing, and/or are, in their nature, fraudulent or corrupt or injurious to the character of the turf.

GARCIA, JOSUE **BORDENTOWN, NJ** **YOB 1982**

PHL on 9/25/2026 FINED: $2,500
HORSE DISQUALIFIED - PLACED 8, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

PA CODE TITLE 7-203.22(a) 401.41(a)(b) 401.45 Josue Garcia-Munos was the trainer of record for CHICKABOO, In the 7th race on September 25th, 2026 At Harrah`s Philadelphia Racetrack. Blood samples taken from CHICKABOO (post race) were tested and found to contain Flunixin at a level of (38.08 +/- 3.24 ng/mL). As the trainer, Mr. Garcia-Munos is responsible for the positive test. CHICKABOO finished second and has been disqualified and placed eighth. Purse money earmed ($1,625) must be returned within ten days of this ruling. Mr. Garcia-Munos is issued a $2500 fine(fines must be paid within ten days of this ruling 11/30/26). Mr. Garcia-Munos has waived his hearing and appeal rights.

HALL, MICHAEL P **OCEAN CITY, MD** **YOB 1976**

Har on 7/20/2026 REVOCATION(REV) AS OF 7/20/2026

OTHER

The DHRC Board of Judges find Michael Hall is in violation of the temporary license agreement, that he signed on March 26, 2026. Rules 5.1.16, 5.1.16.1, 5.1.16.1.6

Status as of 11/23/2026 - Amendment - The Delaware Harness Racing Commission board of Judges has agreed to rescind the license revocation issued to Micheal Hall. Micheal Hall will be issued a one year owner/trainer license on 11/23/26.

KEIM, COTE J **WRIGHTSTOWN, NJ** **YOB 1982**

PRc on 4/14/2026

REVOCATION(REV) AS OF 10/9/2026
RESTORED TO GOOD STANDING(RES) AS OF 11/25/2026

FALSIFICATION OF LICENSE APPLICATION

Massachusetts 205 CMR 3.14 (3) (10). The Owner/Trainer License No.31536, of Cote Keim, is hereby revoked for the balance of the 2026 Plainridge Park Racecourse race meet. Mr. Keim failed to disclose pertinent information on his 2026 license application. Mr. Keim is eligible to apply in 2027. This decision can be appealed to the Massachusetts Gaming Commission/Racing Division within 10 days of ruling.

Status as of 11/24/2026 - Subsequent Ruling - Initial Mass Ruling No.073-26, 10/09/2026. Licensee Cote Keim's appeal hearing was conducted on 11/17/2026 and on 11/23/2026 the initial ruling of the Judges was affirmed by the Hearing Officer. Having served the time of the revocation, Cote Keim is hereby restored to good standing effective 11/25/2026. Mr. Keim is eligible to apply for licensing in Massachusetts in the upcoming 2027 racing season.

LAPOINTE, JONATHAN **STE-JULIENNE, QC** **YOB 1995**

PHL on 11/20/2026

FULL - INDEFINITELY AS OF 11/20/2026
INDEFINITE SUSPENSION(IND) AS OF 11/20/2026

FAILURE TO PAY FINE(S)

PA Code Title 7-179.22 179.38(c) 205.502 Jonathan Lapointe is issued an indefinite full suspension (starting 11/20/26) for failure to pay fines from ruling 26048CD($200). Mr. Lapointe shall remain under a full suspension until this fine is paid in full. During the time of this suspension Mr. Lapointe is denied access to the grounds under the jurisdiction of the PSHRC. Appeals are due within ten days of this ruling and must be submitted in writing (accompanied by a $750 bond) to: PSHRC, Bureau of Standardbred Racing. The address is 2301 N. Cameron St. Harrisburg, PA. 17110.

TOTH, MARK S **ORCHARD PARK, NY** **YOB 1968**

Btva on 10/3/2026

FINED: $500

DISTURBING THE PEACE

Mark Toth, following the 11th race at Batavia Downs on 10/03/2026, did engage in a physical and verbal altercation with another licensee in the paddock area. Mr. Toth is hereby suspended 15 days and fined $1000.00. However, having appeared before representatives of the NYS Gaming Commission and having waived the right to appeal, Mr.

Toth`s suspension has been reduced to 7 days, from 10/29/2026 until 11/4/2026 and the fine has been reduced to $500.00.

WHITE, STEPHEN K **LOUISVILLE, MS** **YOB 1971**

HoP on 8/27/2026

FINED: $1,000
HORSE DISQUALIFIED - PLACED 7, PURSE REDISTRIBUTION

LOW OR EXCESS LEVEL OF AUTHORIZED MEDICATION

71 IAC 5-3-2(a)(1),(2),(b); 8-1-2(a); 8-1-4.2(10); Sample No. E738870 taken from the horse SHOE SHINE WILLIE following the conclusion of race 4 at Hoosier Park on August 27, 2026, tested positive for dexamethasone (Class 4C). Industrial Labs reported a level of 27.6 pg/mL +/- 0.71 pg/mL which exceeds the IHRC threshold level of 5 pg/mL. Mr. White waived his right to a split sample and to a hearing. As trainer of record Stephen White is in violation of the IHRC trainer responsibility and medication rules. For this violation Mr. White is fined $1000.00 which is due within seven days of this ruling. SHOE SHINE WILLIE finished first placed seventh. Purse of $5,750.00 to be returned and redistributed as follows: No. 2 SHOE SHINE WILLIE finished first placed seventh No. 4 PONDA SWAN FINISHED second placed first No. 7 DEJOMA finished third placed second No. 6 FOOLISH PRIDE finished fourth placed third No. 5 PASTOR`S DELICACY finished fifth placed fourth No. 3 RYAN THE LION finished sixth placed fifth No. 1 LADY BY A NOSE finished seventh placed sixth

HoP on 9/9/2026

FINED: $500
FULL - 15 DAYS, 11/15/2026 THRU 11/29/2026
HORSE DISQUALIFIED - PLACED 7, PURSE REDISTRIBUTION

POSITIVE TEST- PRE RACE

71 IAC 5-3-2(a)(1),(2),(b); 8-1-1.5; 8-1-2(a); 8-2-1; 8-2-2.5(c) On September 9, 2026 at Hoosier Park the horse IFUDNTNOMEBYNOW trained by Stephen White was selected for prerace blood gas testing. The Indiana Horse Racing Commission received notice from Industrial Laboratories that sample E745667 showed an elevated level of Total Carbon Dioxide at 43.6 mmol/L +/- 2.3 mmol/L. This exceeds the IHRC threshold level of 37.0 mmol/L. As trainer of record, Mr. White is in violation of the IHRC trainer responsibility and medication rules. Mr. White waived his right to a hearing and accepted the following penalties: $500.00 fine, which is due within seven days of this ruling 15-day full suspension to be served from November 15, 2026, through November 29, 2026 (inclusive) Purse of $816.00 to be returned and redistributed as follows: No. 7 IFUDNTNOMEBYNOW finished third placed eighth No. 4 DRAGANA finished fourth placed third No. 4 BABY BILLY finished fifth placed fourth No. 8 COOL BLUES MAN finished sixth placed fifth No. 10 STOP THE VANITY finished seventh placed sixth No. 6 CARA MIA finished eighth placed seventh During the period of this suspension Mr. White is denied access to all grounds under the jurisdiction of the Indiana Horse Racing Commission. Any transfer of horses owned or trained by Mr. White must be approved by the judges at Hoosier Park.

PART THREE - WHIPPING VIOLATIONS

COY, DONALD C **WINCHESTER, IN** **YOB 2000**

HoP on 11/12/2026 FINED: $200

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving MOMSSHOWGIRL in race 12 at Hoosier Park on November 12, 2026, driver Clint Coy violated the IHRC whipping rules by using more than minimal wrist and elbow action while whipping in the stretch. For this violation Mr. Coy is fined $200.00 which is due within seven days of this ruling. Mr. Coy waived his right to a hearing and accepted the penalty.

LE VAN, HANK **WOODSTOCK, OH** **YOB 1994**

DTN on 11/23/2026 FINED: $300

INAPPROPRIATE USE OF THE WHIP

OSRC 3769-17-17-B. While driving #1 Eddie Nine Toes during the stretch in race 10 on 11/23/26 at Dayton Raceway, Mr. Le Van did whip the horse using other than wrist and elbow action. Mr. Le Van is fined $500, the penalty will be reduced to $300 if not appealed, which is due within 10 days of this ruling. Mr. Le Van has 48 hours to appeal this decision to the OSRC.

MCDONOUGH, SHAWN M **BATAVIA, NY** **YOB 1990**

Btva on 11/14/2026 FINED: $250

DRIVING - 3 DAYS, 11/26/2026 THRU 11/28/2026

INAPPROPRIATE USE OF THE WHIP

Shawn McDonough, on Saturday, November 14, 2026, while driving the 6 horse, SUGAR SHOCK, in the 9th race at Batavia Downs was observed using more than hand and wrist action after the 3/4 pole. For this violation, Mr. McDonough is hereby fined $250.00 and suspended from 11/26/26 until 11/28/2026. This is Mr. McDonough`s 2nd violation of this nature in a 365-day period. Further violations will result in escalated penalties.

RUSSO, CHASE S **LAKEVIEW, NY** **YOB 2008**

Btva on 11/18/2026 FINED: $250

DRIVING - 3 DAYS, 11/21/2026 THRU 11/23/2026

INAPPROPRIATE USE OF THE WHIP

Chase Russo, on Wednesday, November 18, 2026, while driving the 7 horse, INITIAL CONCEPT, in the 3rd race at Batavia Downs, violated the whipping rule by using more than forearm and wrist action in the stretch. This is Mr. Russo`s 2nd violation in a 365-day period and is hereby fined $250.00 and suspended 3 days, from 11/21/2026 until 11/23/2026.

SOWERS, MCGWIRE P **WHITEFIELD, ME** **YOB 2000**

CUMB on 11/22/2026 FINED: $100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

The Judges found that Mr. Sowers DID violate the whipping rule while driving "Teeter" in the 10th Race Sunday 11/22

PART FOUR - MINOR PENALTIES

ALVAREZ, ARIEL A — **JAMESTOWN, OH** — **YOB 1995**
Nfld on 11/18/2026 — FINED: $200
FAILURE TO WEAR A PROTECTIVE VEST

BELANGER, JUSTIN J — **CELINA, OH** — **YOB 1997**
DTN on 11/20/2026 — FINED: $200
FAILURE TO OBEY THE INSTRUCTIONS OF A RACING OFFICIAL

BURKS, RONALD LAMAR — **CYPRESS, TX** — **YOB 1974**
HoP on 11/12/2026 — FINED: $200
HORSE PLACED 4
TAKE UP ABRUPTLY/SIT DOWN IN FRONT OF HORSES CAUSING CONFUSION AMONG TRAILING HORSES

COVEN, SAMUEL C — **WILMINGTON, OH** — **YOB 1971**
DTN on 11/20/2026 — FINED: $200
FAILURE TO OBEY THE INSTRUCTIONS OF A RACING OFFICIAL

GEARWAR, PETER J — **BENSON, VT** — **YOB 1964**
Stga on 11/23/2026 — FINED: $100
HORSE PLACED 5
INSIDE THE EURO RAIL / PYLON VIOLATION

GEORGE, SCOTT TYLER — **ANDERSON, IN** — **YOB 1985**
DTN on 11/25/2026 — FINED: $200
FAILURE TO HAVE HORSE PROPERLY EQUIPPED

IRVINE, JUSTIN D — **AURORA, OH** — **YOB 2001**
Mea on 11/13/2026 — WARNING ISSUED
TALKING ON THE RACE TRACK
Nfld on 11/16/2026 — FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION
Nfld on 11/18/2026 — FINED: $100
FAILURE TO KEEP BOTH FEET IN THE STIRRUPS

KAUFFMAN, KAYNE K — **LEBANON, OH** — **YOB 1978**
DTN on 11/21/2026 — FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

MCCOWN, CAMERON L — **OSTRANDER, OH** — **YOB 1988**
DTN on 11/20/2026 — FINED: $200
IMPEDING THE PROGRESS OF ANOTHER HORSE
DTN on 11/21/2026 — FINED: $200

PASSING LANE VIOLATION

MERRIMAN, AARON E — **NORTHFIELD, OH** — **YOB 1978**
Mea on 11/13/2026 — WARNING ISSUED
TALKING ON THE RACE TRACK
Nfld on 11/16/2026 — FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

MILLER, TYLER J — **CREAM RIDGE, NJ** — **YOB 1998**
PHL on 11/20/2026 — FINED: $100
FAILURE TO SET AND MAINTAIN A PROPER PACE

PAGE, CHRIS L — **DELAWARE, OH** — **YOB 1983**
ScD on 5/14/2026 — FINED: $200
HORSE PLACED 3
IMPEDING THE PROGRESS OF ANOTHER HORSE
DTN on 11/25/2026 — FINED: $200
PASSING LANE VIOLATION

RHOADES, BRAIDEN M — **ASHLAND, OH** — **YOB 2005**
Nfld on 11/17/2026 — FINED: $100
INSIDE THE EURO RAIL / PYLON VIOLATION

ROBERTS, JONATHAN W — **LAPLATA, MD** — **YOB 1981**
RcR on 11/19/2026 — FINED: $100
FAILURE TO KEEP BOTH FEET IN THE STIRRUPS

SCHWARTZ, MERVIN E — **BLUFFTON, IN** — **YOB 1995**
Nfld on 11/18/2026 — FINED: $100
INACCURATE PROGRAM INFORMATION

SKINNER, RYDER — **GREENWOOD, DE** — **YOB 2007**
DD on 11/19/2026 — DRIVING - 3 DAYS, 12/3/2026 THRU 12/5/2026
HORSE PLACED 8
JOSTLE, STRIKE OR HOOK WHEELS

SMITH, PARKER R — **WASHNINGTON CH, OH** — **YOB 1998**
DTN on 11/20/2026 — FINED: $200
FAILURE TO OBEY THE INSTRUCTIONS OF A RACING OFFICIAL

STOHLER, TRENT P — **MARKLEVILLE, IN** — **YOB 1969**
Mea on 1/13/2026 — FINED: $250
LATE TO THE LASIX/ DETENTION BARN
Mea on 11/13/2026 — FINED: $200

HORSE LATE TO THE PADDOCK

Mea on 11/13/2026 FINED: $250

HORSE LATE TO THE PADDOCK

STORER, ANTONIA G **MAINEVILLE, OH** **YOB 1979**

DTN on 11/20/2026 FINED: $200

FAILURE TO HAVE HORSE PROPERLY EQUIPPED

SUGG, KURT D **POLK, OH** **YOB 1969**

Nfld on 11/16/2026 FINED: $100

INSIDE THE EURO RAIL / PYLON VIOLATION

WIDGER, SAMUEL D **CLARKSVILLE, OH** **YOB 1963**

Nfld on 11/18/2026 FINED: $100

INSIDE THE EURO RAIL / PYLON VIOLATION

WILSON, STEVEN **FARMINGTON, ME** **YOB 1991**

CUMB on 11/21/2026 FINED: $100

HORSE PLACED 5

CHANGING COURSE/CAUSING INTERFERENCE